

Unclassified

ENV/JM/MONO(2015)38/ANN

Organisation de Coopération et de Développement Économiques  
Organisation for Economic Co-operation and Development

04-Nov-2015

English - Or. English

**ENVIRONMENT DIRECTORATE  
JOINT MEETING OF THE CHEMICALS COMMITTEE AND  
THE WORKING PARTY ON CHEMICALS, PESTICIDES AND BIOTECHNOLOGY**

Cancels & replaces the same document of 06 August 2015

**ANNEX TO THE REPORT OF THE 5TH BIOPESTICIDES STEERING GROUP SEMINAR ON  
APPLICATION TECHNIQUES FOR MICROBIAL PEST CONTROL PRODUCTS AND  
SEMIOCHEMICALS: USE SCENARIOS AND ASSOCIATED RISKS**

**Series on Pesticides  
No. 80**

*Please note that this document accompanies the seminar report which is available on OLIS under reference ENV/JM/MONO(2015)38.*

**JT03385786**

**Complete document available on OLIS in its original format**

*This document and any map included herein are without prejudice to the status of or sovereignty over any territory, to the delimitation of international frontiers and boundaries and to the name of any territory, city or area.*

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This document only contains Annex 4 of the report of the BPSG Seminar. Annex 4 includes slides of all presentations made during the seminar.

The main part of the seminar report, as well as Annexes 1-3, is published under the reference ENV/JM/MONO(2015)38.

## ANNEX 4 PRESENTATIONS (SLIDES)

**[PPT 1] Presentation on the OECD, the work of OECD-BPSG and general introduction to the seminar**

*Jeroen Meeussen, BPSG Chair, European Commission*

**[PPT 2a & 2b] Semiochemicals: different application techniques with focus on pheromones**

*Cristina Alfaro (Suterra Europe Biocontrol, Barvelona; Spain) & Ulf Heilig (IBMA)*

**[PPT 3] EFSA's experience in the assessment of semiochemicals and Microbial Pest Control Products**

*José Tarazona (Parma, Italy)*

**[PPT 4] Practical considerations for effective application and further development of microbial control agents**

*Roy Bateman (International Pesticide Application Research Consortium, Cornwall, UK)*

**[PPT 5] A review of studies on application techniques of MPCPs**

*Roma Gwynn (Rationale - Biopesticide Strategists, Duns, Scotland) with Willem Ravensberg (Koppert Biological Systems, Berkel & Rodenrijs, the Netherlands)*

**[PPT 6] Key factors for the success of different types of application techniques of entomopathogenic fungi**

*Dietrich Stephan (Institute for Biological Control [JKI], Darmstadt; Germany)*

**[PPT 7] Aerial application techniques with microbials used in plant protection and biocidal products**

*Denise Munday (Valent BioSciences, Nyon; Switzerland)*

**[PPT 8] The use of bumblebees for the application of biopesticides**

*Felix Wäckers (Biobest, Westerlo; Belgium)*

**[PPT 9] Practical experience with evaluation and assessment of microbial pesticides relating to use scenarios and associated risks**

*Kersti Gustafsson (Swedish Chemicals Agency - KemI; Sweden)*

**[PPT 10] Practical experience in the evaluation and assessment of different types of applications of MPCPs**

*Christine Vergnet (French Agency for Food, Environmental and Occupational Health & Safety [ANSES], Paris; France)*

**[PPT 11] Horticultural workers' exposure to microbial bioaerosol components from MPCP and naturally occurring counterparts of MPCA**

*Anne Mette Madsen (National Research Centre for the Working Environment, Copenhagen; Denmark)*

Presentation on the OECD, the work of OECD-BPSG and general introduction to the seminar  
[PPT 1]

*Jeroen Meeussen, BPSG Chair, European Commission*



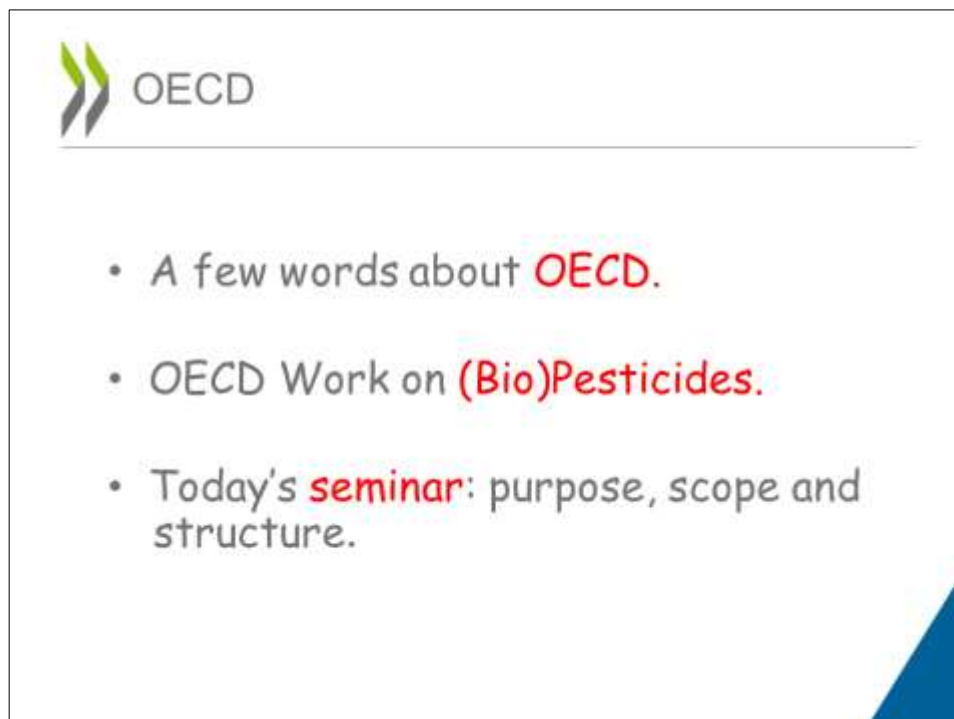
The slide features a blue diagonal background. On the left, there is a logo consisting of two stylized arrows pointing right, one green and one grey. The main text is in white, bold, uppercase letters. Below the title, the date and location are listed, followed by the name of the steering group. The speaker's name and title are listed below that. In the bottom right corner, there is the OECD logo and tagline.

**SEMINAR ON “APPLICATION  
TECHNIQUES FOR MICROBIAL  
PEST CONTROL PRODUCTS  
AND SEMIOCHEMICALS:  
USE SCENARIOS AND  
ASSOCIATED RISKS”**


31 March 2014, OECD, Paris  
*OECD BioPesticides Steering Group*

**Jeroen Meeussen**  
Chair of the OECD Biopesticides Steering Group

 **OECD**  
BETTER POLICIES FOR BETTER LIVES



The slide features the OECD logo at the top left. Below it is a horizontal line. The main content is a bulleted list of three items. The slide has a blue triangle in the bottom right corner.

 **OECD**

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- A few words about **OECD**.
- OECD Work on **(Bio)Pesticides**.
- Today's **seminar**: purpose, scope and structure.



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OECD: The Organisation for Economic  
Co-operation and Development



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- Started after **World War II**;
  - Transformed in **1961** into the Organisation for Economic Co-operation and Development with trans-Atlantic and then global reach;
  - Today the OECD has **34 member countries**;
  - **More than 70** developing and transition economies are engaged in working relationships with the OECD (Brazil, China and India).



## What is OECD?

A forum in which governments work together to:

- Co-ordinate and harmonise **policies**;
- Discuss issues of **mutual concern**;
- Work together to respond to **international problems**.

A provider of **comparative statistics** and **economic and social data** with more than 250 publications per year.



## How do pesticides fit in all this?

One of the fields in which OECD is actively involved is the **sustainability of agriculture**.





OECD-WGP

### OECD - Working Group on Pesticides

The OECD work on **agricultural pesticides** aims to help member countries:

- improve the efficiency of **pesticide control**;
- share the work of **pesticide registration and re-registration**;
- minimise non-tariff **trade barriers**;
- **reduce risks** to human health and the environment.



OECD-WGP

### OECD - Working Group on Pesticides

Working Group on Pesticides:

- Registration Steering Group (RSG)
- Risk Reduction Steering Group (RRSG)
- **BioPesticides Steering Group (BPSG)**

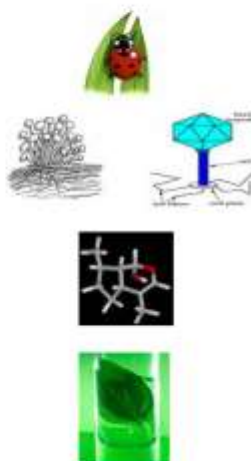


- The **BioPesticides Steering Group** (BPSG) was established by the WGP in 1999 to help member countries to **harmonise** the methods and approaches used to **assess biological pesticides**.



### Biological Pesticides:

- Macro-organisms
- Microbial biopesticides
- Semiochemicals
- Plant extracts/Botanicals



## OECD-BPSG

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The first tasks of the BPSG consisted of:

- (i) reviewing regulatory **data requirements** for three categories of biopesticides; and
- (ii) developing **formats for dossiers and monographs** for microbials, and pheromones and other semio-chemicals.



## OECD-Publications (I)

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Registration requirements:

- for **pheromones** (Series on Pesticides, No. 12, 2001);
- for **microbial pesticides** (Series on Pesticides, No. 18, 2003);
- for **invertebrate biocontrol agents/IBCA**s (Series on Pesticides, No. 21, 2004).

 OECD-Publications (III)

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- OECD **Dossier** and **Monograph** Guidance for **Microbials**, 2003 rev. August 2006;
- OECD **Dossier** and **Monograph** Guidance for **Pheromones and other Semiochemicals**, 2003
- Working Document on the **Evaluation of Microbials** for Pest Control (Series on Pesticides No. 43, 2008).

 OECD-BPSG

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The BPSG then decided to concentrate its efforts on **science issues** that remain as barriers to harmonisation and work-sharing.



## OECD-Publications (IV)

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- Issue Paper on **Microbial Contaminant Limits** for Microbial Pest Control Products (Series on Pesticides No. 65, 2011);
- Guidance to the **Environmental Safety Evaluation** of Microbial Biocontrol Agents (Series on Pesticides No. 67, 2012).

## OECD-BPSG workshops

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- Workshop on the Regulation of Biopesticides: Registration and Communication Issues; 15-17 April 2008, EPA, Arlington, USA.
- Workshop on Microbial Pesticides: Risk Assessment and Risk Management; 17-19 June 2013, Saltsjöbaden, Sweden



## OECD-Seminars (I)

- Report of Seminar on "**Identity and Characterisation of micro-organisms**", OECD Series on Pesticides No. 53, 2010);
- Report of Seminar on "**The fate in the environment of microbial control agents and their effect on non-target organisms**", OECD Series on Pesticides No. 64, 2011);



## OECD-Seminars (II)

- Report of Seminar on "**Characterisation and Analyses of Botanicals for the use in Plant protection Products**", OECD Series on Pesticides No. 72, 2012);
- Report on Seminar on "**Trichoderma spp. for the use in Plant Protection Products: similarities and differences**" OECD Series on Pesticides No. 74, 2013).





## Workplan 2013-2016

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- Promote **communication** and **exchange of information** among regulatory authorities of participating countries.
- Organise **seminars** and **workshops** on topics of common interest.



## Seminar on application techniques

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Why was this topic selected?

- The application technique and/or use pattern for Microbial Pest Control Products (MPCPs) and semiochemicals can **differ significantly** from conventional chemicals and hence may result in a **different risk and/or risk assessment**.
- The seminar can contribute to a better understanding of *application techniques and exposure assessment in different scenarios* and **facilitate the registration** of MPCPs and semiochemicals.

## Seminar - Scope

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Discussion on:

- **different use patterns** in particular if different from conventional chemical spray applications;
- **application techniques** used (formulation types, application rates);
- **human** exposure and **human** risk assessment;
- **residue** issues (pheromones)
- **environmental** consequences;
- **biocidal** uses,
- ....etc.

## Seminar - Structure

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Presentations on:

- **government, research** and **stakeholder** experience and perspectives,

followed by discussion after each set of presentations.



## Seminar - Results

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With the focus on "application techniques for MPCPs and semiochemicals and associated risks", the goals of this seminar are

1. for participants to **share information** and to **promote a dialogue**, and
2. to suggest **future work/issue papers and recommendations** related to the approval of micro-organisms and semiochemicals in general.

## Seminar on application techniques

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I wish you an interesting and  
useful seminar!

Thank you very much for your attention.

**Semiochemicals: different application techniques with focus on pheromones**

[PPT 2a & 2b]

*Cristina Alfaro (Suterra Europe Biocontrol, Barcelona; Spain) & Ulf Heilig (IBMA)*



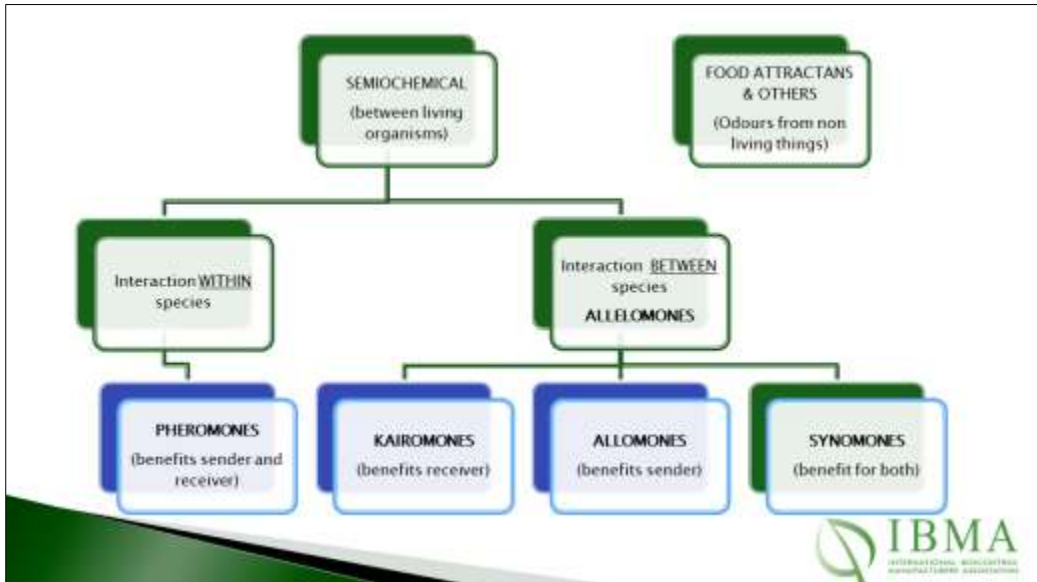

## Summary

- › What are semiochemicals?
- › How semiochemicals are used in agriculture and pest management?
- › The reasons Why these technologies are being developed.
- › Why they deserves a more relaxed approach for authorization?



# What are semiochemicals?

➤➤ Semiochemicals are substances that have any action in the physiology or behaviour of living organism



## Pheromones, the most used in Agriculture

- › What are they?
  - Chemicals produced by an individual to affect other individuals of the same species
- › What types are they?



## Some examples of pheromones



*Chilo suppressalis*



*Cydia pomonella*



*Thaumetopoea pityocampa*

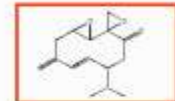


*Lobesia botrana*

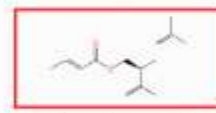
SCLP pheromones



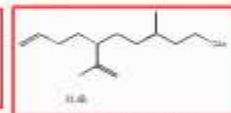
*Baccroera oleae*



*Periplaneta americana*



*S-lavandulyl senecioate*



*Anisofenil acetate*

Other pheromones

## Pheromone features

- › Low polarity and medium molecular weight to reach suitable volatility
- › Mostly they are straight chain aliphatic compounds, 90% contains 14, 16 or 18 C. (case of SCLP)
- › Most of them have structures related chemically and biogenetically to common alcohols and fatty acids
- › Often a pheromone is a blend of up to five compounds



## Pheromone features

- › High specificity

Butenol: Pheromone of *Bombix mori*

Activity assay

10E, 12Z  $10^{-12}$   $\mu\text{g/ml}$



10Z, 12Z 1  $\mu\text{g/ml}$



1 billion times more active





## SMELL PERCEPTION: THE INSECT NOSE

- › Sex pheromone is the key factor for mating
- › Well developed antennae
- › Undeveloped eyes
- › More factors involve in the mating process
- › Small antennae
- › Complex eyes



MATING DISRUPTION



MASS TRAPPING / ATTRACT&KILL

## Mass Trapping

- › Trap + Lure + Killing agent
- › Killing agent must be physical
- › Key is to attract and trap females
- › Kairomones becoming increasingly important



Sex pheromones. MAT (Lepidopteran)



Food attractants. Attracting both sexes (Fruit Flies)

## Attract & Kill.

Mostly developed against tephritids like fruit flies (*Ceratitis sp.*, *B. oleae*)

- Bringing the insects to the killing agent
- Killing agent can be chemical, microbial, beneficial, sterile agent...
- To use low dose of insecticide
- To avoid the contact between insecticide and fruit
- Conventional traps retain the insects caught. A & K devices do not retain insects so, do not saturate and need less maintenance
- Key again is to attract females

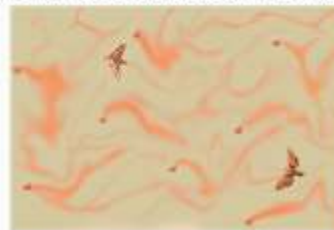


NON- MD

MD treatment

## Mating Disruption

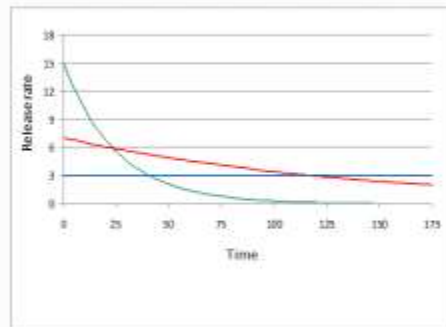
- Most widely used pest control technique based on pheromones
- Employed against many pests worldwide (RSB, CM, LB, OFM...)
- Goal: To cover the field with a pheromone cloud in order to disrupt the sex-pheromone signal released from the female.





### Objectives to be achieved with formulated product

- › To release the pheromone as constant as possible over time
- › To protect the substance from degradation
- › To last the whole season
- › To release the pheromone as similar to the female as possible



Imitating the NATURE

## Hand applied dispensers

- › Conventional application
- › Different emission technology

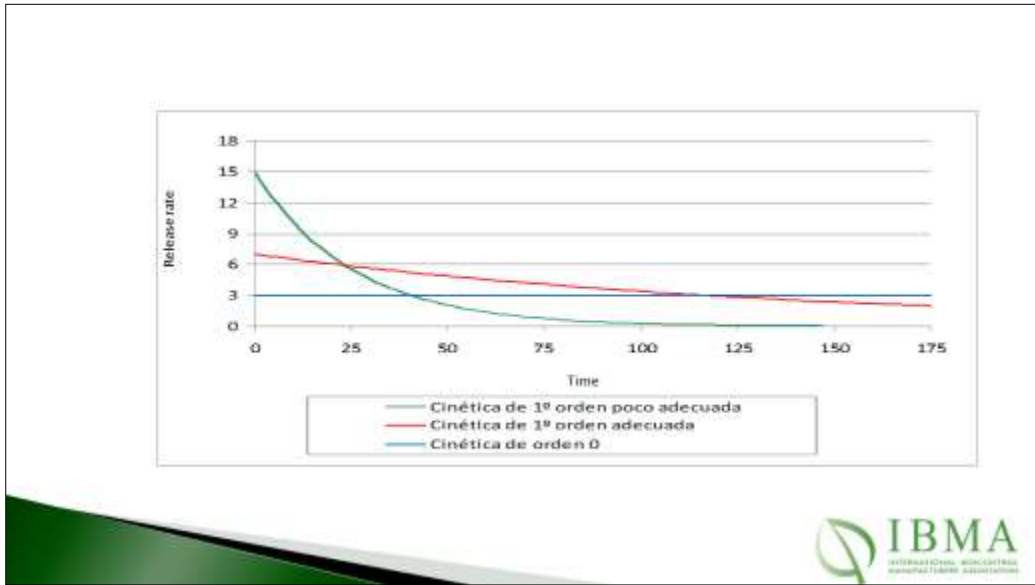


Extruded Dispensers

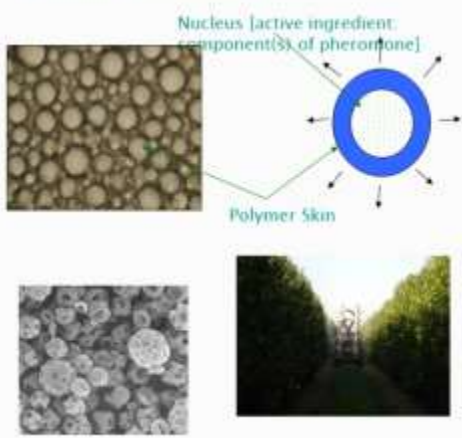
Reservoir systems

## Hand applied dispensers

- › Passive emission
- › High number of emission points (300–1000 dispensers/ha)
- › Low emission rate per dispenser
- › Small area of influence per dispenser
- › Pheromone released during the whole day
- › Dependent on weather conditions
- › Logarithmic release rate



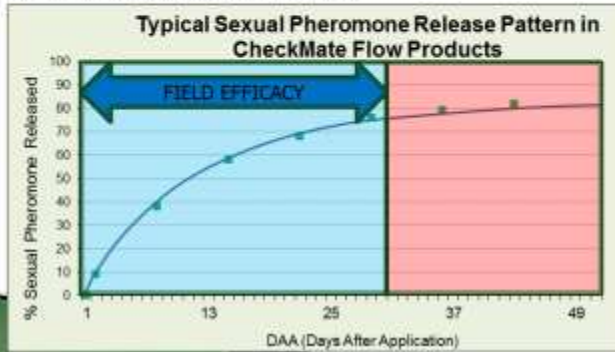
## Reservoir system: Micro-Encapsulated formulations



- **Capsule Suspension (CS) formulation**
- Different **microencapsulation processes**. Sex pheromone components may be a limiting factor for the use of some processes.
- **Sex pheromone components** contained inside polymers which are the walls of the microcapsule.
- Microcapsule diameter:  $\leq 200 \mu\text{m}$

## Reservoir system: Micro-Encapsulated formulations

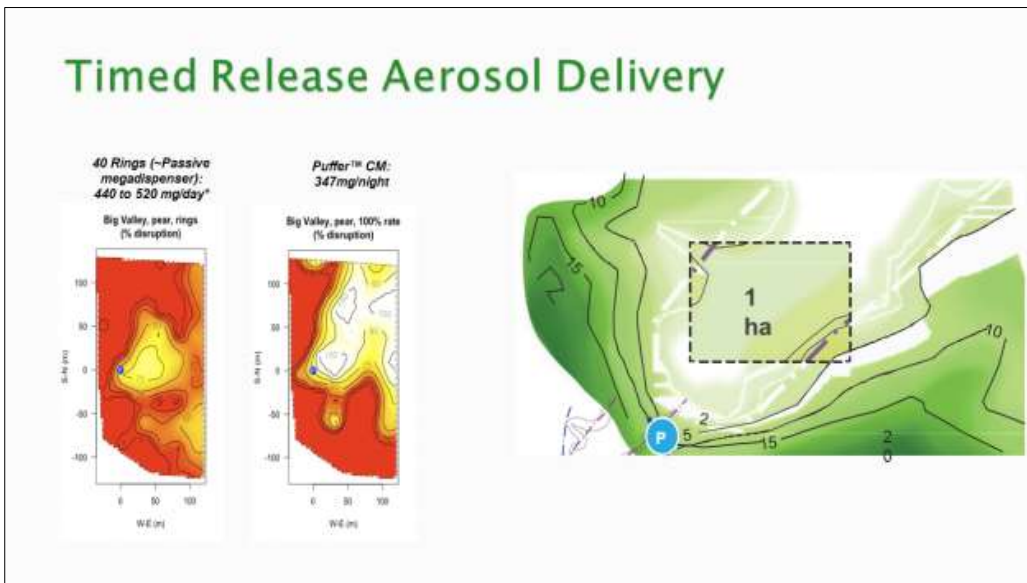
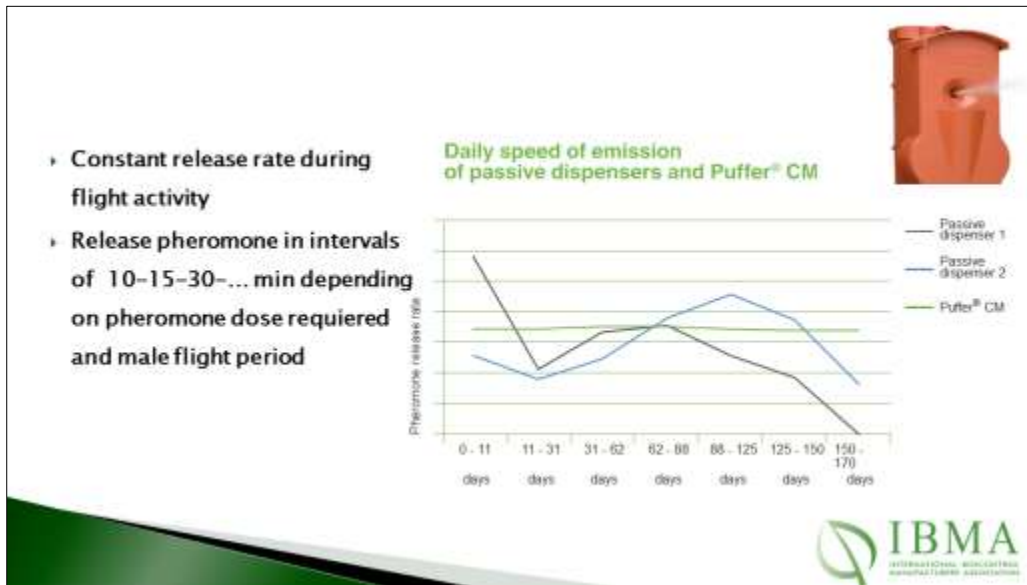
- › Microcapsule wall controls to some extent the release
- › As in any other passive dispenser, microcapsule release rates also depends on weather conditions.



## Timed Release Aerosol Delivery

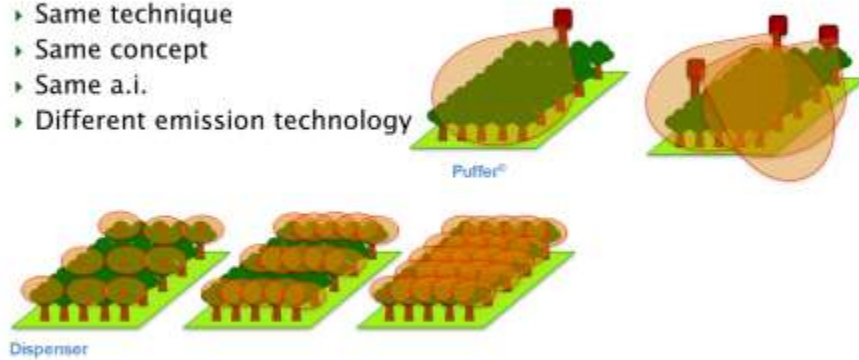
- › Aerosol Formulation contains the sex pheromone.
- › Active emission
- › High emission rate per dispenser
- › Large area of influence per dispenser
- › Low number of emissions points (1,25 - 5 d/ha)
- › Pheromone released during flight activity
- › Constant release rate





## Timed Release Aerosol Delivery

- › Same technique
- › Same concept
- › Same a.i.
- › Different emission technology



## Timed Release Aerosol Delivery



## Deployment strategies

**Microencapsulated formulations**

- 50 - 100 million caps / ha per application
- 350 ng pheromone / capsule

**Hand-Applied Dispensers**

- 250 to 1000 dispensers per ha
- 80 - 200 g A. I. per ha / season

**Aerosol dispensers**

- 2 to 3 per ha
- 110 g A.I. per ha / season



## How much pheromone is there in a MD treatment?

➤➤ Can that amount be considered a contaminant?



- ▶ It is known, that 1ng/M<sup>3</sup> is enough to make an effective MD treatment
- ▶ *Aonidiella aurantii*:
  - Release rate in MD treatment 160 mg/ha/day
  - Air pheromone concentration << 1ng/M<sup>3</sup>
- Population Exposure to Air Pollutants in Europe
  - Benzene concentration in 6 EU cities: about 1 mg/M<sup>3</sup>
  - Work exposure during 8 hours:
  - TLV-VLA 0,5-1 ppm ( 1,6-3,25 mg/M<sup>3</sup> )



Why are these technologies being developed?



## Why the use of SCs in Pest Control?

- ▶ Tendency to a more sustainable agriculture
- ▶ European Rules (Directive 91/414), Reg. (EC) No 1107/2009
- ▶ Directive for sustainable use of pesticides (Dir. 2009/128/EC)
- ▶ Low MRLs
- ▶ The requirement of minimum residues from RETAILERS and CONSUMERS



Control Methods based on SEMIOCHEMICALS

- ▶ Compatible with IPM
- ▶ Residue-free
- ▶ Environmentally friendly
- ▶ Efficacy demonstrated in many species
- ▶ Very specific methods

## Pheromone history



Fabre Observes Insect Chemical Communication

1870



Gotz Hypothesis on EGVMMD

1940



Rutensand Identifies Bombykol

1959



Harry Shorey Conducts First Field Trial (7.7.67)

1967



P. gossypiella Registered by EPA

1978

1800s

1940

1980



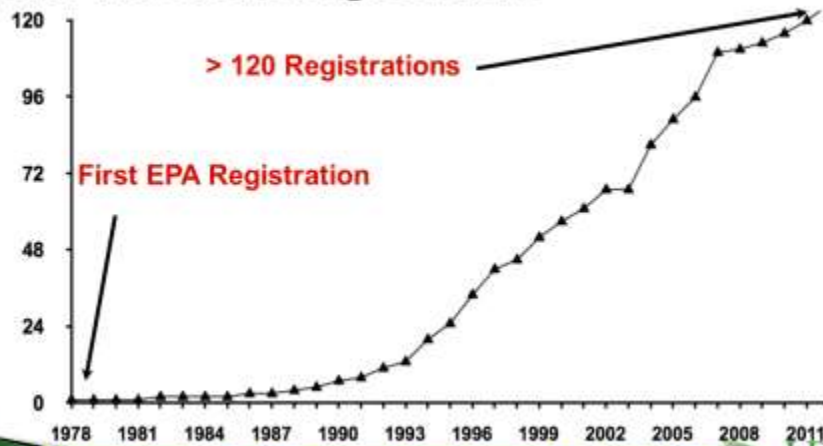
## Evolution in pheromone research

1960	1965	1970	1975	1980	1986	1990
1	1	22	80	222	436	800

- Till the 1990's the field of semiochemicals was mostly of scientific interest
- During last 20 years, however there has been an enormous increase in the rate of discovery
- 2013: On Pherobase [www.pherobase.com], 7000 insect species, 8000 chemical compounds of which 3500 are semiochemicals
- The sex pheromones of over 2,500 species of Lepidoptera have been published
- Mating disruption is already available for non lepidopteran species like CRS (*Aonidiella aurantii*) and VMB (*Planococcus ficus*)



## US EPA Pheromone Registrations



More than 3 Decades Have Passed!!



## EU Pheromones Registration

- In the 80's, first products in EU market from BASF and CBC/ShinEtsu
- In the 90's, about 20 formulations in the market
- The registration of pheromones (SCLPs) are from early-mid 1990s. Before, registration not required.
- 1990 was registered a OFM product by BASF
- 1995 was registered a RSB product in Spain by Agrisense
- 2000, about 20 formulations were registered for Peach/Apple/Grape (Dir. 91/414)
- 2010, Revisión of SCLP (still on going). Very slow advances



## Pheromone treated area worldwide

2006: Total: **641,000 ha**  
2011: Total: **> 767,000 ha**  
2013: It is over **1.000.000 ha**

### Major Crops:

Forestry – *Lymantria dispar*

Apples – *Cydia pomonella*

Stone fruits – *Grapholitha molesta*

Grapes - *Lobesia & Eupoecilia*

Cotton – *Pectinophora gossypiella*

### ▸ Surface

▸ Europe: 250,000 ha

▸ NAFTA: 320,000 ha

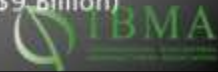
▸ South America: 100,000 ha

▸ Australia and South Africa: 50,000 ha

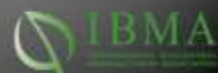


## Pheromone industry

- Over 1 million hectares treated with pheromones for MD world-wide
- Over 90% of the Semiochemical market is made up of the sales of about 30 companies
- Over 75% from the sales of < 6 companies
- With a few exceptions, most of the 30 are small or medium sized companies
- It has taken nearly 35 years to get to its current size.
- It is still under 3% of the world wide Insecticide Market (\$9 Billion)



## Advantages in the Use of Pheromones



## Pheromone Advantages


- › Low toxicity substances
- › Highly specific
- › Have no effect on beneficials
- › Low application dose (less than 375g/ha/year)
- › Residue free
- › No Resistance developed to date
- › Easily photo and biodegradable
- › Success as Pest Control Methods
- › A 20 year history of exposure to humans demonstrating no adverse effects



## Semiochemicals and their use in Agriculture (2)


OECD BioPesticides Steering Group Seminar  
31<sup>st</sup> March 2014  
Paris



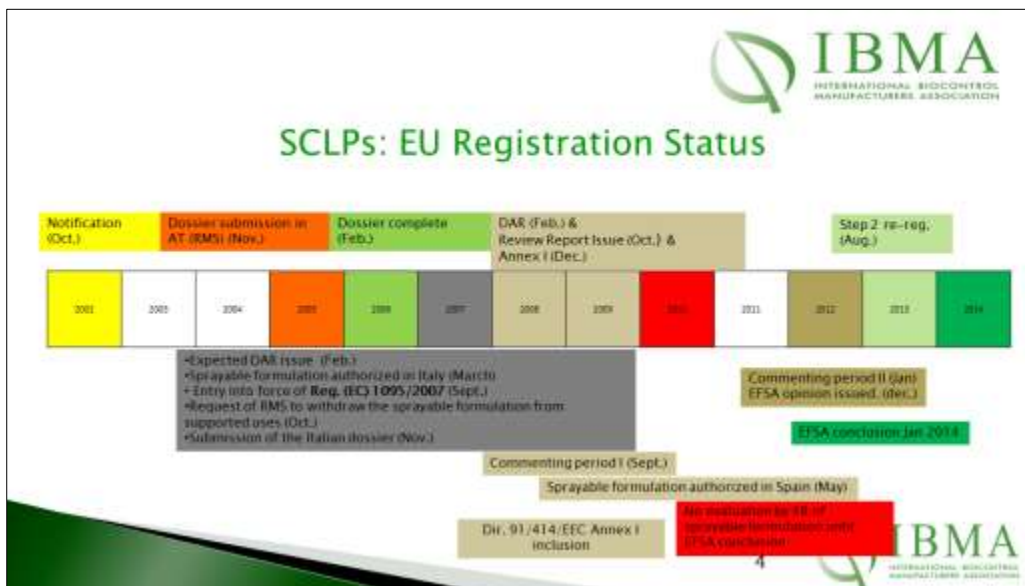


**OECD guidance no. 12:  
Purposes according to introduction**

- Facilitate the development, registration and use of pheromones and other semiochemicals for controlling pest arthropods
- **Harmonisation of registration requirements** considered as a means to encourage the development of new environmentally friendly pest control products for sustainable agriculture.

 **IBMA**  
INTERNATIONAL BIOCONTROL  
MANUFACTURERS ASSOCIATION

3



IBMA INTERNATIONAL BIOCONTROL MANUFACTURERS ASSOCIATION

**• EFSA conclusion<sup>1</sup>**

- Dossier arranged according to GD SANCO/10393/2004 - rev.4, 08.10.04
- Evaluated according to Dir. 91/414 / EEC
- Data waivers based on OECD guidance no. 12 questioned.
- 375 g a.s./ha/year questioned
- A lot of data gaps identified
- (not previously identified, neither by EU nor by EU Member States where the SCLPs-based products were authorized)
- Because of provisions in Reg. (EC) No 1095/2007 data gaps could not be filled (but some studies were performed and some bridging was attempted with fatty acids).

<sup>1</sup> EFSA Journal 2014, 12(1): 3524.

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IBMA INTERNATIONAL BIOCONTROL MANUFACTURERS ASSOCIATION




## VP products (Vapour-releasing Products)

- **OECD guidance no. 12.** A reduced dossier is foreseen. 375 g a.s./ha/year as a trigger to avoid long term tox studies, residue trials, environmental fate and eco-toxicology.
- Italy, France, Spain, Portugal, Greece, Germany, U.S.A., Mexico, Argentina, Chile, Uruguay, Israel, Australia, New Zealand:

accepting waivers based on OECD guidance no. 12 and authorizations obtained.

- **RMS [AT]:** in agreement with OECD guidance no. 12. No major concerns.





## VP products /2 in EFSA conclusion


Consumer risk assessment	Data gap due to the absence of robust natural background levels
Operator/worker/bystander	Data gap due to the absence of robust natural background levels
Environmental risk assessment	Data gap due to the absence of robust natural background levels
Ecotoxicological risk assessment	Bridging between and in the group questioned. Data gap due to the absence of robust natural background levels

As in 2001, robust natural background levels are not feasible.

The TF has charged independent experts to prepare a rationale and provide the available information on the background levels





7



## CS products (Capsule Suspension Products)

- OECD guidance no. 12. A reduced dossier is foreseen. 375 g a.s./ha/year as a trigger to avoid long term tox studies and other studies
- Italy, Spain, U.S.A., Mexico, Argentina, Chile, Uruguay, Australia, New Zealand: we have always presented waivers basing on OECD guidance no. 12 and obtained the authorization.
- RMS [AT]: not in agreement with OECD guidance no. 12


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## CS products /2 in EFSA Conclusion

Consumer risk assessment	Data gap due to the absence of robust natural background levels
Operator/worker/bystander	Data gap due to the absence of robust natural background levels
Environmental risk assessment	Data gap due to the absence of robust natural background levels
Ecotoxicological risk assessment	Bridging between and in the group questioned. Data gap due to the absence of robust natural background levels


The company owner of the CS representative formulation performed studies and offered documentation, that could not be evaluated due to Reg. (EC) No 1095/2007


9 



## CS products /2


Consumer risk assessment	Residue studies confirmed a <b>no residue situation</b> (residue not detected at 1/3 of the "non relevant residue level". Under evaluation: the application to include in Annex IV of Reg. (EC) 396/2005)
Operator/worker/bystander	Data gap due to the absence of robust natural background levels
Environmental risk assessment	Data gap due to the absence of robust natural background levels
Ecotoxicological risk assessment	Bridging between and in the group questioned. Data gap due to the absence of robust natural background levels


10 



## CS products /2

Consumer risk assessment	Data gap due to the absence of robust natural background levels
Operator/worker/bystander	A bridging with fatty acids was described during the OECD BPSG meeting of 2012. AOEL/NOEL values can be extrapolated from the ones set for fatty acids.
Environmental risk assessment	Data gap due to the absence of robust natural background levels
Ecotoxicological risk assessment	Bridging between and in the group questioned. Data gap due to the absence of robust natural background levels

11 




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## CS products / 2

Consumer risk assessment	Data gap due to the absence of robust natural background levels
Operator / worker / bystander	Data gap due to the absence of robust natural background levels
Environmental risk assessment	The input in the environment from SCLPs is Lower than the one from fatty acids used as detergents
Ecotoxicological risk assessment	Bridging between and in the group questioned. Data gap due to the absence of robust natural background levels.

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## CS products / 2

Consumer risk assessment	Data gap due to the absence of of robust natural				
Operator / work					
Environmental					
Ecotoxicologic					

Substance Name	Species	Test	Nominal Concentration	Endpoints	Author, year
CheckMate LBAM-F	<i>Fathead Atherine</i> larvae	Short-term method to estimate chronic toxicity (US EPA, 2002)	12-48 ppm (=mg/L)	EC <sub>50</sub> > 48 mg/L	Werner et al., 2007
CheckMate LBAM-F	<i>Ceriodaphnia dubia</i>	Evaluation of survival and reproductive success	12 -24 ppb (= µg/L) 100-400 ppm (= mg/kg)	No statistically difference compared with the control 100% mortality	Werner et al., 2007
CheckMate CM-F	<i>Apis mellifera</i> L. (honeybee)	OECD 215 and 215	Contact test: 200 µg f.p./bee Oral test: 221.2 µg f.p./bee	LD <sub>50</sub> (48h): 200 µg f.p./bee LD <sub>50</sub> (48h): 221.2 µg f.p./bee	Sekine, 2010
CheckMate CM-F	<i>Eisenia fetida</i> (earthworm)	OECD 207	1000 mg p.f./kg soil	14d LC <sub>50</sub> : > 1000 mg p.f./kg soil 14 d LOEC: > 1000 mg p.f./kg soil 14 d NOEC: 1000 mg p.f./kg soil	Witte, 2010



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### Threshold of 375 g a.s./ha/year for SCLPs



This application rate would create a pheromone concentration in the air comparable to the natural one during severe insect infestation. It is **not the yearly pheromone amount released** by the lepidoptera.

**Pheromone products** are applied before the first flight of the insects. They spread out the release over a long period of time i. e. all over the day, **continuously for the whole season**, while the moths release pheromones in the evening/night and the rate varies with the population sizes and generations.

The **degradation** of pheromones is **very fast without any accumulation**. Thus it is deemed appropriate to compare the conc. reached in treated fields with those in untreated heavily infested field and not the cumulated annual release of pheromone dispensers with the one released by female moths.

The application threshold value of 375 g a s/ha/y was established with this in mind.

The application rate of 375 g a.s./ha/year is considered to be a valid threshold. It will facilitate the registration of SCLPs based products and thus be a major incentive for applicants.


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In conclusion....



## SCLPs: Reduced requirements for all formulation types




Several SCLP based formulation types are used all around the world, in OECD countries, with no reports of adverse effects.


Experience and **extrapolation** structure-activity clearly demonstrate: no unacceptable risk is linked to the use of SCLP formulations at the proposed application rates.

Studies available (since review by Austria) confirm:

- ☞ no unacceptable risk is linked to the use of liquid SCLP formulations.

16 

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Studies available (since review by Austria) confirm:

- ☞ no unacceptable risk is linked to the use of liquid SCLP formulations.


**IBMA opinion:** The state of the knowledge should allow to adopt the position of US EPA and several other OECD countries → an application rate up to **375 g SCLP a.s./ha/year** regardless the formulation type is **not expected to cause unacceptable risks** to men, environment and non-target organisms.

Because of the knowledge of SCLPs, **OECD guidance should not recommend additional data requirements** (i. e. no residue trials regardless the formulation type, no ecotox studies, no long term studies, no environmental fate studies, no ambient air samples) in order to achieve its objectives.


This is not expected to cause any additional risks to men, non-target organisms and the environment.

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**SCLPs: Does it makes sense to continue evaluating 'new' ones?**



**With the SCLPs approved there is basically nothing to evaluate for a new SCLPs** except specification and analytical method. The phys/chem, toxicity and eco-toxicity properties can be extrapolated from the approved SCLPs.


Example: (Z)-8-14Ac 

Already approved: (Z)-8-12Ac, (Z)-9-12Ac, (Z)-9-14Ac etc.

Does it make sense to 'evaluate' this 'new' one (since there is no additional data except specification)?

- Limited public resources could be allocated to work on other issues!
- SCLP-based products important for IPM in sustainable cropping systems could be introduced much faster!

**After the final approval of the SCLP group why not simply extent this approval to all SCLPs complying with the OECD definitions?**

18 



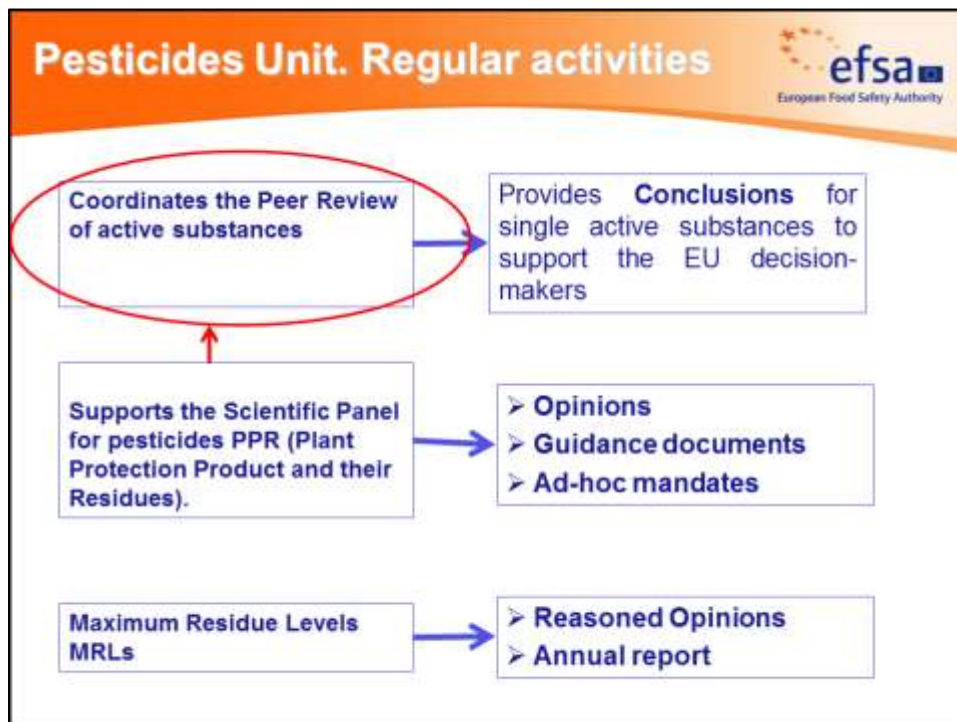
**Thank you!**

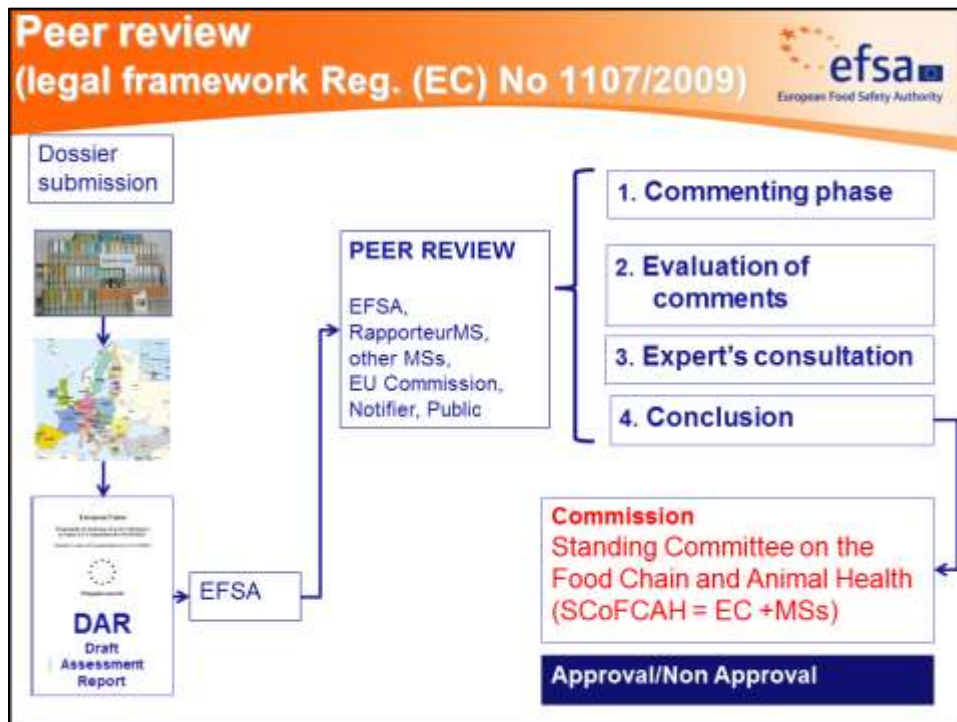
19 

**EFSA's experience in the assessment of semiochemicals and Microbial Pest Control Products**


[PPT 3]

*José Tarazona (Parma, Italy)*







## EFSA Conclusion


  
European Food Safety Authority

- Output of a scientific peer review
  - Identify and Phys/Chem properties
  - Mammalian Toxicology & Workers/Bystander risks
  - Residues & Consumers risks
  - Environmental Fate and Behaviour
  - Ecotoxicology & Ecosystem risks
- Conducted by EFSA scientific staff and risk assessment experts from the Member States
- The PPR Panel is not involved, except occasionally






This document is the  
Work Assessment Report of the  
PPR Panel



This document is the  
Peer Review Report  
of the PPR Panel

**Active substances** 


- **'Active substances': substances, including micro-organisms** having general or specific action against harmful organisms or on plants, parts of plants or plant products.
  - 'substances': **chemical** elements and their **compounds**, as they **occur naturally** or **by manufacture**, including impurities from the manufacturing process
  - **'micro-organisms'**: microbiological entity, including lower fungi and viruses, cellular or non-cellular, **capable of replication** or of **transferring genetic material**

**Risk assessment for active substances** 


- Approval criteria for "active substances" (e.g. Annex II Reg. 1107/2009)
- Uniform principles (e.g. Reg. 546/2011)
  - Part 1. Chemical plant protection products
  - Part 2. plant protection products containing micro-organisms
- Data requirements (e.g. Reg. 283/2013)
  - Part A. Chemical active substances
  - Part B. Microorganisms including viruses


**Chemicals**

Semiochemicals  
Microbial toxins  
Inert microorganisms



**Microbials**




**Microorganisms** 

EFSA experience of concluding for each organism, using the information provided against the EU data requirements, with the existing guidance.


Guidance available that should be followed / is accepted:

- **OECD** issue paper on microbial contaminant limits for microbial pest control products **Series on pesticides No. 65** ENV/JM/MONO(2011)43 12-Oct-2011
- European Food Safety Authority; **Submission of scientific peer-reviewed open literature** for the approval of pesticide active substances under Regulation (EC) No 1107/2009 (OJ L 309, 24.11.2009, p. 1-50). EFSA Journal 2011;9(2):2092. [49 pp.]. doi:10.2903/j.efsa.2011.2092.


**Microorganisms** 

Guidance available that gives pointers and approaches that can be followed / have been accepted by the peer review in selected cases:

- OECD guidance to the environmental safety evaluation of microbial biocontrol agents **Series on pesticides No. 67** ENV/JM/MONO(2012)1 17-Feb-2012

Peer reviewed scientific literature 

- **Systematic review of the available literature** for the organism strain and **metabolites** that the strain or species might produce, **following the EFSA guidance**
- Scientific literature can be provided on other strains of the same species or related species where the applicant wishes to use this evidence to address individual data requirements
- When evidence from the peer reviewed literature is cited, **the paper with the observation or measurement providing the evidence, should be included in the dossier**. Quotes and opinions that just originate from authors in their introduction or discussion sections, should not be considered good evidence

Microorganisms 

**Conclusions cover different taxa and application routes**

- *Bacillus pumilus* strain QST 2808 field and greenhouse spraying applications
- *Streptomyces lydicus* WYEC 108: high volume drench, via drip irrigation systems, seed treatment
- Zucchini yellow mosaic virus - weak strain (ZYMV-WK) applications by jet injection
- ...

## Main basis for the conclusions




- Did not cause signs of toxicity, infectivity and pathogenicity
- Due to lack of data on the potential production of toxins/metabolites of concern risk assessment cannot be finalised.
- Potential risk of infecting and producing adverse effects in animal hosts and humans is low.
- Risk (effects and transmission) for non-target plants could not be finalise

## Data gaps / issues identified in EFSA pesticide conclusions on microorganisms:




- **Methods for identity of the strain**, best available technology, how to assess if the test procedures presented are appropriate
- Level of evidence to demonstrate **relationships** to / lack of relationships to **known pathogens** (systematic literature review is needed) and how to distinguish from related pathogens
- Information on **production of metabolites** including other strains of the species, conditions of production, evidence of absence of these from the product
- Characterization / evidence of **levels metabolites in environmental compartments** following application

**Data gaps / issues identified in EFSA microorganism conclusions:**

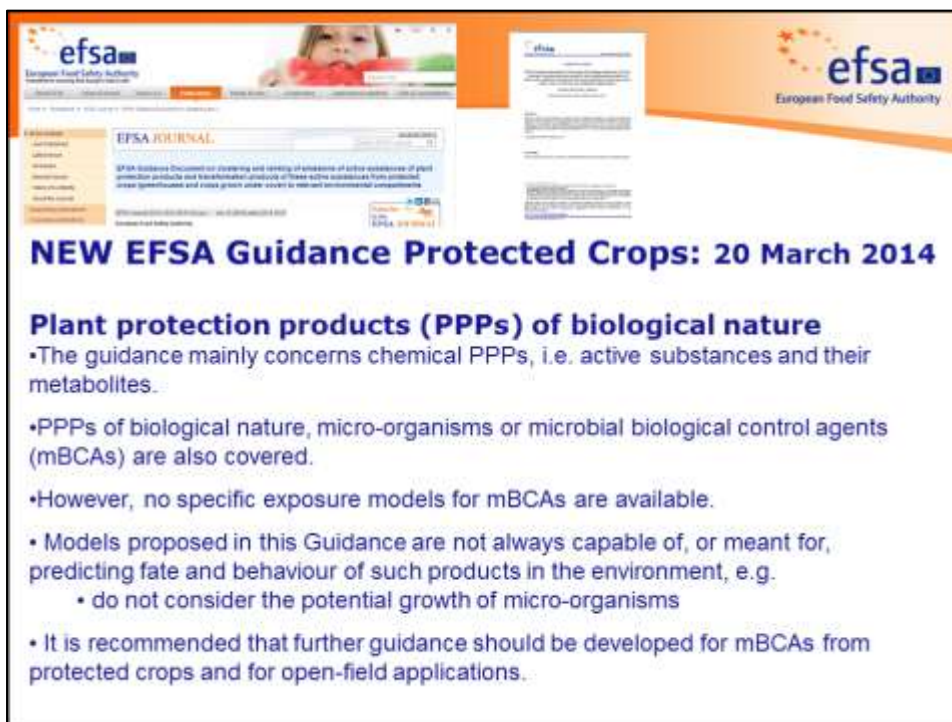


- Assessment of the potential for **transfer of genetic information to other species** (primary concern usually plasmids between bacteria species)
- Absence of **interference of organism** on prescribed methods of **analysis for pathogens in drinking water**
- Information on **competitiveness / multiplication** to conclude if the organism will decline to background levels within a year
- Effects studies provided for **characterising environmental risk** can be too short to conclude lack of pathogenicity or infectivity to non target species. Consider the biology of the active organism strain / species when designing tests conditions and duration

**Key elements: microorganisms**



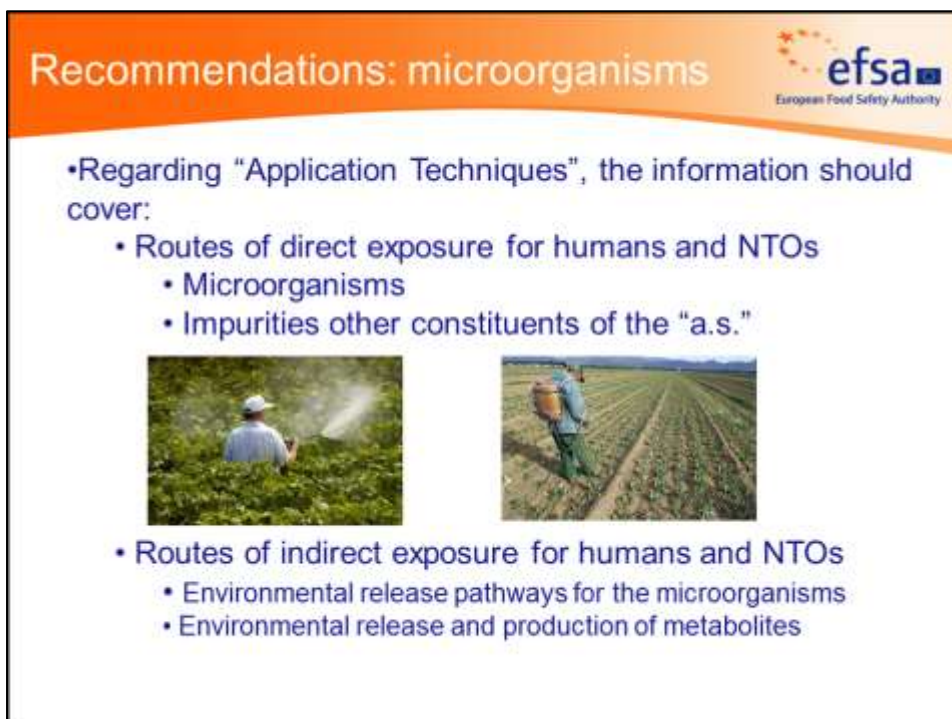
- Theoretical broad coverage in terms of taxa and application routes
- But in reality current experience covers only assessments based on lack of hazard (application method not relevant)
- There is a need for developing guidance covering:
  - exposure assessment for the microorganisms
  - exposure assessment for metabolites



**NEW EFSA Guidance Protected Crops: 20 March 2014**



**Plant protection products (PPPs) of biological nature**

- The guidance mainly concerns chemical PPPs, i.e. active substances and their metabolites.
- PPPs of biological nature, micro-organisms or microbial biological control agents (mBCAs) are also covered.
- However, no specific exposure models for mBCAs are available.
- Models proposed in this Guidance are not always capable of, or meant for, predicting fate and behaviour of such products in the environment, e.g.
  - do not consider the potential growth of micro-organisms
- It is recommended that further guidance should be developed for mBCAs from protected crops and for open-field applications.




**Recommendations: microorganisms**

- Regarding "Application Techniques", the information should cover:
  - Routes of direct exposure for humans and NTOs
    - Microorganisms
    - Impurities other constituents of the "a.s."





- Routes of indirect exposure for humans and NTOs
  - Environmental release pathways for the microorganisms
  - Environmental release and production of metabolites

  
European Food Safety Authority

# EFSA external scientific report

**11 December 2013**



**EXTERNAL SCIENTIFIC REPORT**

Scientific support, literature review and data collection and analysis for risk assessment on microbial organisms used as active substances in glass production products – Lot 1 Environmental Risk Characteristics\*

Haidouhe Maugel, Anissa De Toul, Chanying Truong, Rishik Shahanev, David Casades†

†) 2005 Bellflower Station, 2912 75th, Deltona, FL 32718, USA  
 ‡) Department of Agricultural Sciences, Lubuska University 1, Chodzież 71 and 7, Chodzież 81, 20-000 PL, 65-111 University of Zielona Góra, Poland  
 §) Warwick Crop Centre, School of Life Sciences, University of Warwick, Gibbetton Road, Coventry CV4 9EF, UK


**SUMMARY**

Microorganisms can be used as active substances in pest or disease control, and therefore are subject to an increased level of scrutiny under EU legislation. The study focused on microbial (MPCAs) used in glass production in Europe. An overview of the current state of the art in the use of MPCAs in glass production was provided. The study also included a literature review of the environmental fate and behaviour of MPCAs, and the characteristics of produced metabolites and enzymes. The study also included a literature review of the environmental effects of MPCAs, and the influence of biotic and abiotic factors on MPCAs. The study also included a literature review of the environmental effects of MPCAs, and the influence of biotic and abiotic factors on MPCAs. The study also included a literature review of the environmental effects of MPCAs, and the influence of biotic and abiotic factors on MPCAs.

**KEY WORDS**

Microbial pesticides, plant protection products, environmental risk, microbial biocontrol agents

- Fate and behaviour of MPCAs in the environment
- Characteristics of produced metabolites and enzymes
- Field concentration and non-target effects observed for metabolites/toxins
- Influence of biotic and abiotic factors on MPCAs

  
European Food Safety Authority


# Semio-chemicals

**Straight Chain Lepidopteran Pheromones**  
As semio-chemical on stone fruit, pome fruit, tree nuts corn, vegetables, citrus, olives, rice, grapes, cotton and flowers  
22 January 2014

- vapour releasing closed dispenser
- spraying a microencapsulated vapour releasing system

**Z,Z,Z,Z-7,13,16,19-doco-satetraen-1-yl isobutyrate** As semio-chemical on forests and oak forests  
11 February 2014


No information, just data gaps

**Pheromones** 

Experience of concluding for straight chain lepidopteran pheromones

Guidance available that was followed / is accepted:

- OECD series on pesticides number 12 Guidance for Registration Requirements for Pheromones and Other Semiochemicals Used for Arthropod Pest Control. ENV/JM/MONO(2001)12 26-Feb-2002

**SCL Pheromones** 

- Products formulated as slow release → Emission at natural backgrounds.  
Application rates of up to 375 g SCLP/ha/yr are generally understood to result in exposure levels which are comparable to natural emissions and safe for non-target species
- OECD guidance value of 375 g ai/ha/yr could not be traced back to published observations or measurements → does not satisfy the level of transparency expected by European regulation
- US EPA could arrange for the original observations and measurements
- Insufficient information to confirm exposure levels for spray applications

## Final recommendations



Regarding "Application Techniques", not covered by those in current guidance, the development/information should cover:

- Routes of direct exposure for operators and workers, and if relevant, bystanders and residents
- Exposure routes for consumers
- Routes of direct exposure for NTOs
- Environmental release pathways
  - Conceptual justified description of the relevant pathways
  - Scenarios and parameterization (with supporting data) for quantitative release estimations
  - Connection of release estimations (e.g. units, timelines) with current environmental fate scenarios/models



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**Practical considerations for effective application and further development of microbial control agents**

**[PPT 4]**

*Roy Bateman (International Pesticide Application Research Consortium, Cornwall, UK)*

## Practical Considerations for Effective Delivery of Microbial Control Agents

Roy Bateman

*International Pesticide Application Research Consortium  
UK*

5<sup>th</sup> OECD BioPesticides Steering Group , Paris, 31 March 2014



<http://www.dropdata.org>

v.1.2

### Overview

- Success of efficacious products depends on:
  - nature and activity of control agent
  - ... and its delivery mechanism ...
- Importance of quality formulations; maintenance of viability of microbial agent
- Application: equipment, atomisation
  - droplets and particle numbers,
  - delivery to the site of action
- Practical constraints



<http://www.dropdata.org>

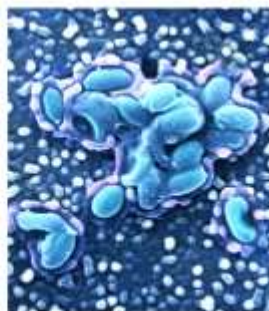
## 1. Using biodiversity

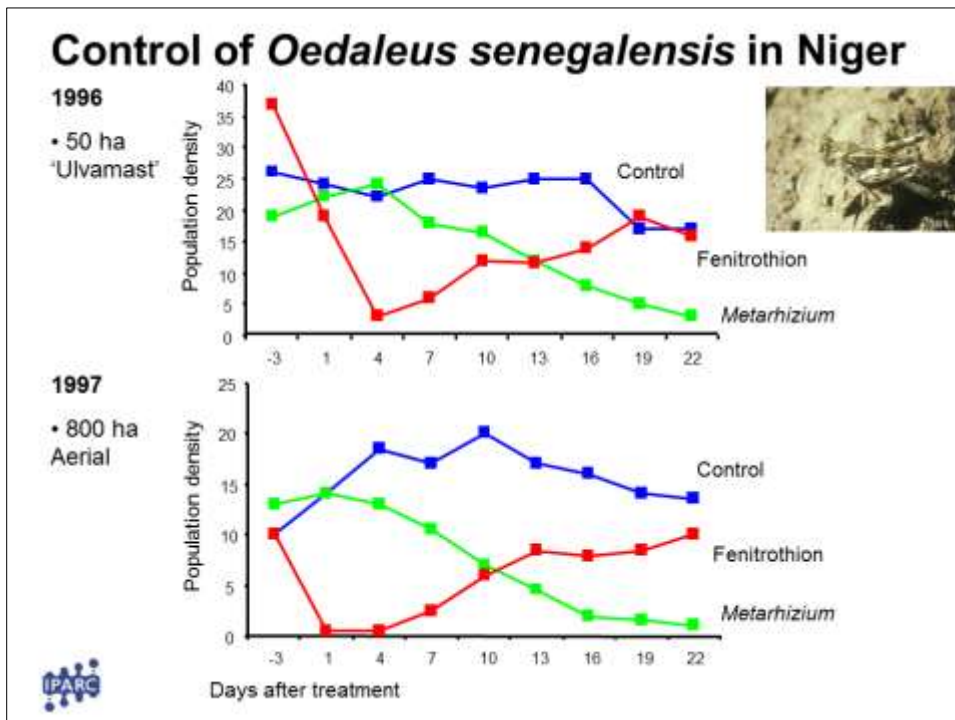
- Successful control starts with an efficacious microbial control agent (MCA)
- We are only now starting to understand the true nature of microbial biodiversity ... and grossly underutilising potentially useful organisms.



## Effective inundative biological control

- Adapted, virulent isolate(s)
  - *Metarhizium acridum*
- Oil-based formulations; maintenance of viability
- Appropriate application





## 2. Biological agents and regulation

- In theory, the benign nature of biological agents, should liberate us from many of the restrictions associated with application of chemical pesticides.
- ... especially wind drift ...



### Oak processionary moth (*Thaumetopoea processionea*) Herridge's Copse, UK, 2013

- Effective dose-transfer
- Permitted formulation issues



### 3. Delivery systems

- Improved delivery systems (formulation, application, *etc.*) will not save underperforming MCA but ...
- performance of a MCA, as with a chemical pesticide, may be reduced substantially by a poor delivery system.




### Enabling Technologies

- High quality formulations
  - Also affects maintenance of MCA viability
- Optimising application techniques
  - ... including numerical aspects (e.g. particle distribution in droplet spectrum)



## Formulation linked to production: fungal spore types

 Aerial conidia



*e.g. Metarhizium spp.*

## “Dark Data”: early large scale ULV trials

Application: the lower the volume  
rate, the greater the importance  
of particle size quality



“Dark data” ...

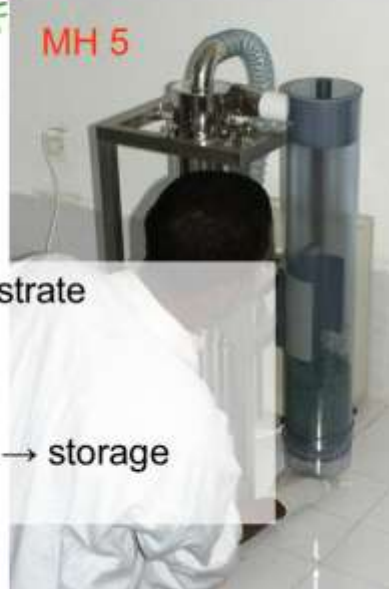


## The 'MycoHarvester' a 'spin off'

MH 3



MH 5

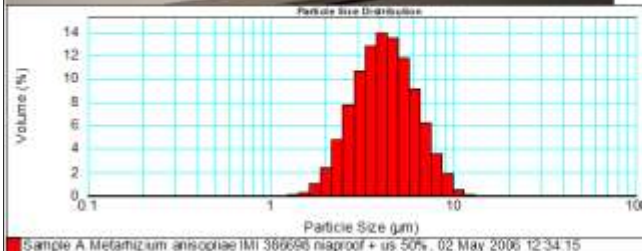


- Pure, single conidia, not substrate
  - stable suspensions
  - prevents blockages
  - facilitates product drying → storage stability



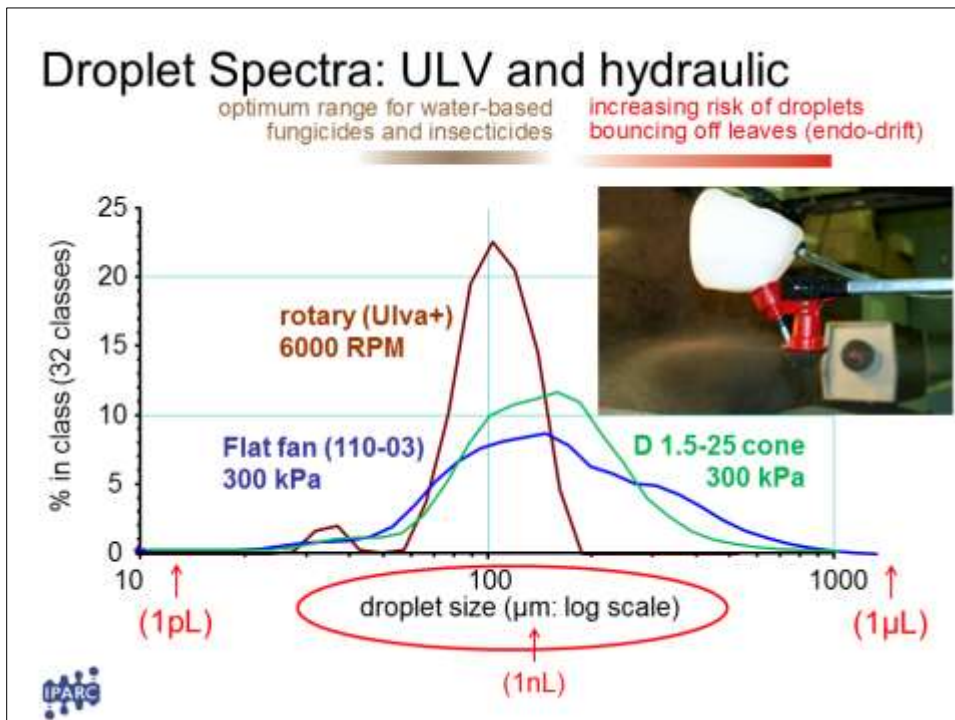
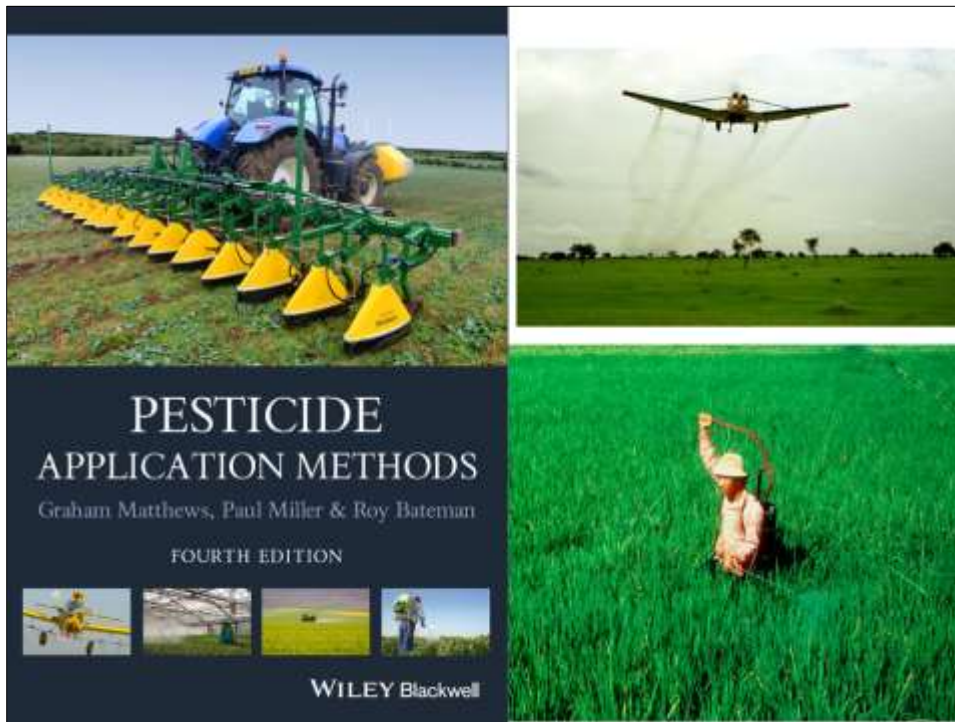
[www.mycoharvester.info](http://www.mycoharvester.info)

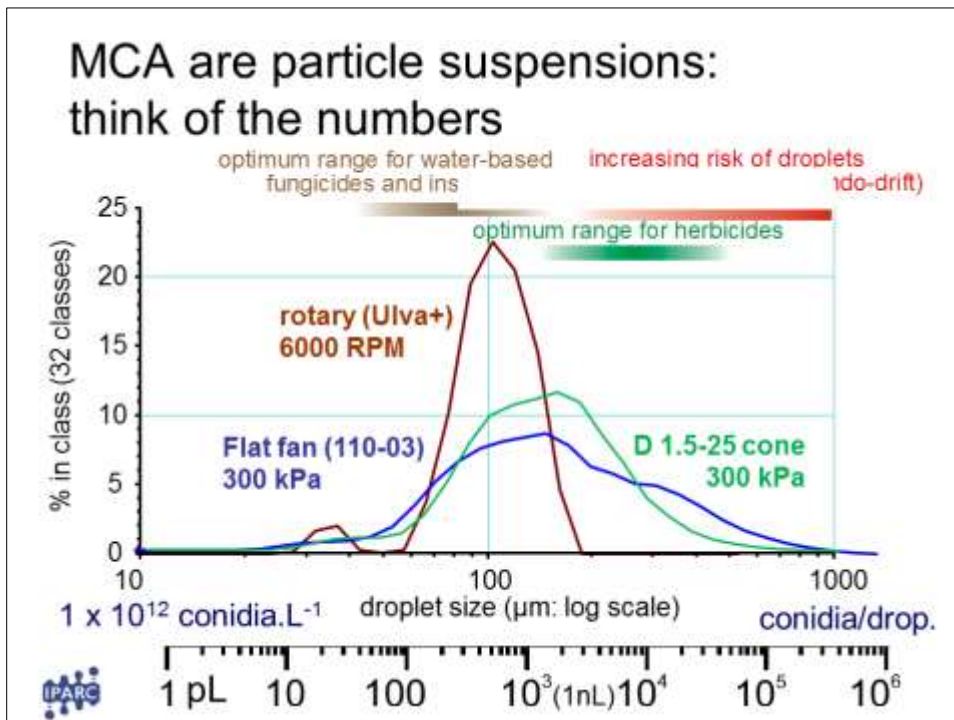
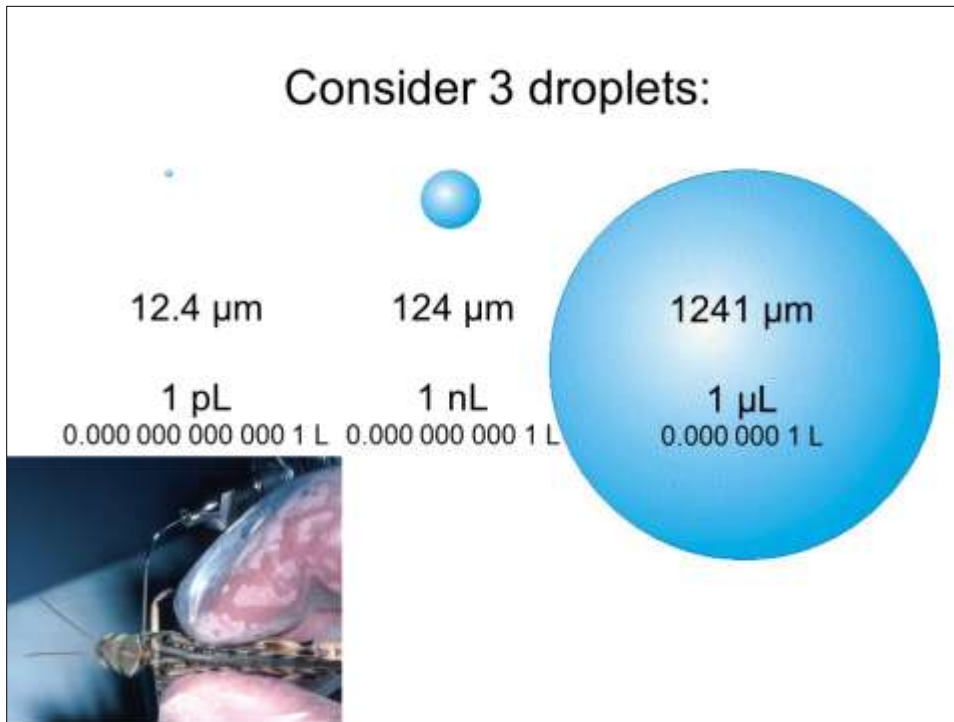
## MCA particle size specifications



Sample A Metamizum anisopriae IMI 386695 naproof + us 50%, 02 May 2006 12:34:15

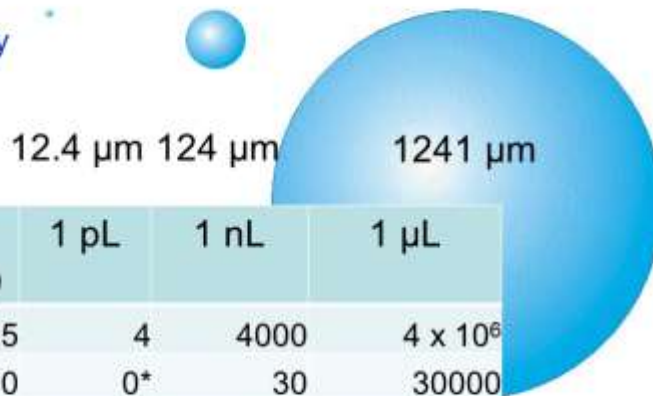






## Theoretical particle loading per droplet

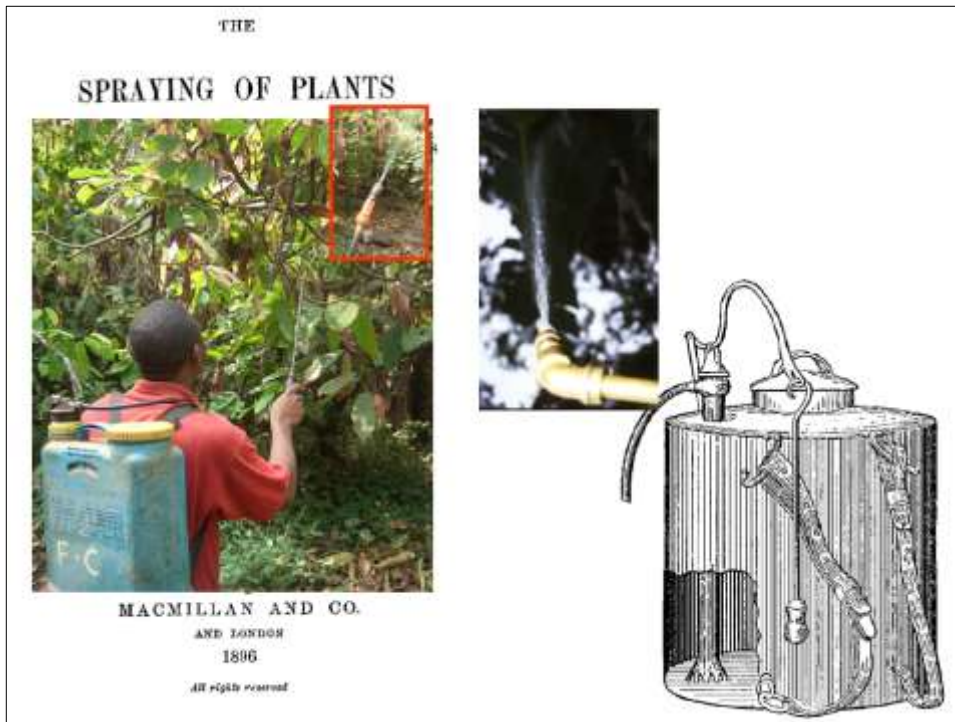
\* '0' means  
<50% probability  
of droplet  
containing a  
particle

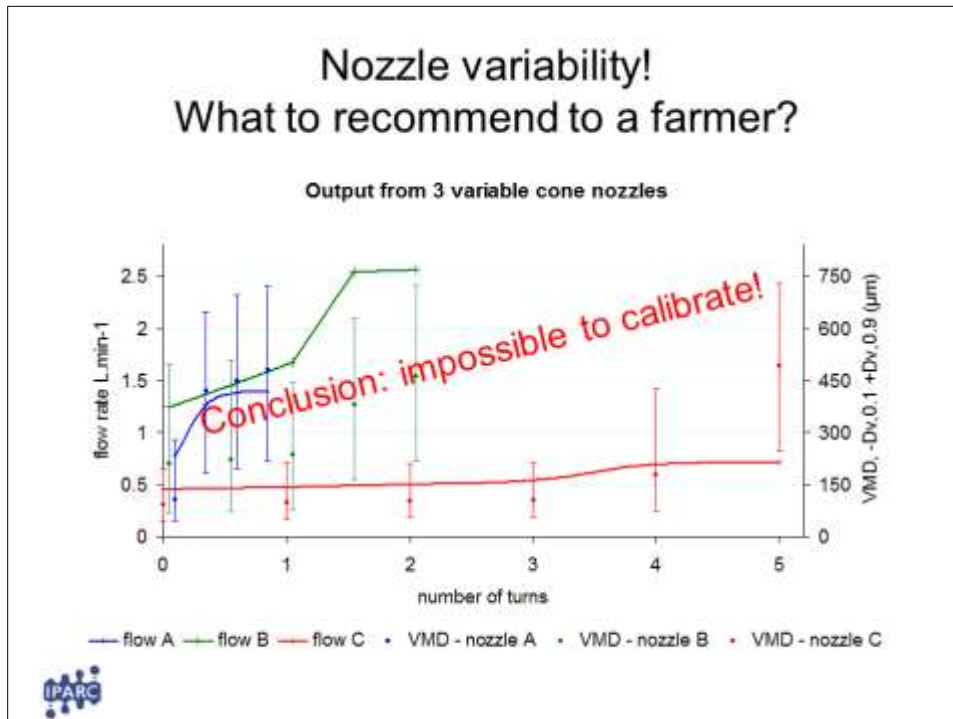


CFU / Ha	VAR (L/ha)	1 pL	1 nL	1 μL
$2 \times 10^{12}$	0.5	4	4000	$4 \times 10^6$
$3 \times 10^{12}$	100	0*	30	30000
$7 \times 10^{13}$	400	0*	175	175000
$3 \times 10^{11}$	600	0*	1	500
$3 \times 10^9$	1000	0*	0*	3

### 4. Major constraint

- Are we trying to apply 21<sup>st</sup> century control agents using application techniques that have changed little since the 19<sup>th</sup> century?





### The 'Cocoa Nozzle'

- Narrow cone
- Fixed setting
- Optimised droplet size

2x - 3x improvement in dose transfer efficiency

Bateman (2004) *Crop Protection*, 23: 989 - 999

## Summary: delivery systems

- Need more isolates of 'effective' microbial control agents
- Need for high quality formulations, early in R&D programme ('enabling technologies' in public domain)
- Efficient dose transfer to target in field of particles ... how many? ... where will they go?
- Who will provide suitable application techniques (that conform to basic FAO specifications) for MCA in non-OECD countries?

 <http://www.dropdata.org>

**A review of studies on application techniques of MPCPs**

**[PPT 5]**

*Roma Gwynn (Rationale - Biopesticide Strategists, Duns, Scotland) with Willem Ravensberg (Koppert Biological Systems, Berkel & Rodenrijs, the Netherlands)*



**Application methods – exposure routes**

**Growing media/soil application**

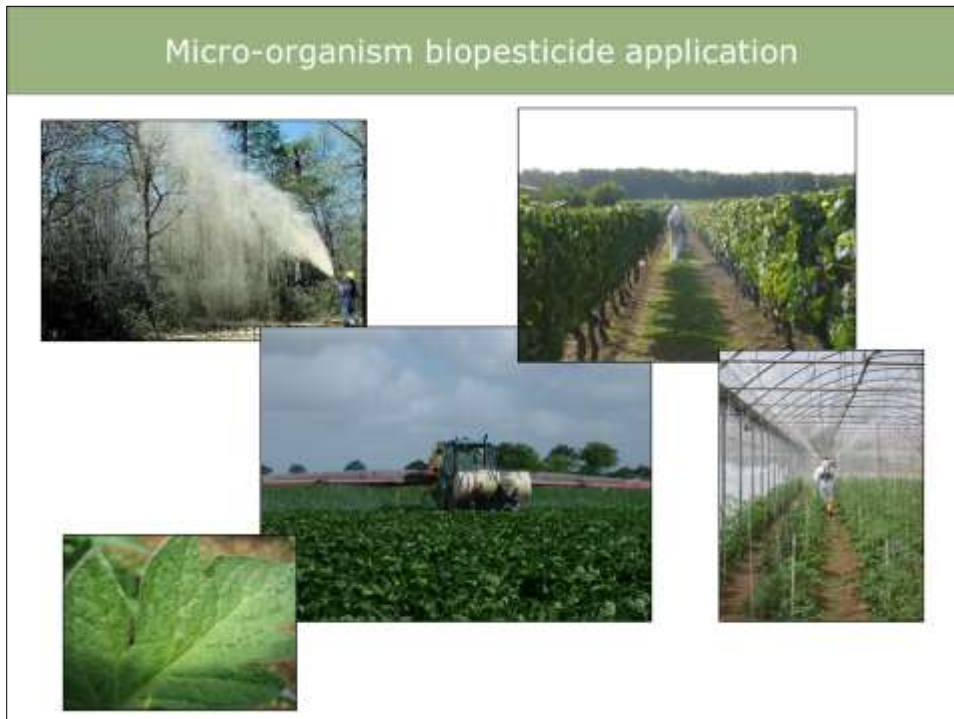
- Drenches – hand held, irrigation equipment
- Growing media incorporated liquids and solids (powders, granules etc.)

**Specialist application**

- Seed treatment – coated onto seeds
- Injection

**Foliar application**

- Equipment: hand-held, tractor/machinery or aerial equipment fitted with appropriate single nozzles on multiple nozzles on a boom
- Applications range from high to low volume water volumes
- Some specialist equipment e.g. spinning discs, hooded sprayers.



### Conventional pesticide application know-how

#### Technical Information

**Useful Formulas**

**Flowing Travel Speed**

**Speeds**

Flowing Travel Speed (m/s)	Flowing Travel Speed (km/h)
0.5	1.8
1.0	3.6
1.5	5.4
2.0	7.2
2.5	9.0
3.0	10.8
3.5	12.6
4.0	14.4
4.5	16.2
5.0	18.0
5.5	19.8
6.0	21.6
6.5	23.4
7.0	25.2
7.5	27.0
8.0	28.8
8.5	30.6
9.0	32.4
9.5	34.2
10.0	36.0

**Mixing Spacing**

Flowing Travel Speed (m/s)	Mixing Spacing (m)
0.5	1.8
1.0	3.6
1.5	5.4
2.0	7.2
2.5	9.0
3.0	10.8
3.5	12.6
4.0	14.4
4.5	16.2
5.0	18.0
5.5	19.8
6.0	21.6
6.5	23.4
7.0	25.2
7.5	27.0
8.0	28.8
8.5	30.6
9.0	32.4
9.5	34.2
10.0	36.0

**Flowing Travel Speed**

**Suggested Minimum Spray Heights**

Flowing Travel Speed (m/s)	Suggested Minimum Spray Height (m)
0.5	1.8
1.0	3.6
1.5	5.4
2.0	7.2
2.5	9.0
3.0	10.8
3.5	12.6
4.0	14.4
4.5	16.2
5.0	18.0
5.5	19.8
6.0	21.6
6.5	23.4
7.0	25.2
7.5	27.0
8.0	28.8
8.5	30.6
9.0	32.4
9.5	34.2
10.0	36.0

#### Droplet Size and Drift Information

**Droplet Size**

Flowing Travel Speed (m/s)	Droplet Size (µm)
0.5	1.8
1.0	3.6
1.5	5.4
2.0	7.2
2.5	9.0
3.0	10.8
3.5	12.6
4.0	14.4
4.5	16.2
5.0	18.0
5.5	19.8
6.0	21.6
6.5	23.4
7.0	25.2
7.5	27.0
8.0	28.8
8.5	30.6
9.0	32.4
9.5	34.2
10.0	36.0

**Drift**

Flowing Travel Speed (m/s)	Drift (%)
0.5	1.8
1.0	3.6
1.5	5.4
2.0	7.2
2.5	9.0
3.0	10.8
3.5	12.6
4.0	14.4
4.5	16.2
5.0	18.0
5.5	19.8
6.0	21.6
6.5	23.4
7.0	25.2
7.5	27.0
8.0	28.8
8.5	30.6
9.0	32.4
9.5	34.2
10.0	36.0

From: Teejet Technical Bulletin

## Literature review – R Gwynn and R Glass

**Review of literature and existing state of the art with respect to the application of microbial biopesticides in agriculture.\***

- Micro-organism based products are most widely used in crop protection as inundative control agents.
- As for synthetic chemical pesticides, the dose delivery system in foliar use can have a profound impact on the in-field efficacy of a biopesticide as can the formulation type, use of adjuvants, protectants and other in-tank additives (Chapple *et al.*).
- The essence of biopesticide application is the methodology of dose transfer relative to the place of delivery.

\* Work funded by DEFRA, UK



## The logic and outputs - search of CAB abstracts 1973-onwards


Number	Searches	Results
1	Plant Pests [FF620]. Biological Control [HH100]	118620
2	Biological Control [HH100]	131034
3	Application methods.sh.	13128
4	Application techniques*.mp.	1493
5	(spraying equipment or sprayers or nozzles or application equipment).sh.	6999
6	Biopesticide*.mp. or entomopathogenic bacteria.sh. or natural enemies.sh. or microbial pesticides.sh. or bacterial insecticides.sh. or fungal insecticides.sh. or biological control.sh. or bacillus thuringiensis.od. or biological control agents.sh. or entomopathogens.sh.	137898
7	Biocontrol*.mp. or biological control agents.sh. or biological control.sh.	82418
8	2 or 6 or 7	150150
9	3 or 4 or 5	20533
10	8 and 9	<b>692</b>

\* sh (subject header), mp (multi-purpose) and od (organism descriptors)

Number of references for micro-organism based biopesticides	
Microbial	Number of references
<i>Beauveria</i> spp.	133
<i>Trichoderma</i> spp.	132
<i>Metarhizium</i> spp.	76
<i>Bacillus</i> spp. (excluding Bt)	100
<i>Bacillus thuringiensis</i> only	158
<i>Verticillium</i> and <i>Lecanicillium</i> spp.	26
Baculovirus	26
Virus	65
<i>Coniothyrium</i> spp.	5
<i>Gliocladium</i> spp.	15
Total	<b>736</b>

### Literature review - method

- 692 abstracts reviewed
- 737 papers refer to one or more micro-organism based biopesticides
- 247 documents identified as potentially relevant
- 127 documents fully reviewed (scientific papers, books, reviews)
- Documents obtained from: EU member states, USA, Canada, China, Australia, New Zealand, Japan and India
- Consultation: HDC, IBMA, pesticide and biopesticide manufacturers, application equipment companies and scientific experts.
- All application methods considered: foliar, soil, seed,



## Publications on principles of biopesticide application

### Publications on principles of biopesticide application:

- Microbials: not mobile or translocated - without persistence and redistribution a good amount of microbials are needed on foliage.
- Timing is more critical - specificity of microbial activity.
- Microbials are often particulate - limit to dilution to maintain sufficient dose in each droplet.
- At higher volume care needed to keep particulate material in suspension
- Hydraulic spraying – to avoid waste use of a narrower droplet size spectrum and small droplets should improve efficiency of spraying.

**The paper concludes further research on application of microbial insecticides is required.**

From: Matthews (1983)

## Publications on principles of biopesticide application

CHAPTER 1-2

### Theory and Practice of Microbial Insecticide Application.

ANDREW C. CHAPPLE<sup>1</sup>, ROGER A. DOWNER<sup>2</sup>, AND ROY P. BATEMAN<sup>3</sup>

<sup>1</sup> Environmental Health Biology, Hoechst Schering AgrEvo GmbH, H872, Hoechst Works, Frankfurt, Germany. <sup>2</sup> Laboratory for Pest Control Application Technology, OARDC, 1680 Madison Ave., Wooster Ohio 44691 USA. <sup>3</sup> CABI Bioscience, Silwood Park, Buckhurst Rd., Slough, Berkshire SL5 7TA, UK.

L.A. Lacey and H.K. Kays (eds.), *Field Manual of Techniques in Invertebrate Pathology*, 5-37. © 2000 Kluwer Academic Publishers. Printed in the Netherlands.

### APPLICATION

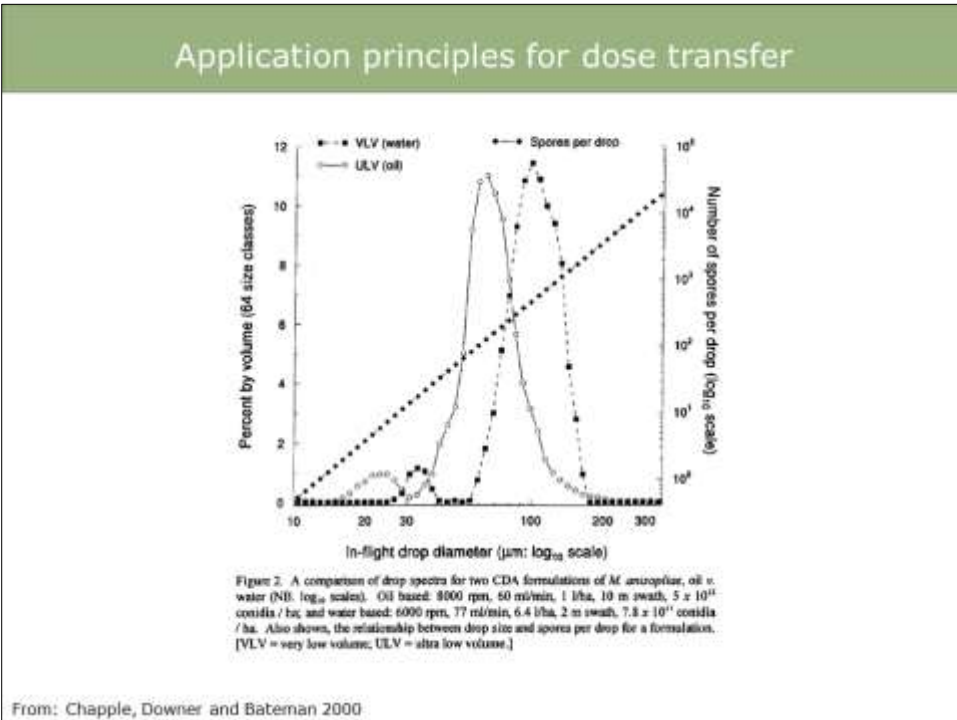
#### CAN BIOLOGICAL AGENTS BE SPRAYED LIKE CHEMICAL PESTICIDES?

Graham Matthews from the International Pesticide Application Research Centre (IPARC) at Silwood Park, UK, considers the application problems of biopesticides.

60 Pesticide Outlook - April 2001

DOI: 10.1039/b102659n

This journal is © The Royal Society of Chemistry 2001



### • *Bacillus thuringiensis* (Bt) bioinsecticides - application

- Papers on the application of Bt were the most common (158)
- Sundaram and Retnakaran (1987): Several papers that analyse in great detail properties of Bt suspensions and how they behave with rotary atomisers this formed the basis of the Canadian forestry biocontrol programme.
- Review by Steinke and Akesson (1993):
  - Authors looked at atomisation and application of Bt and baculoviruses.
  - Noted that physical properties of these micro-organisms and the equipment for applying them would not meet the desired droplet size of 80 to 100 micrometers.
  - Conducted studies on atomisation of Bt and a baculovirus to determine their atomisation characteristics.
  - Concluded: for Bt the high, and often variable, viscosity may adversely affect the efficiency of atomisation, particularly rotary screen atomisers, and further research is required to develop appropriate atomisers for these biopesticides.

### Publications on principles of biopesticide application

- 127 papers on dose transfer reviewed - only 24 for non-Bt micro-organism application.
- Included work on micro-organism based insecticides, fungicides and herbicides.

#### Examples of findings:

- ULV versus hydraulic spray of EPF: ULV = better distributed deposits = better control.
- For granuloviruses: mist blower versus ULV spinning disc for codling moth (*Cydia pomonella*) control = deposition rates was similar but control better with mist blower.
- There are papers that indicate there have been problems with high heat and pressure so this should be checked. But equally there are examples of surprising good survivability of conidia (under heat and sheer stress).

### Publications on Entomopathogenic nematodes (EPN) application – lessons for microbials?

- **EPN are not MPCP.** However, they are recommended to be applied as foliar sprays. Several papers considered the effect of spray pressure, nozzle type and pump type.
- Lello *et al.* (1996), compared different spray equipment for application of *Steinernema carpocapsae* versus diamond back moth (*Plutella xylostella*) on Chinese cabbage:
  - hydraulic nozzles deposited the greatest number of EPN and highest DBM mortality (98%).
  - Spinning disc: 50% DBM mortality while applying less than 9% of the EPN compared to the most effective hydraulic system.

#### Conclusions:

- Interaction of the application technique with biotic and abiotic factors important.
- Sheer forces in spray tanks may harm EPN – filters in equipment
- Measured doses can be delivered by irrigation equipment

### Conclusions on MPCP application research

- Application considered for timing and dose rather than dose-transfer techniques; effects of parameters such as nozzle size, operating pressure, tank system little considered.
- Where dose-transfer considered: delivery techniques such as drenches to surfaces; soil, rootstock or tree bark, rather than for example, foliar canopies.
- Rarely resolved which aspects of the delivery were critical to improving dose-transfer performance.
- Where comparisons to foliar application were mentioned there was often little or no detail of the technique reported.
- Definitive empirical research absent on the droplet spectra or coverage achieved with different application techniques.
- Biopesticide application often different to chemical pesticides, particularly for foliar application of micro-organism based products. However, few experimental data available.

### Microbial application versus exposure - conclusions

- Application for microbials is still poorly understood
- There is a need for real data to establish, for example:
  - Where the dose goes in the crop – LAI intercept and canopy penetration.
  - Relationship between efficacy and dose and droplet spectra – what is the optimum?
  - Droplet delivery – how many droplets contain an effective dose ?
  - Effect of total fermentation products containing spent media.
  - For products with multiple modes of action what is the best dose delivery mechanism
  - What is the effect of formulation, adjuvants, synergists etc.
- From this experimental work could we identify trends that would avoid lengthy examination for each product (one by one).

Thanks to Richard Glass\*, FERA, UK who was co-author of the literature review and DEFRA for the funding of project PS2027.

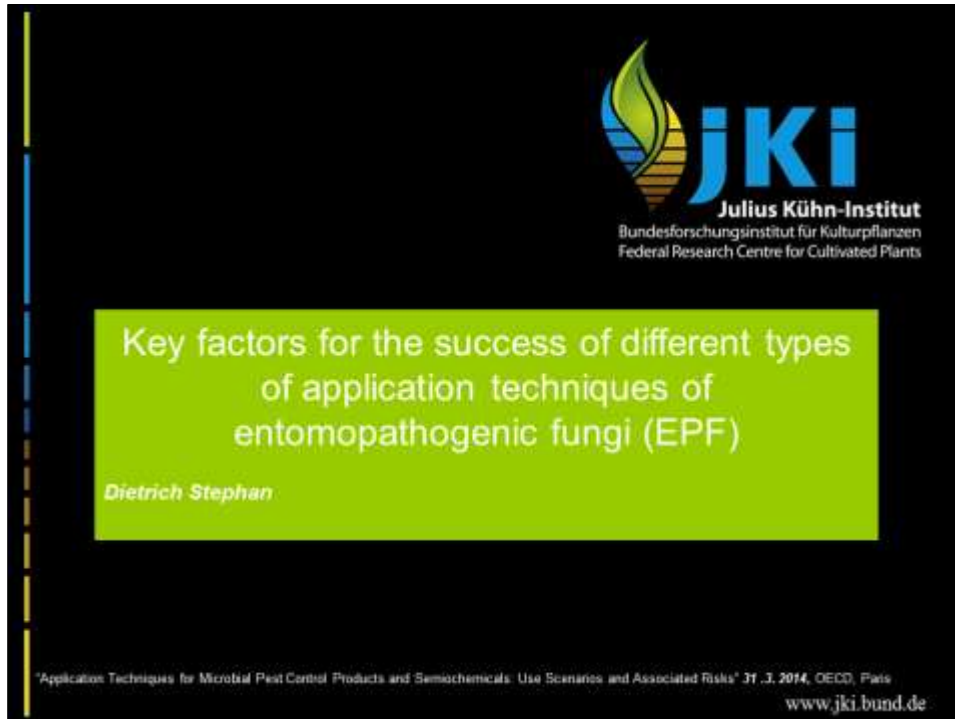
\*Current employer Eurofins Agrosience Services

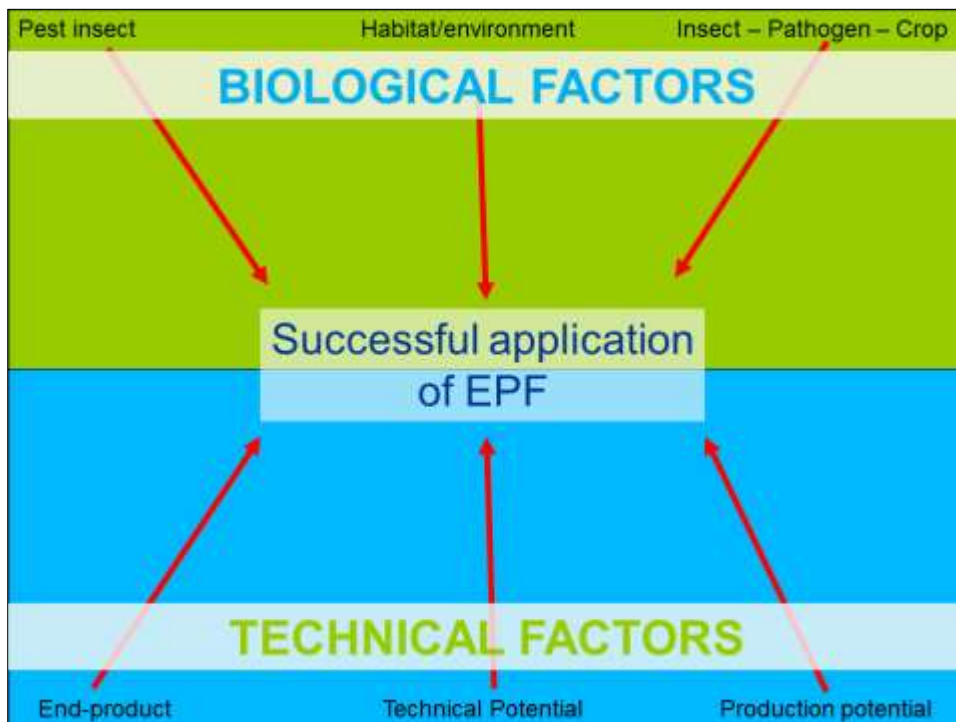


**Key factors for the success of different types of application techniques of entomopathogenic fungi**

[PPT 6]

*Dietrich Stephan (Institute for Biological Control [JKI], Darmstadt; Germany)*






Example1: Cockchafer control with *Beauveria brongniartii* 



Darmstadt (D) *Melolontha hippocastani* Pfungstadt (D) *Melolontha melolontha* Lungern (CH) Schweizer, Agroscope (CH)



Jan-April | Mai | Juni | 2-3 Jahre | Juli | Juli-Aug. | Sept.-Dez.

Example1: May beetle/White grub control with *Beauveria brongniartii* 



Schweizer, Grünwäber Agroscope (CH)



Jan-April | Mai | Juni | 2-3 Jahre | Juli | Juli-Aug. | Sept.-Dez.

Example 1: May beetle/Cockchafer control with *Beauveria brongniartii* 



Conidia      Submerged spores

But: No product available



Jan-April      May      June      2-3 Jahre      July      Juli-Aug.      Sept-Dez.

Grasslands      Trials in Switzerland:  
Flumserberge 2004 / 2005 

Before      and one year after application



Schweizer Gräbenweber  
Agroscope (CH)







**Forest:** Soil Application 

**Planting hole:**  
in afforestation fields

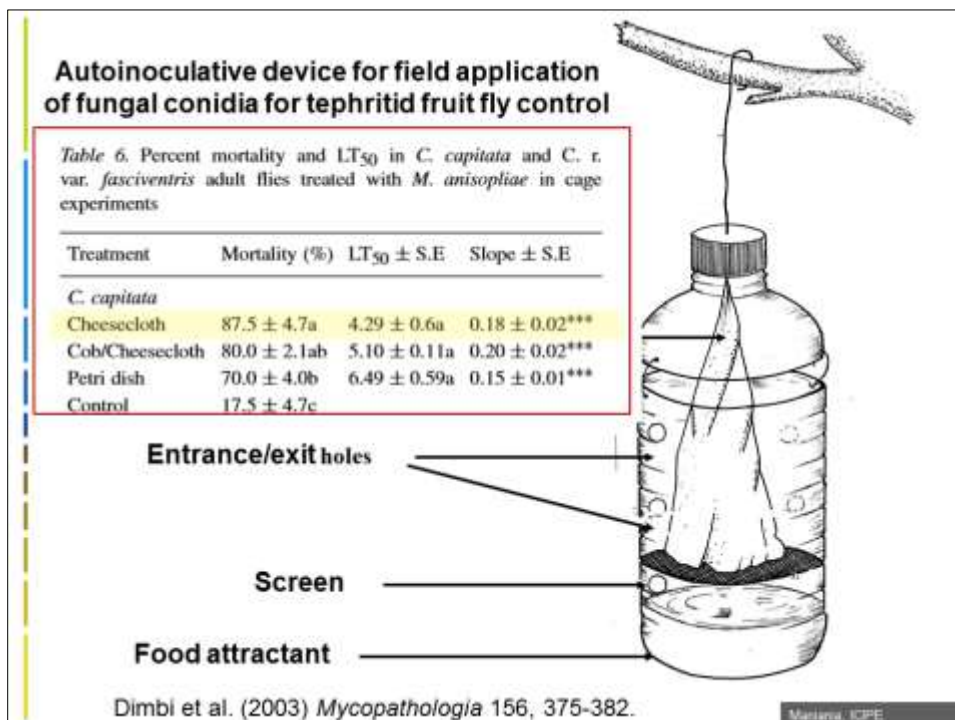
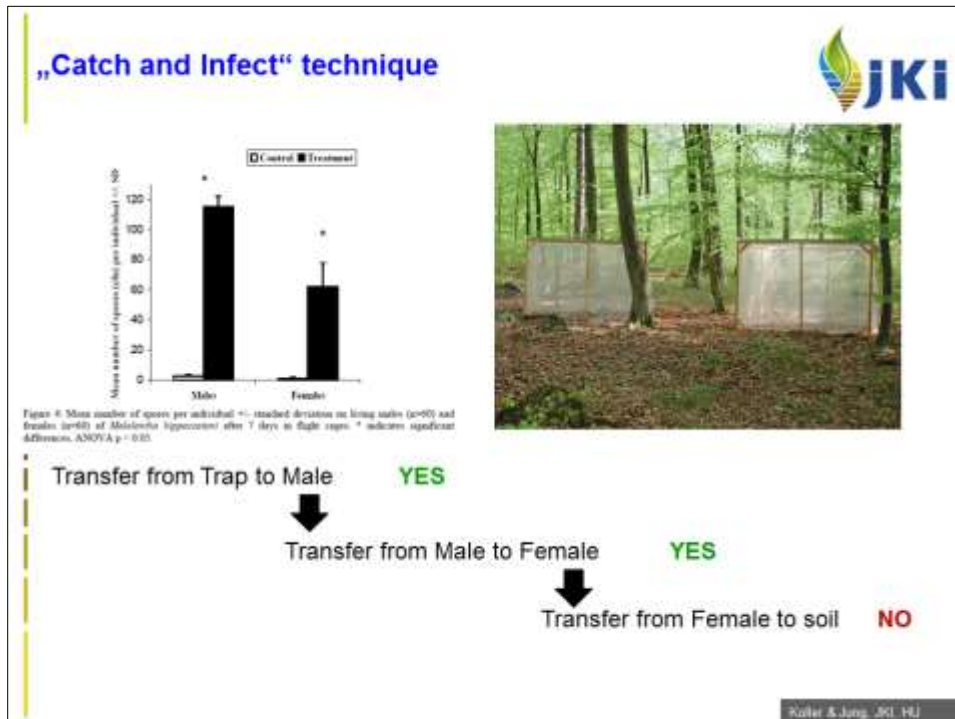
After application of 20g/hole or 50 kg/ha Melocont<sup>®</sup> after two years  $10^4$ - $10^5$  CFU/g soil were reisolated.



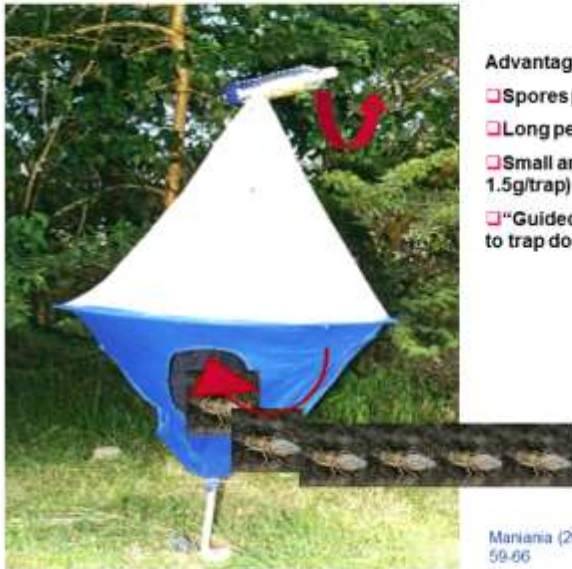

**Band application**  
between in Pine forest



Jung & Zimmermann, JK



### Contamination device (Cd) for tsetse control




**Advantages:**

- ☐ Spores protected against UV radiation
- ☐ Long persistence in the field: up to 30 days
- ☐ Small amount of inoculum used (1-1.5g/trap)
- ☐ "Guided missile"!! (40% of flies that come to trap do not enter)

Mariania (2002) *Biocontrol Science and Technology* 12, 59-66

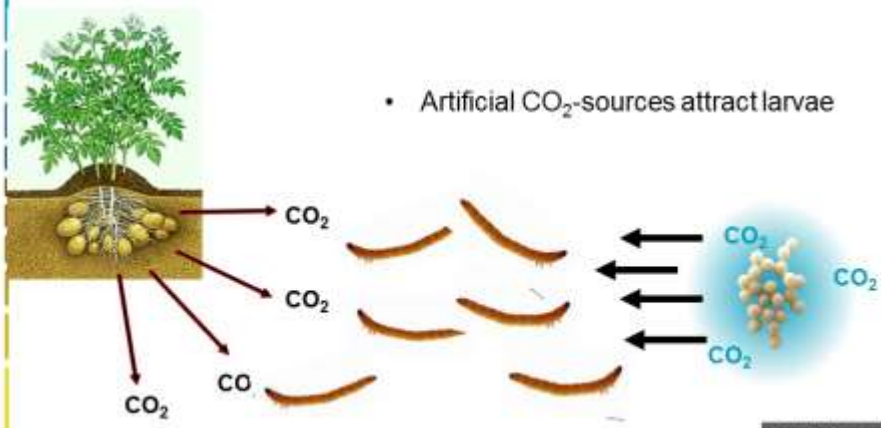
Mariania, ICPE

### „Attract & Kill“ strategy



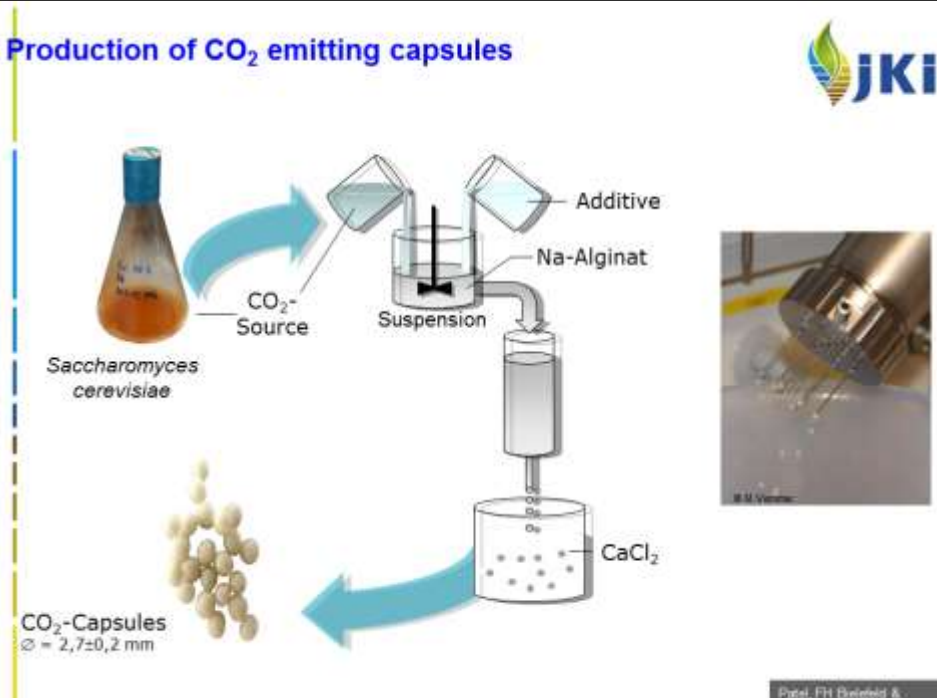
- Growing roots produce CO<sub>2</sub>
- Soil dwelling pests are attracted towards CO<sub>2</sub>-sources

• Artificial CO<sub>2</sub>-sources attract larvae



Prof. Dr. Dorothea B. Vidal, Uni Göttingen

### Production of CO<sub>2</sub> emitting capsules



The diagram illustrates the production process of CO<sub>2</sub> emitting capsules. It starts with a flask of *Saccharomyces cerevisiae* (labeled as CO<sub>2</sub>-Source). This is combined with a Suspension containing Na-Alginat and Additive. The mixture is then dripped into a container of CaCl<sub>2</sub>, where the capsules are formed. The final product is shown as CO<sub>2</sub>-Capsules with a diameter of  $\varnothing = 2,7 \pm 0,2$  mm. An inset photograph shows a close-up of the capsule formation process.

**JKI**

*Saccharomyces cerevisiae*

CO<sub>2</sub>-Source

Additive

Na-Alginat

Suspension

CaCl<sub>2</sub>

CO<sub>2</sub>-Capsules  
 $\varnothing = 2,7 \pm 0,2$  mm

Prof. Dr. D. B. D. & Vidya, Uni Göttingen

### Behavior of wireworms at capsules



The photographs show the behavior of wireworms towards the capsules. The top left image shows wireworms on a surface with capsules. The top right image shows a wireworm on a capsule. The bottom right image shows a capsule that has been nibbled off by a wireworm.

**JKI**

Wireworms at capsules

Nibbled off capsules

Prof. Dr. D. B. D. & Vidya, Uni Göttingen

### Proof that spores remain viable when encapsulated

A) Fungal spores in Ca-alginate capsule  
 B) Growth of fungal spores out of Ca-Alginate capsule  
 C) Ca-alginate capsules with fungal spores on water-agar (20x)

Prof. Dr. D. B. D. & V. U. Göttingen

### Damage assessment on potato tubers: *Metharizium*

**Hameln**

NS

Oneway ANOVA:  
 $F_{4,25} = 1,58; P > 0,05$

**Uelzen**

\*

NS

ESL

Oneway ANOVA:  
 $F_{4,25} = 3,14; P < 0,05$

**Application within rows**

Prof. Dr. D. B. D. & V. U. Göttingen



**Example 3 Production of entomopathogenic fungi**  
*Metarhizium brunneum* (Strain Ma43-F52-BIPESCO5)  
 isolated in 1971 from *Cydia pomonella* by Müller-Kögler (JKI, formerly BBA)

**First Product-Generation**  
 (liquid produced mycelial pellets)




**Second Product-Generation**  
 (solid state fermented grain with mycelium/conidia)





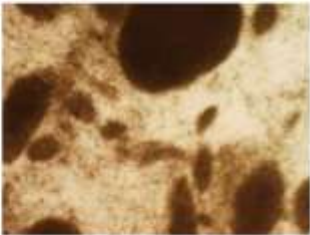
**Third Product-Generation**  
 (solid state fermented conidia)



www.jki.bund.de



**Microsclerotial Production by *Metarhizium***

**Day 4: Microsclerotium formation**

**Day 7-9; Microsclerotia heavily melanized**

Jackson & Iwanski, USDA

**Scale-up of microsclerotia fermentation**







Addition of fermentation  
broth to holding vessel  
with diatomaceous earth

Harvest of sclerotia biomass  
from fermentation













- Flexible sizing, e.g., 0.5-1.5 mm diam.
- For at-planting application
- 100 grains / g
- Free flowing
- Shelf stable:  
1+ year @ 24-26° C

Jackson & Janowski, USDA

**Production and formulation of mycelial fragments**



Mycelial fragments

Coating

Fungal growth www.jki.usda.gov

## Blastospore Production in liquid culture



Hemolymph of larvae of *Galleria melonella* Larve injected with Ma43






[www.jki.bund.de](http://www.jki.bund.de)





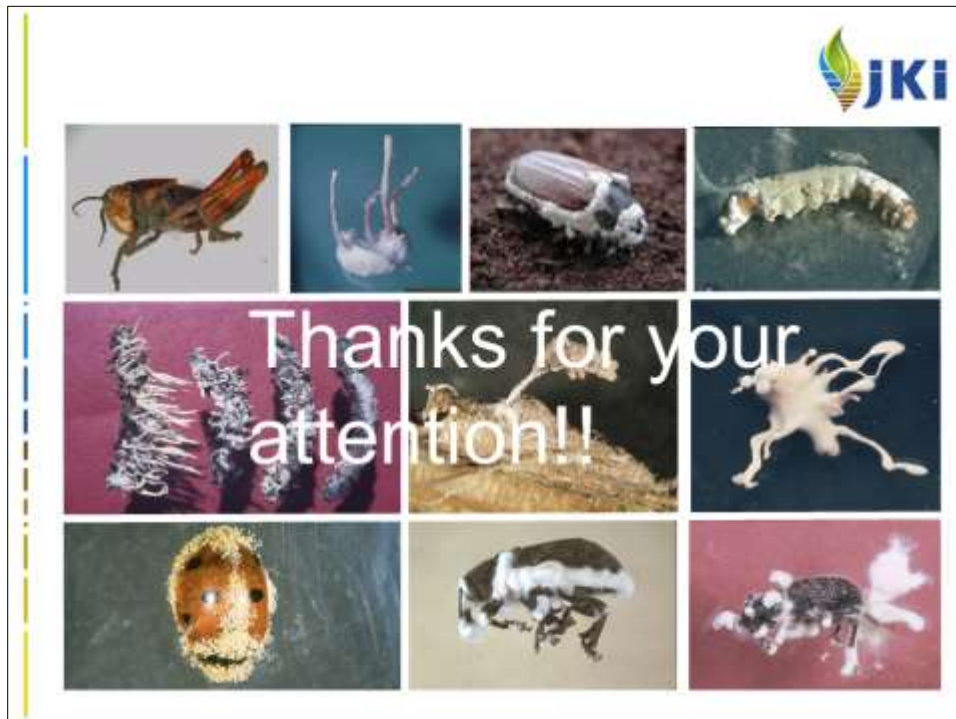

## New formulations with new application strategies are under development !!

- Spores protected against UV radiation
- Persistence in the environment

### Disadvantage

- Product is not always uniform
- Problems with contaminations are reported
- Particles too large for standard application technology
- Up to now population of cockchafers can be reduced to 20 larvae/m<sup>2</sup> which is too high for orchards
- Metabolites (?)
- Technically not feasible.

[www.jki.bund.de](http://www.jki.bund.de)



**Aerial application techniques with microbials used in plant protection and biocidal products**

[PPT 7]

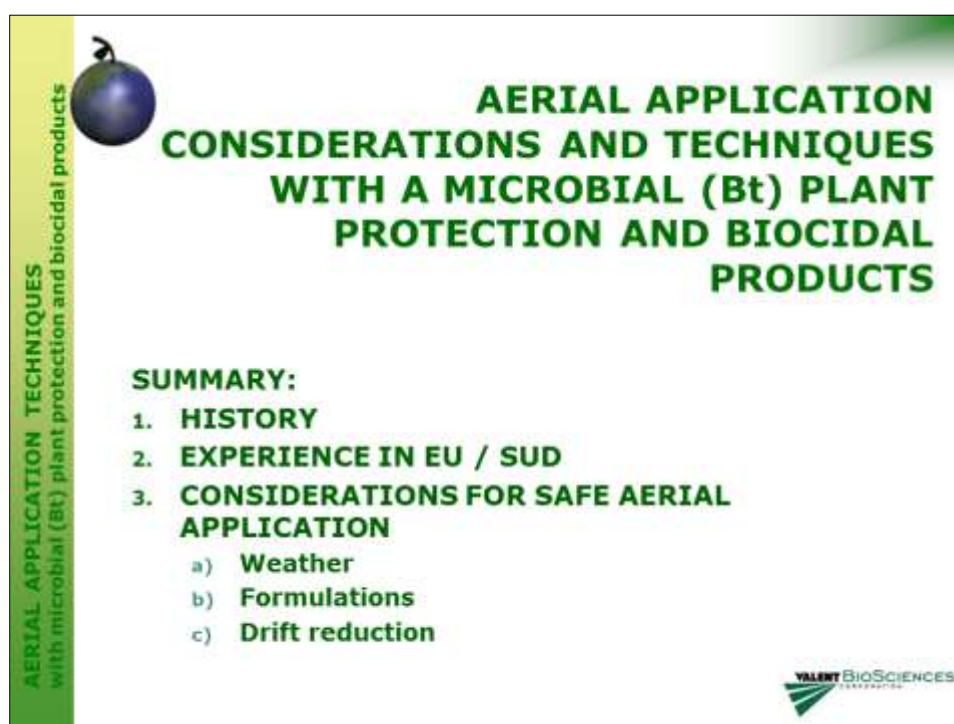
*Denise Munday (Valent BioSciences, Nyon; Switzerland)*



**Seminar on "Application Techniques for Microbial Pest Control Products and Semiochemicals: Use Scenarios and Associated Risks"**

**Denise Munday**  
**SCAE-Valent BioSciences**  
**OECD Paris 31<sup>st</sup> March, 2014**

**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and biocidal products




**AERIAL APPLICATION CONSIDERATIONS AND TECHNIQUES WITH A MICROBIAL (Bt) PLANT PROTECTION AND BIOCIDAL PRODUCTS**

**SUMMARY:**

1. **HISTORY**
2. **EXPERIENCE IN EU / SUD**
3. **CONSIDERATIONS FOR SAFE AERIAL APPLICATION**
  - a) **Weather**
  - b) **Formulations**
  - c) **Drift reduction**

**AERIAL APPLICATION TECHNIQUES**  
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**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and biocontrol products



## Flying it on since 1929



UGA1275079



**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and biocontrol products




## History of aerial use



- Worldwide aerial application of Bt over decades, in particular for
  - Forests
  - Mosquito control over water
  - Extensive and/or high crops
  - Urban eradication of invasive pests




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## Forest treated with Btk



**GYPSY MOTH DEFOLIATION  
FORAY SPRAY BLOCK, USA**



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## BTI – BLACK FLY CONTROL BY AERIAL APPLICATIONS



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## Btk – urban aerial applications



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## Relative safety of Bt

- *Btk* is one of the few pesticides registered for widespread aerial application in many countries
- Even broadcast over urban populations to eradicate invasive pests soon after discovery
  - Asian gypsy moth in Vancouver, BC (1988)
  - Asian gypsy moth in North Carolina, USA (1993)
  - *Orgyia thyellina* in Auckland, NZ (1996)
  - *Teia anartoides* in Auckland, NZ (2003)


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AERIAL APPLICATION TECHNIQUES  
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## Aerial treatment in Europe until 2006

- There has also been a long history of aerial application in Europe:
  - Used against forestry pests and/or mosquitoes, including in
    - France
    - Germany
    - Italy
    - Lithuania
    - Poland
    - Serbia
    - Spain
    - Sweden



AERIAL APPLICATION TECHNIQUES  
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## Until... the SUD

- Dir. 2009/128/EC Article 9
  - Aerial spraying is prohibited, unless...
    - No viable alternative
      - Human health and environmental advantages
    - Pesticides must be explicitly approved
    - Operators and enterprise must be certified
    - Risk management measures taken
      - Ensure no adverse effects on bystanders
      - No spray in proximity to residential areas
    - Use of best technology to reduce drift



**AERIAL APPLICATION TECHNIQUES**  
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## No viable alternative - forestry



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This slide features a vertical green bar on the left with the text 'AERIAL APPLICATION TECHNIQUES with microbial (Bt) plant protection and bioicidal products'. To the right of the bar is a blue bomb icon. The main title 'No viable alternative - forestry' is in green. Below the title is a photograph of a helicopter spraying a forested valley. The Valent BioSciences Corporation logo is in the bottom right corner.

**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and bioicidal products



## No viable alternative - mosquito



**VALENT BIOSCIENCES**  
CORPORATION

This slide features a vertical green bar on the left with the text 'AERIAL APPLICATION TECHNIQUES with microbial (Bt) plant protection and bioicidal products'. To the right of the bar is a blue bomb icon. The main title 'No viable alternative - mosquito' is in green. Below the title is an aerial photograph of a mosquito breeding area, showing a large body of water with a complex network of channels and islands. The Valent BioSciences Corporation logo is in the bottom right corner.

AERIAL APPLICATION TECHNIQUES  
with microbial (Bt) plant protection and biocidal products



## Human health impact




AERIAL APPLICATION TECHNIQUES  
with microbial (Bt) plant protection and biocidal products



## Explicitly approved

- This has been done in FR, ES and IT
  - Foray 48B against processionary caterpillars
    - France:
      - Aerial application RA submitted
      - Registration granted, but with restrictions
    - Spain:
      - Mutual recognition granted based on France
  - Italy:
    - 1-2/yearly submission of emergency applications
    - Conditional on monitoring of treatment areas
  - Germany:
    - Voted use of Btk by aerial application in parliament



**Agricultural aircrafts**

**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and biocidal products



The image is a 2x2 grid of photographs. The top-left photo shows a yellow and white aircraft with 'EC-GAR' on its side, parked on a tarmac. The top-right photo shows a helicopter with a large cylindrical tank mounted on its side, parked on a field. The bottom-left photo is a close-up of the underside of a yellow aircraft, showing various equipment and tanks. The bottom-right photo shows a helicopter in flight over a green landscape.

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**TWO TYPES OF ATOMIZERS**

**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and biocidal products




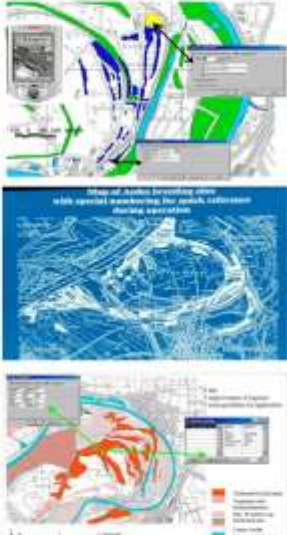
The image contains two photographs. The left photo shows a person in an orange safety vest standing next to a large white cylindrical atomizer mounted on the wing of a red and white aircraft. The right photo is a close-up of a red and white flat fan hydraulic nozzle mounted on a wing.

Flat fan hydraulic nozzles  
Micronair rotary atomizers

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**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and bioicidal products

## Survey / Mapping Using GPS and GIS

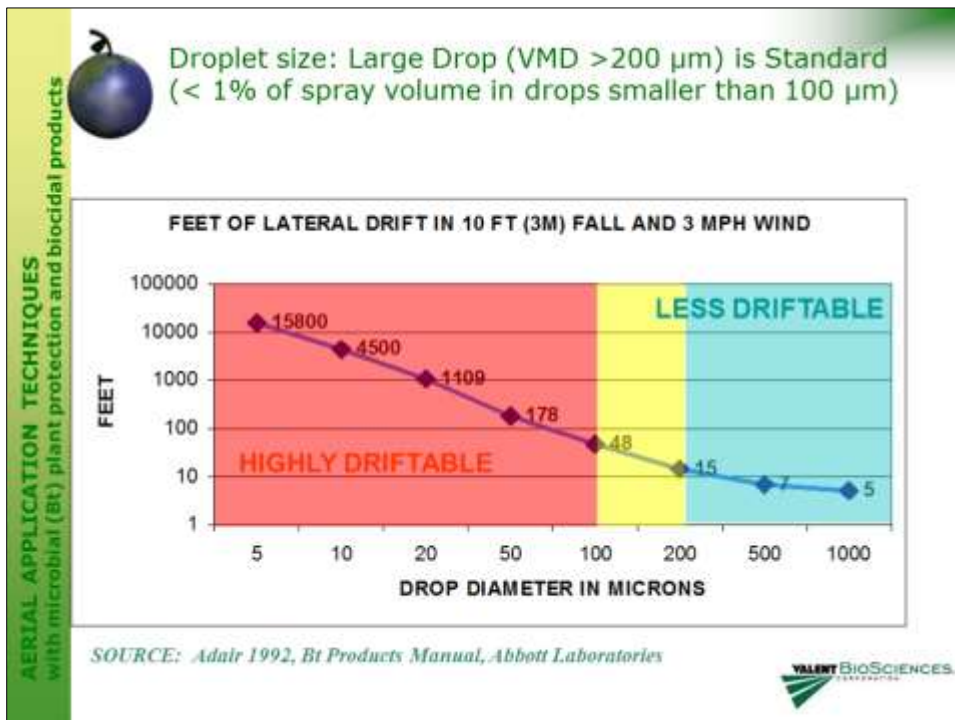
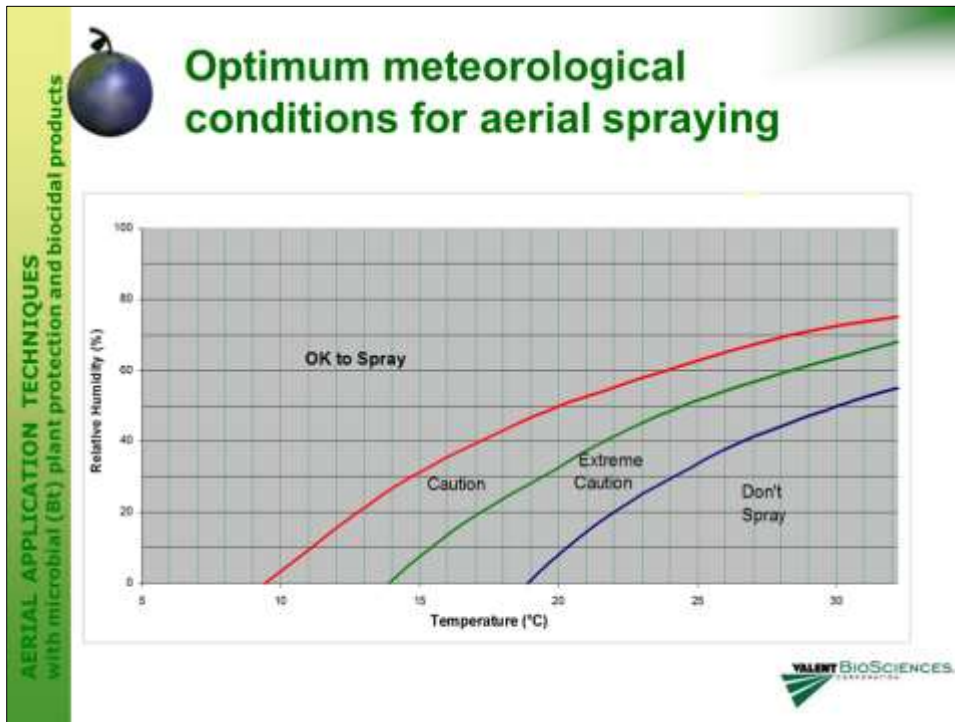
**VALERY BIO SCIENCES**  
CORPORATION

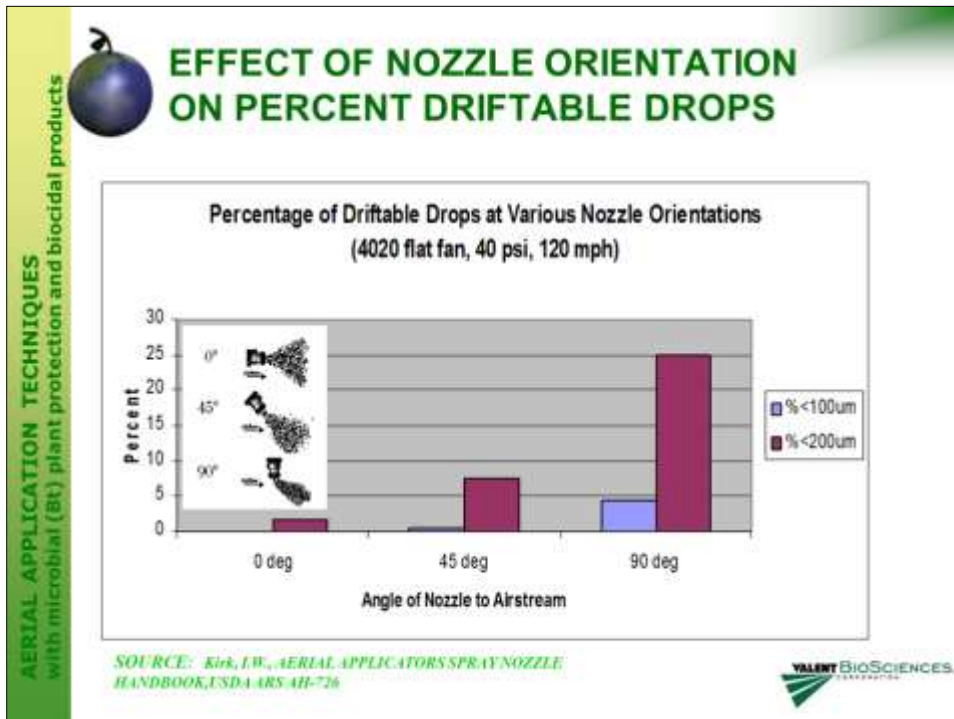
**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and bioicidal products

## Variable for consideration for spray (liquid)

- Temperature and relative humidity has profound effect on droplet size and thus coverage on the foliage
  - Rising temperatures = lower RH's = increased evaporation
  - Evaporation reduces size of droplets and increases potential for drift
  - Very fine droplets may never be deposited on target

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**AERIAL APPLICATION TECHNIQUES**  
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## Not so good conditions



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## Targeted applications: Granular formulations



VECTOBAC GRANULATE

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# CALIBRATION




**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and biocidal products

**Systems are characterized to determine the most efficient swath (lane separation) and verify drop sizes.**

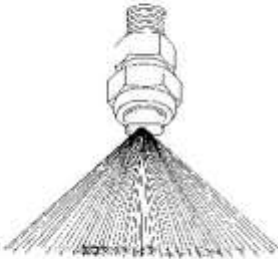



AERIAL APPLICATION TECHNIQUES  
with microbial (Bt) plant protection and biocidal products




## Configuration Developed for mosquito control in Italy in 2007

- Low volume of 15 litres per hectare
- 16 – Teejet flat fan TP4004 nozzles
- Large drops 200–800 µm
- Low drift
- Good coverage
- Good efficacy
- High efficiency






AERIAL APPLICATION TECHNIQUES  
with microbial (Bt) plant protection and biocidal products




## Field Characterization of LV Configuration for mosquito control in Italy


Average VMD = 566 µm  
 3% of volume <200 µm  
 0.4% of volume <100 µm  
 CV = 21%  
 14.6 Litre sprayed, 13.9 detected  
 95% Recovered on cards

- Test system:
  - Card line swath test
    - 2 reps of 40 meter card lines
    - 3 x 4 Inch kromekote cards on CD cases
    - 1 meter card separation
    - Red dye in spray tank
    - Flight perpendicular to card line
  - 30 degree cross wind from right (5 kph)
  - Cards analyzed with scanner & Stainanalysis
- Application System
  - Schwiezer 300C
  - 15 LPH – 16 TP 4004 Nozzles




**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and bioicidal products



## In summary: Optimum aerial application considerations

- Surveillance driven treatment decisions
- Targeted spray:
  - GPS planned area / monitored applications
  - Drift reduction techniques
    - Type of Nozzles/Atomizer
    - Weather conditions
    - Time of day
- Formulation characteristics
- Pilot skill
- Type of Aircraft



**AERIAL APPLICATION TECHNIQUES**  
with microbial (Bt) plant protection and bioicidal products



Acknowledgements:  
Peter DeChant  
Jacques Dugal  
Steve Nicholson



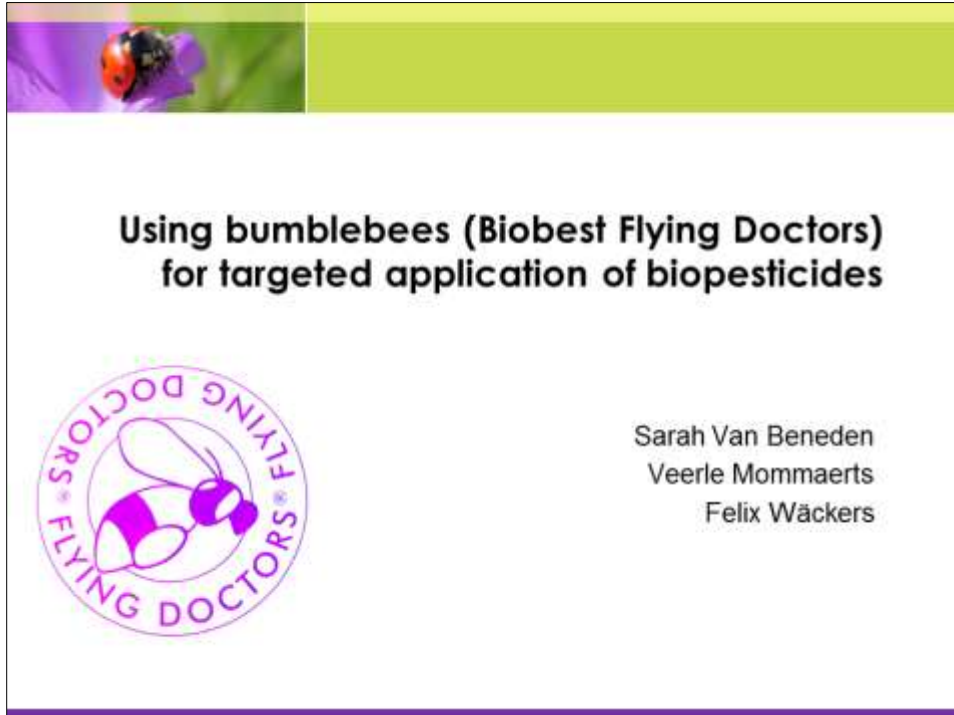
**THANK YOU !**




## The use of bumblebees for the application of biopesticides

[PPT 8]

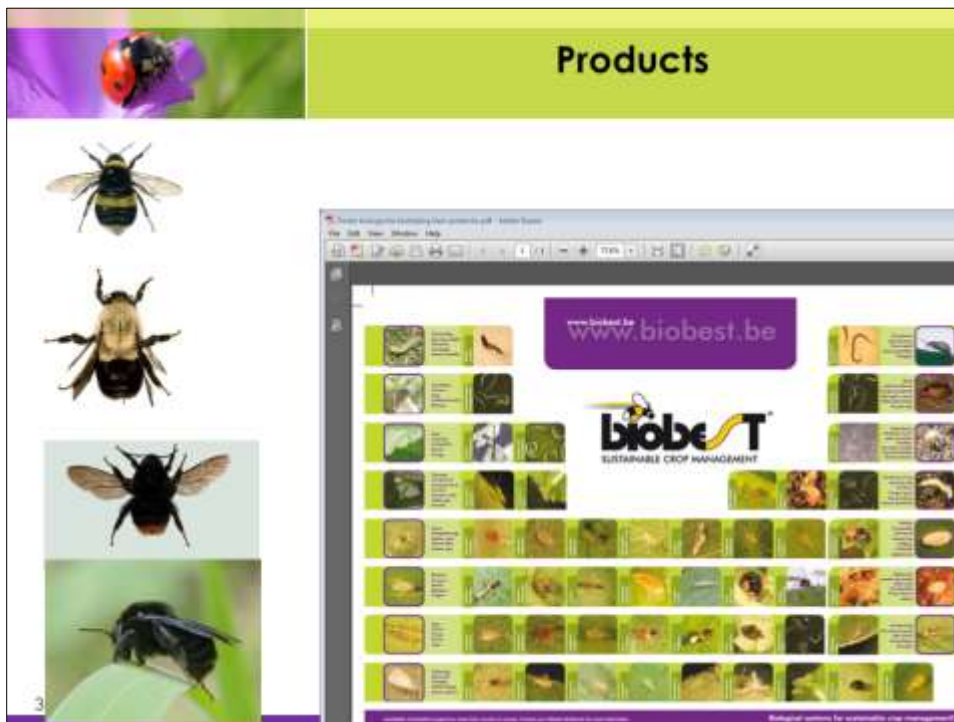
*Felix Wäckers (Biobest, Westerlo; Belgium)*





Using bumblebees (Biobest Flying Doctors)  
for targeted application of biopesticides




Sarah Van Beneden  
Veerle Mommaerts  
Felix Wäckers




Products




3




### Entomovector technology





**Pollination + Crop Protection**



=

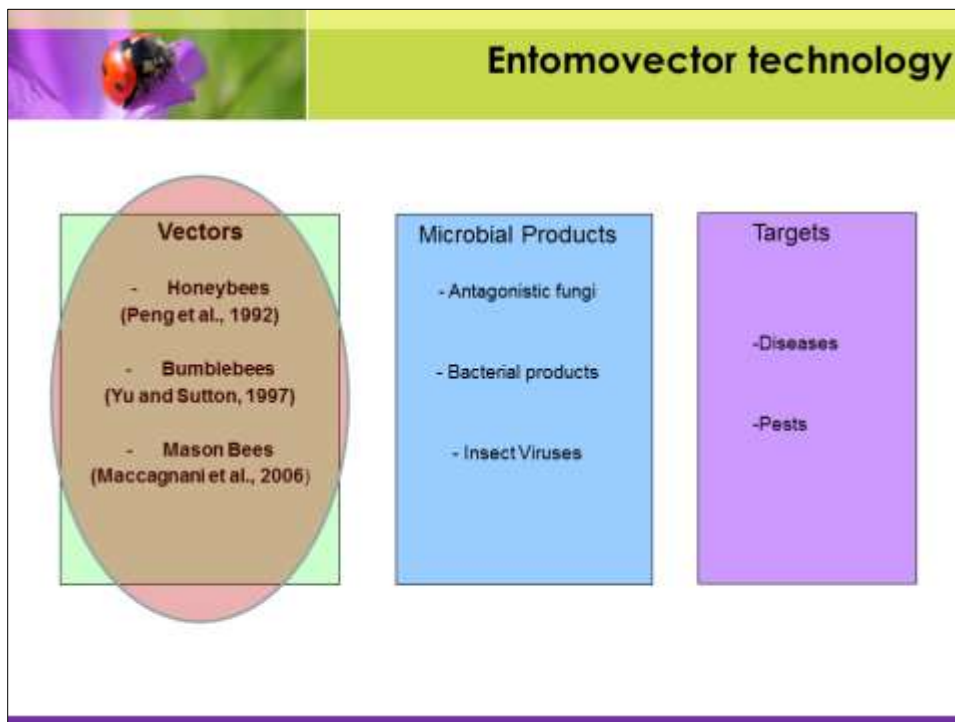


+



### Entomovector technology

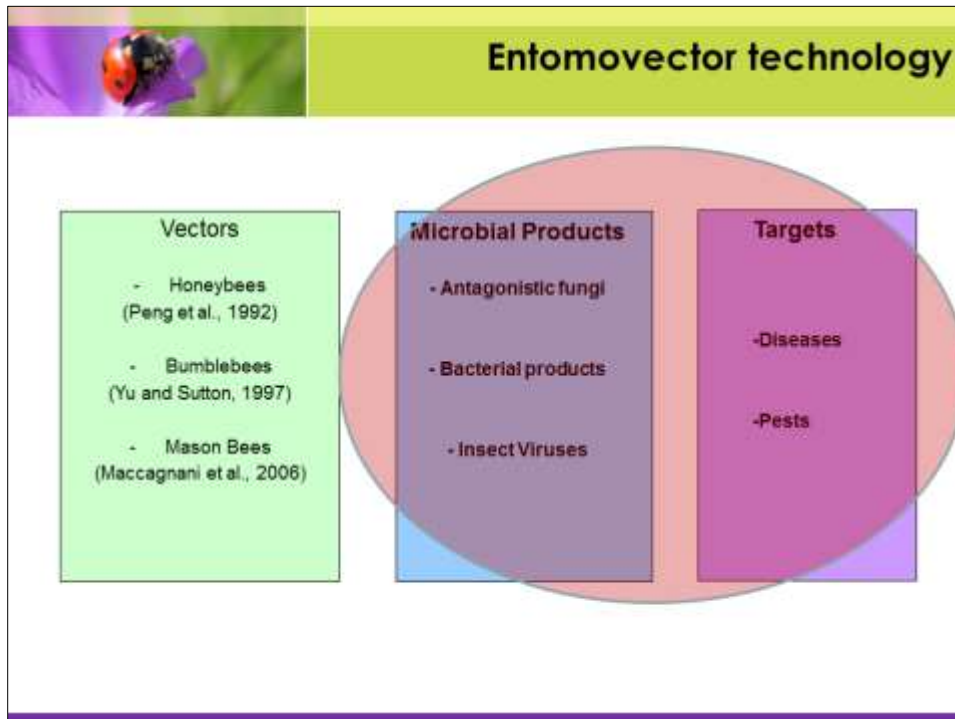
Vectors	Microbial Products	Targets
<ul style="list-style-type: none"><li>- Honeybees (Peng et al., 1992)</li><li>- Bumblebees (Yu and Sutton, 1997)</li><li>- Mason Bees (Maccagnani et al., 2006)</li></ul>	<ul style="list-style-type: none"><li>- Antagonistic fungi</li><li>- Bacterial products</li><li>- Insect Viruses</li></ul>	<ul style="list-style-type: none"><li>-Diseases</li><li>-Pests</li></ul>



**Vector**

Select the most efficient vector

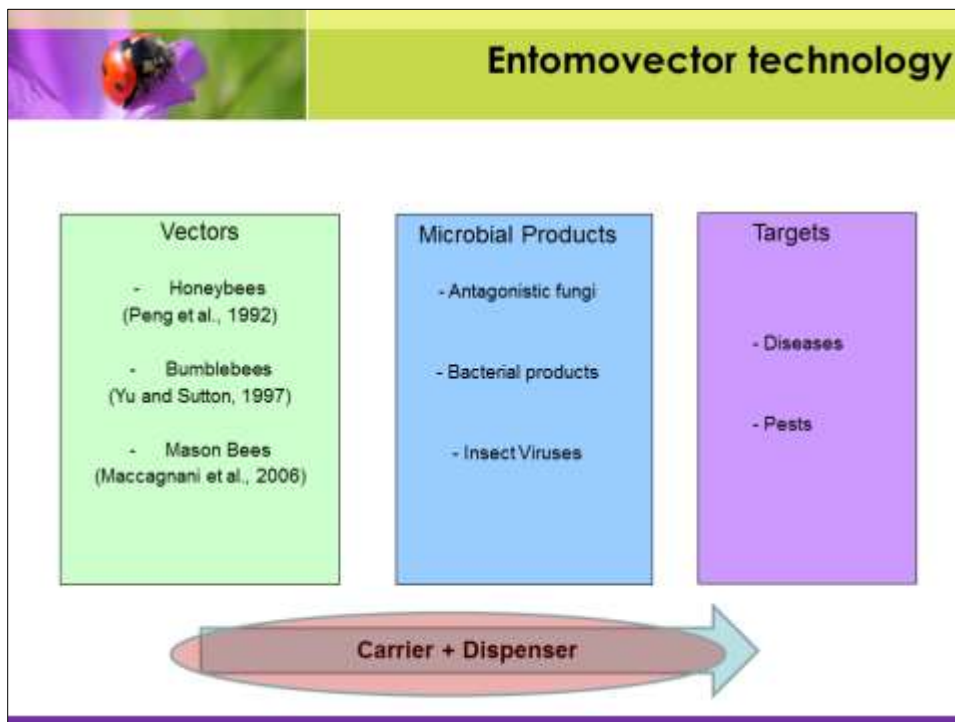
- Crop dependent !
- Honeybees & bumblebees
  - available year around
    - Greenhouse crops: bumblebees only
      - Widely used
      - More effective
    - Open Field: bumblebees vs honeybees
      - Better flight activity at cloudy/rainy/cold days
      - Stay in closer proximity to their nest
      - Larger loading capacity




**Selection of the biocontrol agent**

- Effective towards the disease/pest
  - Effective at low concentrations
- Compatible with the vector
  - No bee toxicity
  - No deterrence
  - Good adherence (product formulation; carriers)
- Able to germinate and colonize in flower
  - Fast colonizer
  - Able to survive environmental conditions/UV

The diagram includes a microscopic image of a plant stem with small, dark, seed-like structures, likely representing the biocontrol agent being discussed.




- 
- Transport**
- **Formulation**
    - Identification of carrier
      - Optimize vector loading
      - Guarantee deposition in flower
      - No negative effect on microbial product/vector
  - **Dispenser**
- The diagram shows the transport process. It features a light green background with a small image of a ladybug on a purple flower in the top left corner. The text is organized into a bulleted list under the heading 'Transport'.




## Hive-mounted dispensers

Several prototypes developed over the past 20 years




**Honey bees**

Tiwaks



**Bumble bees**

Yu & Sutton 1997




**Osmia**

Maccagnani et al. 2006



## Bottlenecks



- Impact on bee flight/pollination activity
- Sufficient loading of outgoing bees
- Prevent brood contamination





### Biobest "Flying Doctors"® System

- Easy introduction of product in replaceable trays
- When bumblebees exit through the dispenser, the product adheres to their legs and hairs.
- The bumblebees then transport and deposit the product on flowers during pollination.



### Biobest "Flying Doctors"® System



#### Advantages

- Targeted product delivery
- Strong reduction in product use
- Continuous application
- Considerable savings in labour



### Biobest "Flying Doctors"® System

Designed to ensure highest level of pollination activity



Flying Doctors® bumblebee dispenser hive

Patented one-way traffic design...

...ensures product pick-up only when bumblebees leave the hive

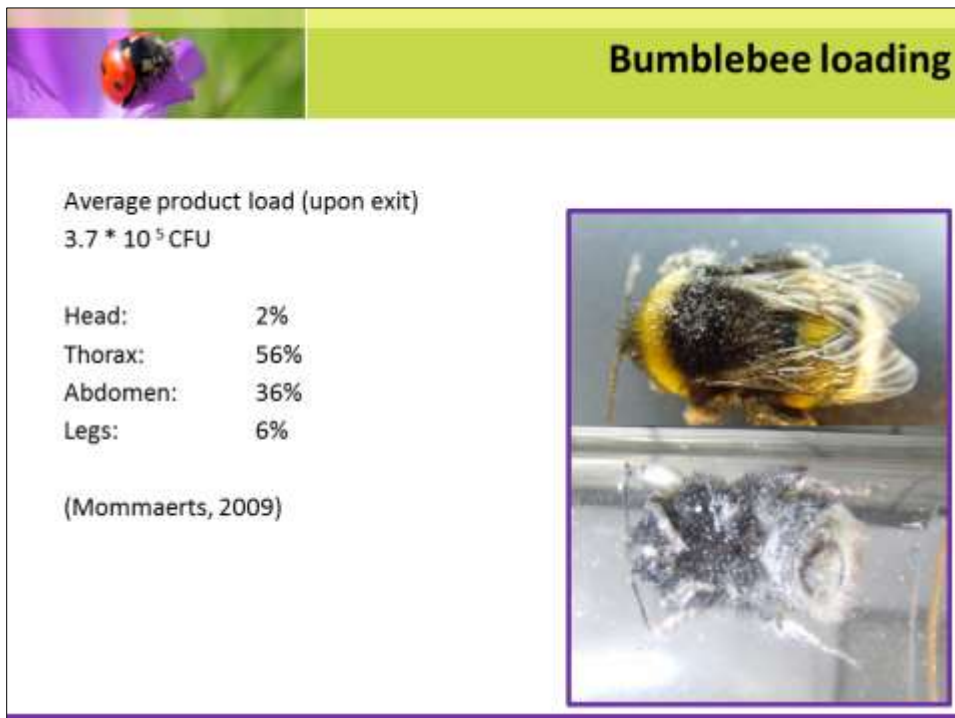
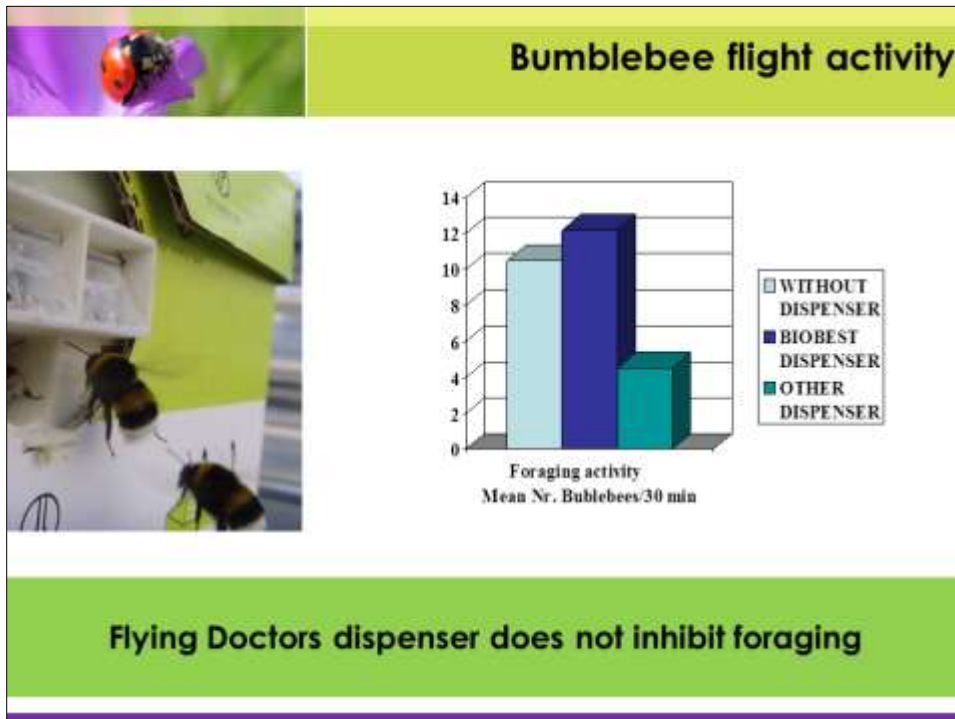



ONE WAY DO NOT ENTER

Flying Doctors® bumblebee dispenser hive





Biobest Flying Doctors  
view inside the dispenser





## Biobest "Flying Doctors<sup>®</sup>" System

Verdera B4: authorized in Belgium for use with FD system for control of *Botrytis cinerea* in Strawberry & Raspberry



*Gliocladium catenulatum* J1446

- Competition for nutrients and space
- Mycoparasitism

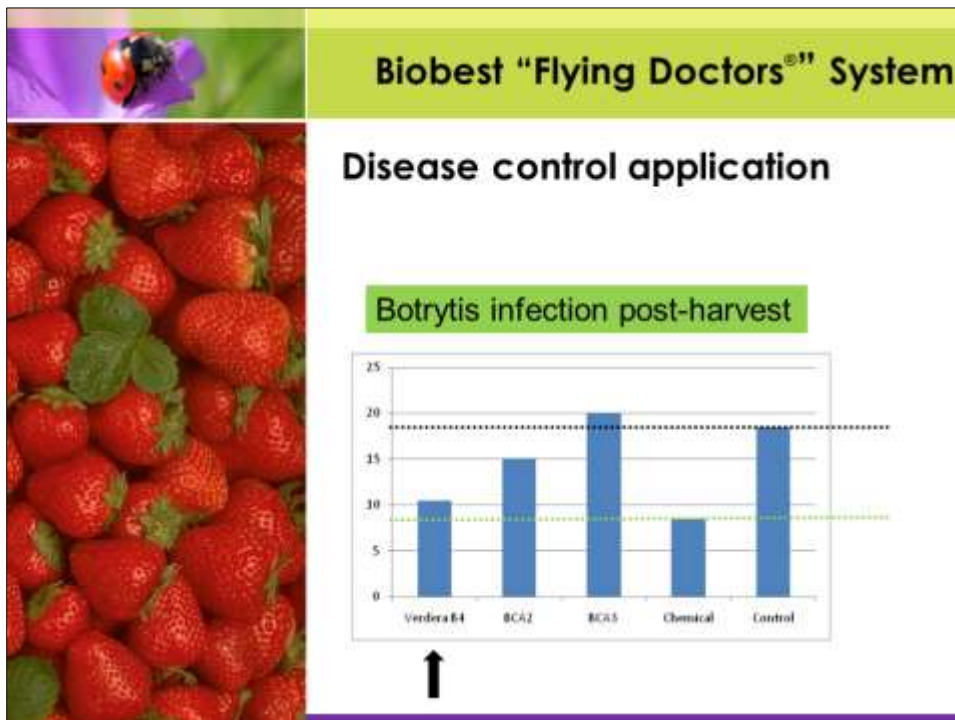
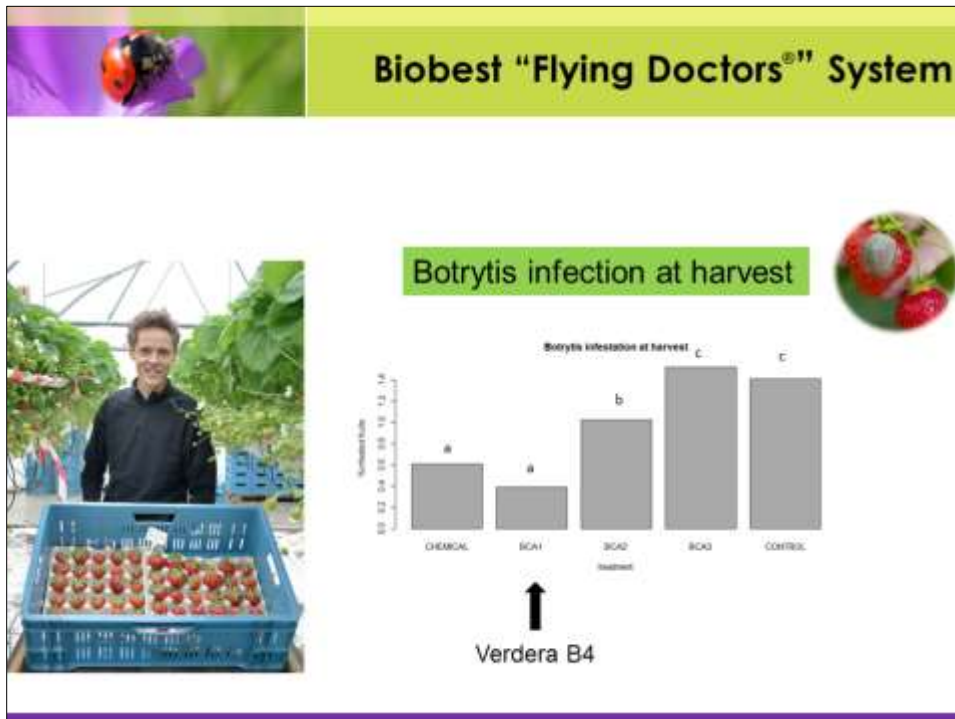


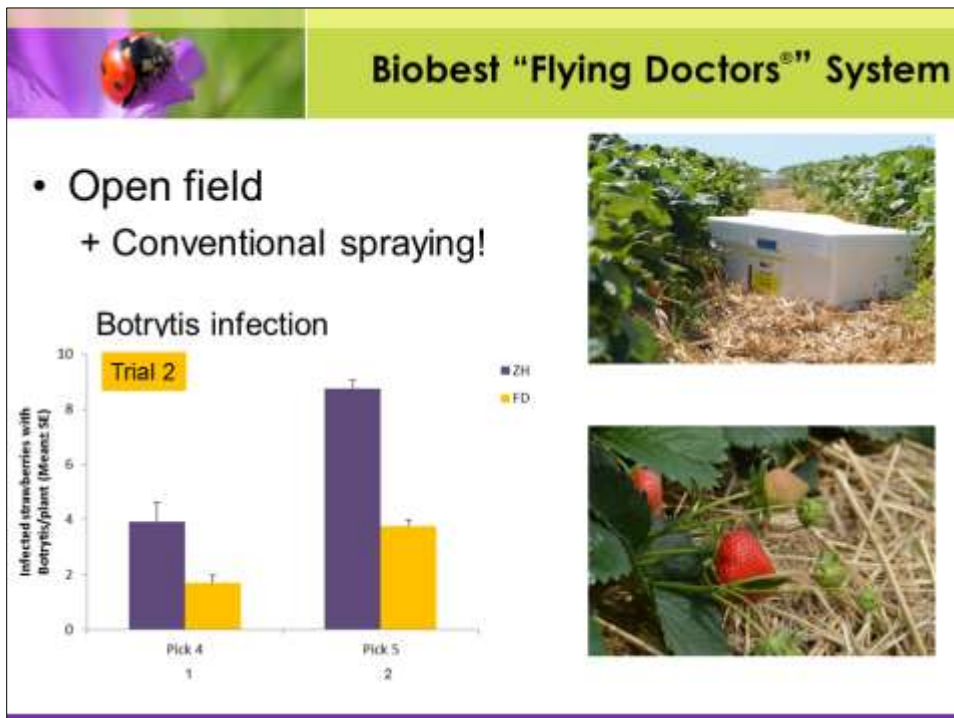
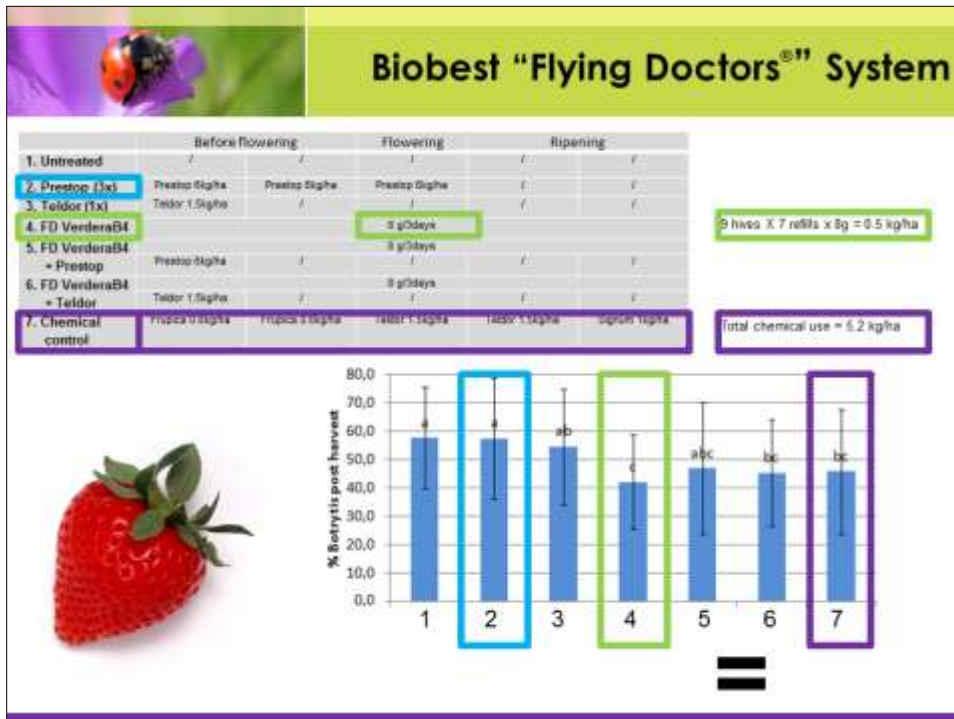
## Biobest "Flying Doctors<sup>®</sup>" System


### Disease control application

In combination with Verdera B4:  
Proven efficacy for *Botrytis* control











### Other opportunities

- Flower-transmitted diseases & pests



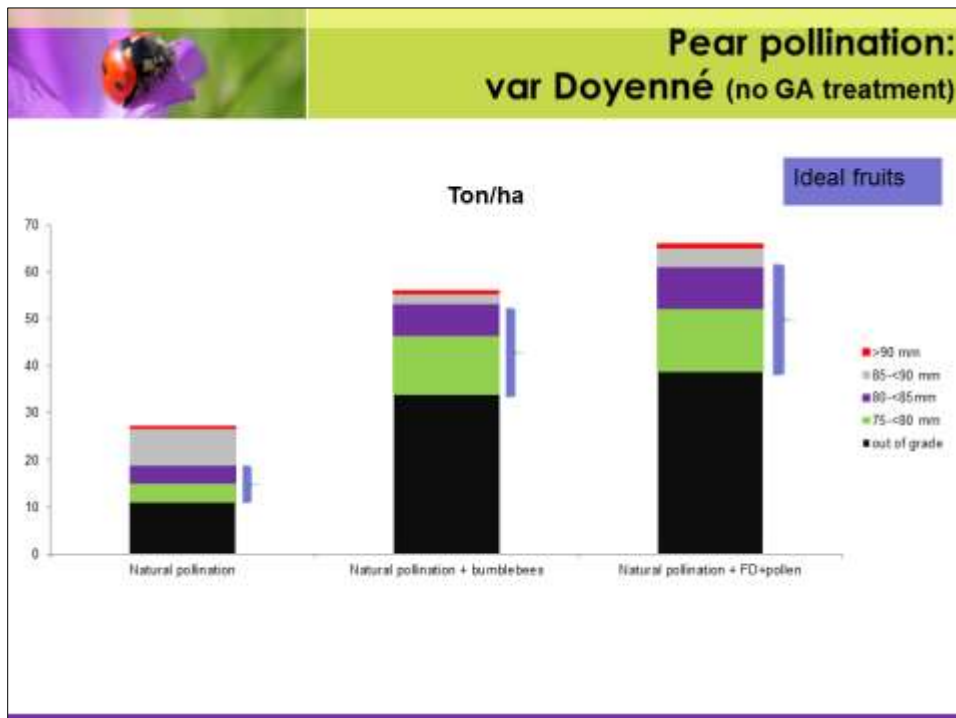
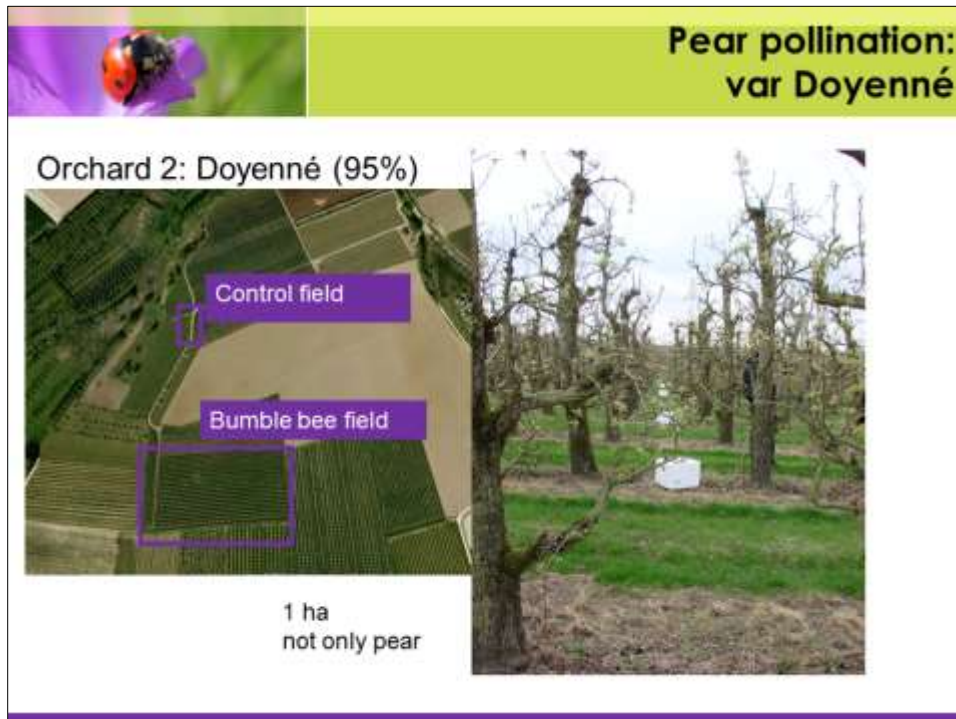
...

- Pollen vectoring



### Regulatory issues

- New application. Requirements for registration extension?
- GEP efficacy trial requirements are based on small plot trials: Entomovectoring involves big trials. Replicates within plots?
- Registration requirements for basic substances as carriers/co-formulants unclear





## Summary



### Advantages

- Targeted product delivery
- Strong reduction in product use
- Continuous application
- Considerable savings in labour



### Successful Applications

- Disease Control
- Pest Control
- Pollination



## Thanks to: Biobest R&D team

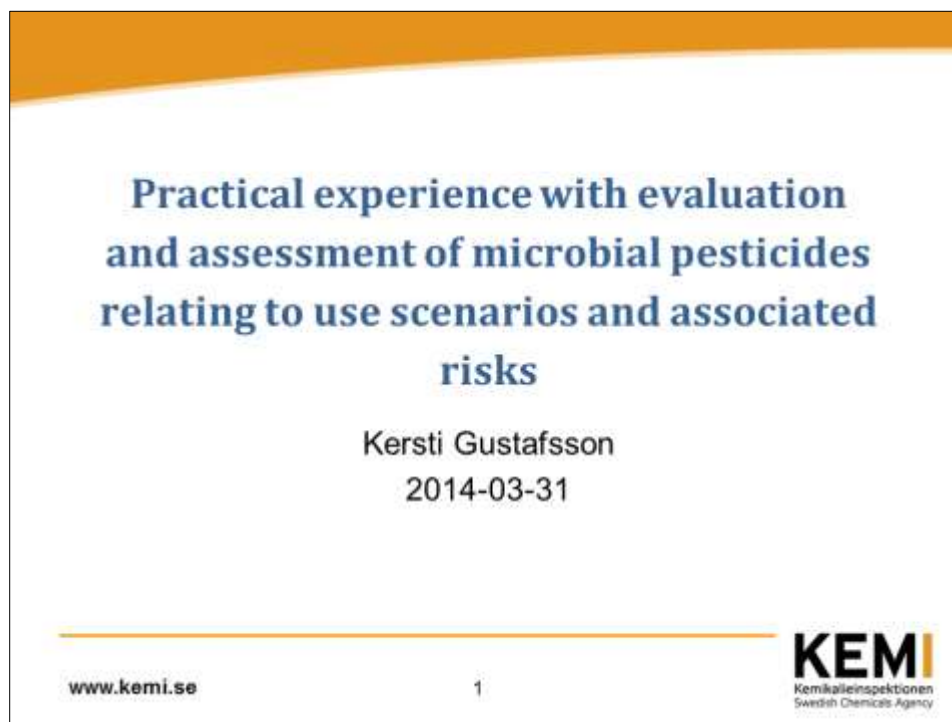


Questions?

**Practical experience with evaluation and assessment of microbial pesticides relating to use scenarios and associated risks**

[PPT 9]


*Kersti Gustafsson (Swedish Chemicals Agency - Kemi; Sweden)*



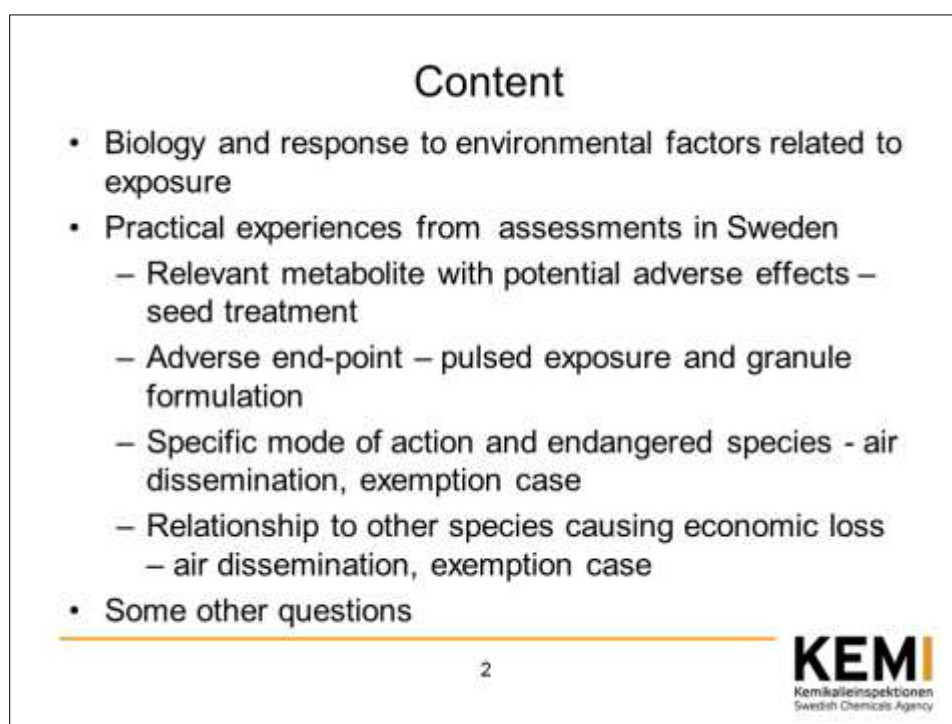
**Practical experience with evaluation and assessment of microbial pesticides relating to use scenarios and associated risks**

Kersti Gustafsson  
2014-03-31

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[www.kemi.se](http://www.kemi.se) 1 


KEMI  
Kemikalleinspektionen  
Swedish Chemicals Agency



**Content**

- Biology and response to environmental factors related to exposure
- Practical experiences from assessments in Sweden
  - Relevant metabolite with potential adverse effects – seed treatment
  - Adverse end-point – pulsed exposure and granule formulation
  - Specific mode of action and endangered species - air dissemination, exemption case
  - Relationship to other species causing economic loss – air dissemination, exemption case
- Some other questions

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2 

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OECD GUIDANCE TO THE ENVIRONMENTAL SAFETY  
EVALUATION OF MICROBIAL BIOCONTROL AGENTS  
Series on Pesticides No. 67

- Application technique mentioned
  - Types of application and expected exposure of NTOs
  - Box 2 Consider the use pattern/application type and pattern
- Further guidance could be developed based on Box 2 and practical experiences

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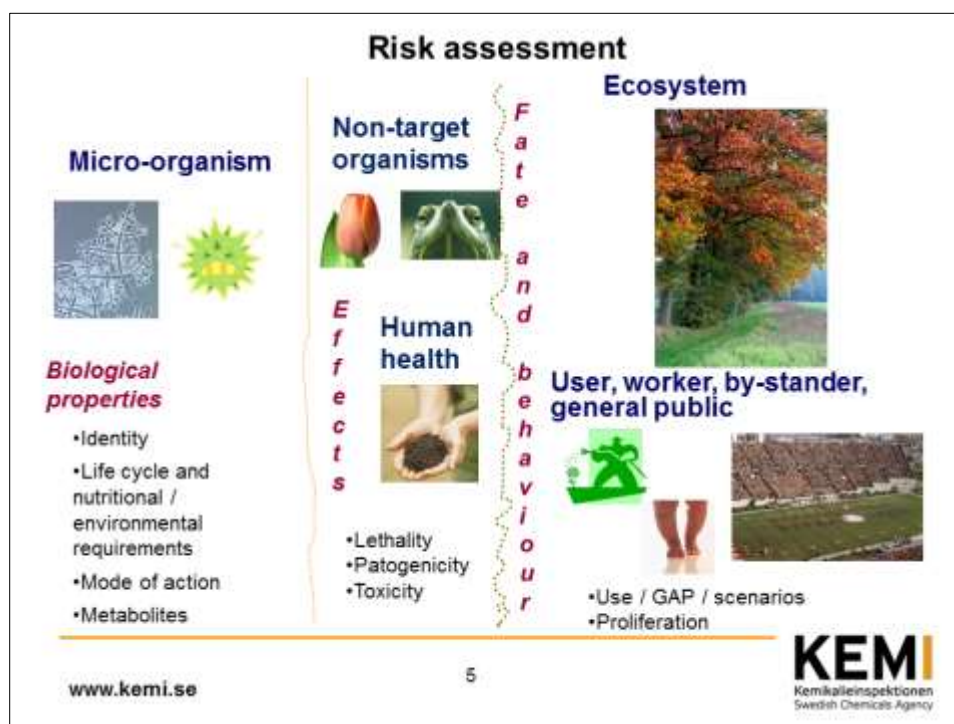
Suggestion from the June 2013 OECD/Kemi/EU workshop on Microbial  
Pesticides

- “Develop methodology/models for an exposure assessment for operator, bystander, worker and resident that are specific for application methods for microbials differing from chemical techniques.”
- Further guidance could be developed based on practical experiences

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Relevant metabolite with potential adverse effects  
—  
seed treatment

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6

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## Relevant metabolite

Suggestion for new definition (from work with guidance on biocidal microorganisms):

- metabolites that form a major part of the mode of action and/or are present in significant amounts and/or produce an adverse effect on humans or the environment under practical conditions of use

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7

## *Pseudomonas chlororaphis*

- Sweden RMS around 2000 for a new active substance for seed treatment against fungal diseases
- *P. chlororaphis* common soil bacterium
- SE assessed the metabolite DDR as genotoxic and very potent
- DDR one part of mode of action
  - ➔ relevant metabolite

✓ *Biology is the difference to chemical pesticides*

8

## DDR protective action

- DDR is produced only during active bacterial growth
- Protective action takes place *in situ*, on the seed in the soil, where it is needed

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9

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## Questions on DDR

- When and where is the metabolite produced?
- How much is produced?
- Is it persistent?
- Who will be exposed?

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## DDR production

- Produced during growth
  - during fermentation (production of bacteria)
  - on the seed in the soil after sowing
- Limit of quantification (LOQ) 2µg DDR/ml
- DDR is degrading with time ( $t_{1/2}$  is 25h at 8°C)

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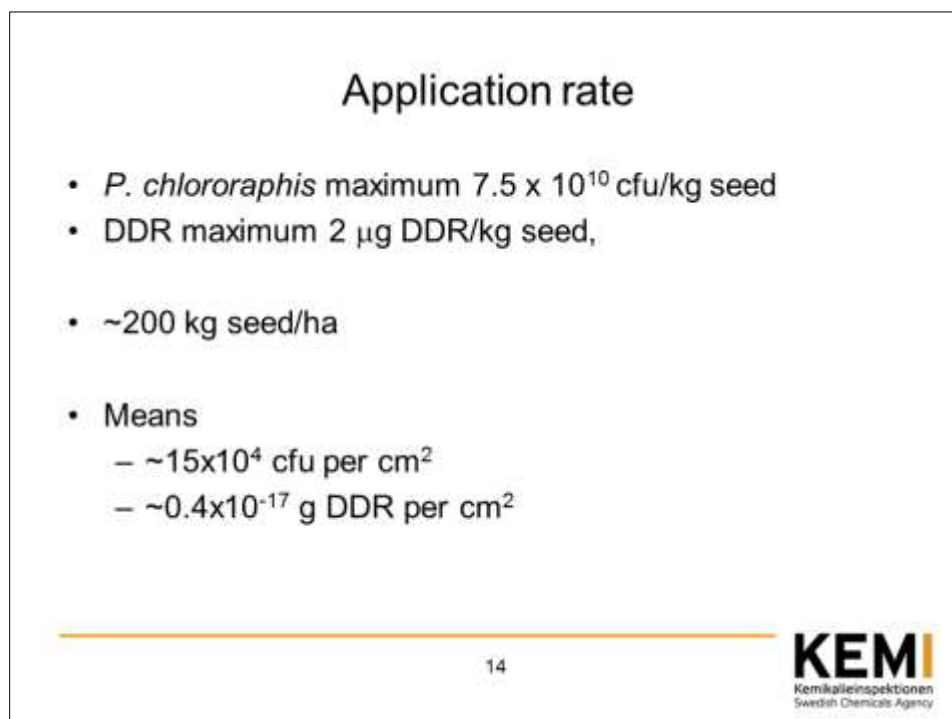
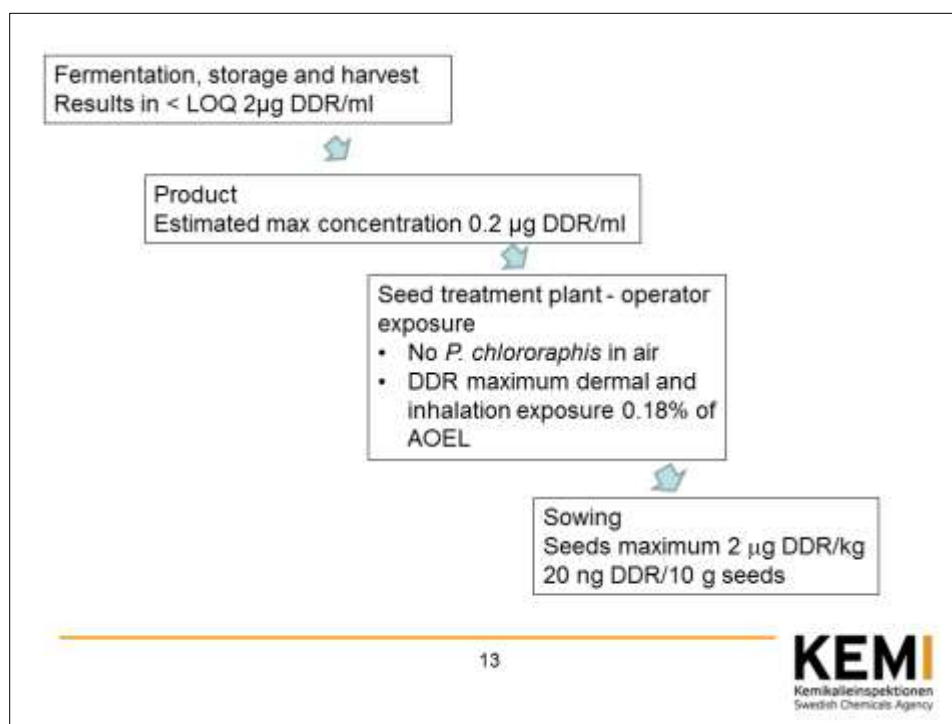
## DDR in the product

- Formulated product
  - DDR an impurity since it is not an essential ingredient of the product
  - DDR only a residue from the fermentation process

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## Risk to seed-eating birds Yellowhammer *Emberiza citrinella*

- Hatching in ditches around cultivated land
- Bird weight ~30 g
- Eat around 50 seeds or ~20 g per day during spring
- 0.8 ng DDR/seed
  - ~40 ng DDR/20 g seeds per bird per day
- Potential effect on formation of blood vessels

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## Environmental risk assessment

- Late spring yellowhammer mostly feed on insects
  - If birds eat seeds
    - DDR probably degraded quickly low pH in stomach
    - Amount of DDR on seeds ~detection limit
- *P. chloraphis* naturally occurring in the soil-ecosystem,
  - probably certain background level of DDR
- Conclusion
  - Environment and seed-eating birds in particular, will not be exposed to an unacceptable risk caused by the recommended use of the product.

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## Consumer assessment

- Analysis of DDR in
  - plant parts grown from treated barley seeds (germinated shoot, seeds, roots)
  - grain harvest from plants grown from treated barley seeds
- Results < detection limit

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Adverse end-point  
–  
pulsed exposure and granule formulation

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18

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## *Bacillus thuringiensis israelensis* - Bti

- For use in Sweden against flood water mosquito larvae in a granular formulation
- Dissemination by air is not allowed in Sweden
- Use of pesticides in general not allowed in nature conservation areas/nature reserves/national parks in Sweden
  - When use is necessary - tricky assessment
- IT has developed an initial quantitative model for exposure to surface water
- Granule formulation – how is the loss from granules into water? Necessary information for calculations

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## Qualitative assessment

- Effect
  - 21-day reproductive Daphnia test low effect dose close to application rate
    - Not known in detail what caused the observed effects in the study, could be Bti toxins, turbidity and/or toxic residues from the production step (growth media or toxic metabolites)
    - *Daphnia magna* is regarded as a focal species for the aquatic compartment
    - *D. magna* most sensitive non-target species to Bti technical powder
- Exposure
  - Used in flooded areas
    - No dissemination over the whole water surface in permanent waters
  - Application with pulsed exposure
    - Depending on flooding and occurrence of mosquito larvae
  - Formulation of adhered technical powder to inert granules with oil
    - Release into water not instantaneous, exposure likely lower than actual dose rate
- Conclusion
  - Safety level for the aquatic environment could be considered acceptable, even though it was not possible to calculate a risk characterisation quotient

20

## Specific mode of action and endangered species

-

air dissemination, exemption case

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## Qualitative assessment of Bti in nature reserves

- *Platynus longiventris*
  - Endangered species of ground beetles
  - Terrestrial, flying organism
  - Prefer feeding collembola
- Bti specific mode of action
- Conclusion
  - Even though exposure this leads to no effect

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Taxonomically close to species causing  
economic loss

—  
air dissemination, exemption case

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## Bti residues in or on treated materials, food and feed

- Problem
  - Limit <1-500 spores of *Bacillus cereus* per l milk for storage stability, otherwise no economic compensation
  - Difficult to differentiate between *B. cereus* and Bti in used analytical test
- Exposure
  - No direct use
  - Milk producing cows in the field might be exposed to dust; Estimation from a dust study ~16 Bti spores per g soil
  - Means 80 g soil per l milk to exceed the limit for storage stability
- Conclusion
  - Risk for contamination of milk via the product is negligible

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## Other application methods and exposure questions for possible discussions

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## Some other questions on exposure scenarios

- Fungal spores on golf courses – wet dissemination directly down into the soil means less spore dusting
- Spores on strawberries close to harvest – inhalation of spores by consumers?
- Wound painting; wall painting after flooding; spraying of freshly cut stumps of pine and spruce – inhalation of spores by someone?
- Tree injection – any longterm problems with resting spores?
- Bumble bees as vectors – any problems?

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### Risk assessment - exposure scenarios

**Ecosystem**




What will happen in different exposure scenarios with fate and behaviour of the microorganism?

- Where will it end up?
- Can it be an opportunistic pathogen – who will be affected?
- Is it a spore former – can it recover and affect?
- Does it produce metabolites with adverse effects – in what amounts and who will be affected?
- Can there be some residues – who will be affected?

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**User, worker, by-stander, general public**



•Use / GAP / scenarios  
•Proliferation

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## Further guidance development

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## To take into account for further guidance development

- Rather reasoning than further testing
- Analyse the exposure situation before further testing
- Develop with *OECD guidance to the environmental safety evaluation of microbial biocontrol agents* as a basis
  - Maybe a checklist with questions based on practical experiences

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*Thanks for your attention*


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**Practical experience in the evaluation and assessment of different types of applications of MPCPs**

[PPT 10]

*Christine Vergnet (French Agency for Food, Environmental and Occupational Health & Safety [ANSES], Paris; France)*



**Practical experience in the evaluation and assessment  
of different types of applications of MPCPs**

*Christine VERGNET*  
ANSES - DPR

5th BioPesticides Steering Group – 31st March 2014  
Seminar on « Application Techniques for microbial Pest Control Products and Semicemicals: Use Scenarios and Associated Risks »


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Practical experience in the evaluation and assessment of  
different types of applications of MPCPs

**Focus on**  
**Risk assessment for non-target organisms in EU**

**Note: in EU, the risk assessment is mandatory at tier 1**

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2 

## Different types of applications of MPCP

- Foliar treatments (**spray** – from ground or by aeronef)
- Soil treatments (drip irrigation, drench/dip, **spray**, incorporation)
- Localised treatment (granules in palm, seed treatment, wound painting)
- Dissemination by pollinators

3



## Spray applications (foliar or soil)

OUTDOOR USES	INDOOR USES
All groups of non target organisms	Covered by outdoor uses or Focus on: Pollinators Non target arthropods Soil organisms
Qualitative assessment (pathogenicity/infectiousity)	
<b>Quantitative assessment (toxicity or exposure endpoints available)</b>	
IN-FIELD EXPOSURE	OFF-FIELD EXPOSURE
<b>Birds and mammals (outdoor)</b> Bees and arthropods Soil organisms	<b>Aquatic organisms (outdoor)</b> Arthropods (may be waived ?) Terrestrial plants (outdoor)

4



## Other applications

- Soil treatments (drip irrigation, drench/dip, incorporation): no quantitative assessment for aquatic organisms
- Localised treatment (granules in palm, seed, wound painting, tree): case by case but in general environmental exposure and risk may be considered as low (at the population level of Non-target species)
- Dissemination by pollinator: as for spray to cover all the issues in case of exposure of other non-target organisms

**Note: for classification of the products, data on aquatic organisms must be provided (case by case exemption) even when no exposure is assumed**

5



## Quantitative assessment for aquatic organisms

- **Drift to water bodies from spray applications:**
  - Full dose in a water body and / or Drift doses as for chemicals (similar application techniques)
  - One pond scenario (drift dose g/ha in 100 m x 1 m x 0.03 m)
  - Ratios toxicity / exposure (TER)
  - Get confirmation that exposure is below test endpoints in most cases (TER > trigger value)
  - Set mitigation measures when appropriate (buffer zones)
- **Issues**
  - Multiple applications with no quantitative data for the fate and dissipation in water (cumulative / one application)
  - Effect concentrations claimed to be not relevant (physical rather than toxic effect) although physical impact are relevant
  - Test design to address pathogenicity / infectivity
  - Significance of the trigger values when all endpoints are based on no effect level
- **Route of exposure not assessed**

16 Run-off and drainage from spray and soil treatments



## Quantitative assessment for vertebrates

- Consumption of treated food items
  - Dose converted to a daily ingestion rate
  - chemical screening-tier 1 exposure scenarios (EFSA 2009)
  - Ratios toxicity / exposure (TER)
  - Get confirmation that exposure is below test endpoints in most cases (TER > trigger value)
- Issues
  - Multiple applications (cumulative/one application) with no quantitative data for the fate and dissipation in food item
  - Limit test dose of 2000 mg/kg bw might be insufficient
- Exposure not assessed
  - Secondary poisoning (not relevant)

7



## Quantitative assessment for soil organisms

- Soil concentrations
  - Full dose converted to a soil concentration
  - chemical scenario (g/ha in 750 tons soil i.e. 5 cm depth with density 1.5)
  - Ratios toxicity / exposure (TER)
  - Get confirmation that exposure is below test endpoints in most cases (TER > trigger value)
- Issues
  - Relevance of the depth of the soil depending on application techniques (5 cm)
  - Multiple applications (cumulative/one application) with no quantitative data for the fate and dissipation in soil
  - Test design to address pathogenicity / infectivity
- Not assessed
  - Accumulation (covered by the uniform principle for approbation)

8



## Quantitative assessment for arthropods

- Hazard quotient ratios
  - Dose / toxicity
  - Required in regulation as for chemical however not considered relevant
- Issues
  - Relevance of the tier 1 toxicity data (acute bees, *Typhlodromus pyri* and *Aphidius rhopalosiphii* laboratory tests) and the HQ calculations
- More relevant assessment to be encouraged
  - 10 day feeding test for bees instead of acute tests ?
  - Bumble bees in tunnel trials
  - Assessment in practical condition of use

9



## Conclusion

- Mandatory requirement for a quantitative assessment
  - In practice, simple calculations could be done when a quantitative endpoint is available
  - In most cases, these calculations demonstrate that test endpoints cover estimated exposure
- Issues
  - Multiple applications (cumulative / one application)
  - Test design and relevance of endpoints
  - Significance of the trigger values issues from chemical
- A more relevant assessment to be encouraged
  - There is a need to "improve" the data requirement to give place to ecology relevance
    - flexibility of the core data set ?
    - scientific research study versus regulatory test results

10





## Horticultural workers' exposure to microbial bioaerosol components from MPCP and naturally occurring counterparts of MPCA

[PPT 11]

Anne Mette Madsen (National Research Centre for the Working Environment, Copenhagen; Denmark)

Horticultural workers' exposure to microbial bioaerosol components from MPCPs and naturally occurring counterparts of MPCA s  
-Risk assessment of the use of MPCAs

Anne Mette Madsen  
OECD The 5th BioPesticides Steering Group



### Risk assessment of the use of MPCA


Background:  
High exposure to microorganisms in horticultural environments has been found– then does the contribution from MPCPs matter?

Approach:  
Exposure to MPCAs relative to exposure to other microorganisms

E.g. Exposure to *Bacillus thuringiensis* from MPCPs versus natural occurring *B. thuringiensis* and versus total number of bacteria.

Or

E.g. Exposure to *Trichoderma harzianum* from MPCPs versus natural occurring *T. harzianum* and versus total number of fungi.





## Methods



- Aerosol sampling during normal work days; growers were instructed not to change their routines during the exposure measurements.
- Cultivation methods
- Total counts by microscopy
- PCR analysis have been performed to confirm the identity of MPCAs.

Literature study



## Studied exposures:

MPCA	MPCP
<i>Bacillus thuringiensis</i> <i>subsp. kurstaki</i> <i>subsp. israelensis</i>	Dipel ES Bactimos L
<i>Trichoderma harzianum</i> <i>T. polysporum</i>	Supresivit Binab
<i>Lecanicillium muscarium</i> ( <i>Verticillium lecanii</i> )	Mycotal
<i>Streptomyces griseovirides</i>	Mycostop
<i>Beauveria bassiana</i>	BotaniGard 22WP BotaniGard ES



## Results:

### Exposure to *Trichoderma*

- When a powder with *T. harzianum* (Supresivit) was prepared for application in a greenhouse it was found in air samples ( $100,000 \text{ cfu m}^{-3}$ ) which was higher than the exposure to other fungi ( $1200 \text{ cfu/m}^3$ ).
- During the day of application 2 of 4 workers were exposed to *T. harzianum* in a median concentration of  $51 \text{ cfu m}^{-3}$  – the median exposure to other fungi was  $5000 \text{ cfu/m}^3$ .
- Exposure to airborne *T. harzianum* was not found in the greenhouse weeks post application – in these weeks workers were exposed to  $7 \times 10^5 \text{ cfu fungi/m}^3$ . (V.M. Hansen, A. Winding, & A.M. Madsen, 2010).



## Exposure to *Trichoderma harzianum*

- Stationary measured exposure to *T. harzianum* applied as an MPCA has also been measured in greenhouses in USA.
- High concentrations of *T. harzianum* were found: up to 36,000 cfu m<sup>-3</sup> and it constituted on average 38% of the total number of fungi  
(Li D-W, LaMondia J. *Aerobiologia*. 2010;26:15-28).
- Time from application of *T. harzianum* to measurement was not mentioned



## *Trichoderma* in an open field

- When bees were used for application of *Trichoderma* in an outdoor field for 3 months, *Trichoderma* was not found in the air.

(K. Tendal & A.M. Madsen, 2010)

- The concentration of airborne fungi was 5000 cfu/m<sup>3</sup>.



## Background exposure to *Trichoderma harzianum*

- In greenhouses and open fields where *T. harzianum* had not been applied it was only very seldom found and constituted less than 0.1% of the total number of fungi.



## *Streptomyces griseoviridis* (Mycostop)

- *S. griseoviridis* has been applied in an automatic watering system for watering of tomato plants.
- No exposure to *S. griseoviridis* (Mycostop) was found when it was prepared in a greenhouse
- No exposure of workers was seen post application (V.M. Hansen, A. Winding, & A.M. Madsen . 2010).

Personal exposure to mesophilic actinobacteria

Greenhouse	n	% positive samples	Median (cfu m <sup>-3</sup> )	Range (cfu m <sup>-3</sup> )
Tomato greenhouse, preparing Mycostop	1	100	190	190
Tomato greenhouse, nurturing plants	4	75	189	Bd-333
Open field broccoli, white cabbage, harvest	5	100	161	77 – 292
Open field, white cabbage, harvest	4	50	75	bd – 319
Greenhouse, cucumber harvest	7	100	3.9×10 <sup>4</sup>	5.4 – 2.5×10 <sup>5</sup>
Greenhouse, cucumber, clearing	6	50	43	bd – 2.9×10 <sup>4</sup>
Indoor packaging of broccoli,	3	66	273	bd – 1417
Open field broccoli, celery, harvest	8	100	828	291 – 5659
Open field white cabbage, harvest	4	75	1228	bd – 2484



## Beauveria bassiana

- *B. bassiana* has been found in the air in a forest when it was applied to the environment as an MPCA by releasing pine bark beetles contaminated with conidia of *B. bassiana* (M. Shimazu, H. Sato, & N. Maehara, 2002).
- The concentration other fungi was not measured.

### Background exposure to *B. bassiana*

- We have measured personal exposure to *B. bassiana* during 26 days for 9 vegetable producers, 4-10 workers each time:

Environment	n	% positive samples	Median (cfu m <sup>-3</sup> )	Range (cfu m <sup>-3</sup> )
Cucumber green house, harvest	10	10	bd	bd-80
Cucumber green house, harvest	7	43	bd	bd-34
Cucumber green house, clearing	4	50	20	bd-44
Cucumber green house, harvest	7	29	bd	bd-96



## Occupational exposure to MPCAs - *Bacillus*

- Exposure to *B. thuringiensis kurstaki* in the concentration 5,300 cfu m<sup>-3</sup> has been found for a grower applying the product Dipel® with a hand pump.
- The person was exposed to higher concentrations of *B. thuringiensis kurstaki* than to other culturable bacteria. (V.M. Hansen, J. Eilenberg, & A.M. Madsen, 2010).
- Seven of the eight other growers performing other tasks in the greenhouse were also exposed to *B. thuringiensis*.
- Their exposure to *B. thuringiensis* was lower than the exposure to bacteria in general.

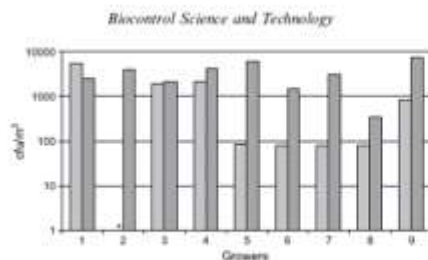


Figure 1. Personal exposure of nine growers on a day of Dipel® treatment in a tomato greenhouse.



## Exposure to *Btk*: days post application

Table 2. Personal exposure in environments with Dipel® treated crops.

Environment (producer, crop, number of samplers)	Work task	Cfu/m <sup>3</sup> air	
		HDI <sup>a</sup> Median (range)	Total mesophilic bacteria <sup>b</sup> Median (range)
T-2, tomato, n=9	Harvest	4.7 × 10 <sup>2</sup> (Bd-5.3 × 10 <sup>3</sup> )	3.1 × 10 <sup>5</sup> (3.6 × 10 <sup>2</sup> -7.5 × 10 <sup>5</sup> )
T-2, tomato, n=7	Clearing <sup>c</sup>	Bd (Bd-1.4 × 10 <sup>4</sup> )	5.3 × 10 <sup>4</sup> (Bd-5.3 × 10 <sup>5</sup> )
F-1, broccoli & cabbage, n=5	Harvest	Bd (Bd-4.1 × 10 <sup>3</sup> )	8.3 × 10 <sup>4</sup> (5.6 × 10 <sup>2</sup> -1.2 × 10 <sup>6</sup> )
F-1, cabbage, n=3	Harvest	Bd	4.7 × 10 <sup>2</sup> (2.4 × 10 <sup>2</sup> -8.3 × 10 <sup>3</sup> )
F-3, celery, n=3	Harvest	Bd (Bd-1.6 × 10 <sup>2</sup> )	1.9 × 10 <sup>4</sup> (1.6 × 10 <sup>2</sup> -2.1 × 10 <sup>4</sup> )



(V.M. Hansen, J. Eilenberg, & A.M. Madsen, 2010).

• In an open celery field with *B. thuringiensis* (Dipel) treated plants the growers were exposed to 53 cfu m<sup>-3</sup> 52 days post application and 1.9x10<sup>4</sup> cfu m<sup>-3</sup> other bacteria (constitute 0.3%) (V.M. Hansen, J. Eilenberg, & A.M. Madsen, 2010).

• In areas with Dipel treated plants but with no work activity airborne *Ba. thuringiensis* was not found. (V.M. Hansen, J. Eilenberg, & A.M. Madsen, 2010).

• In a strawberry field with plants treated with *B. thuringiensis* the previous growth season airborne *B. thuringiensis* was not found. (K. Tendal & A.M. Madsen, 2010).

## Bacillus (*Bti*) in potted plant production

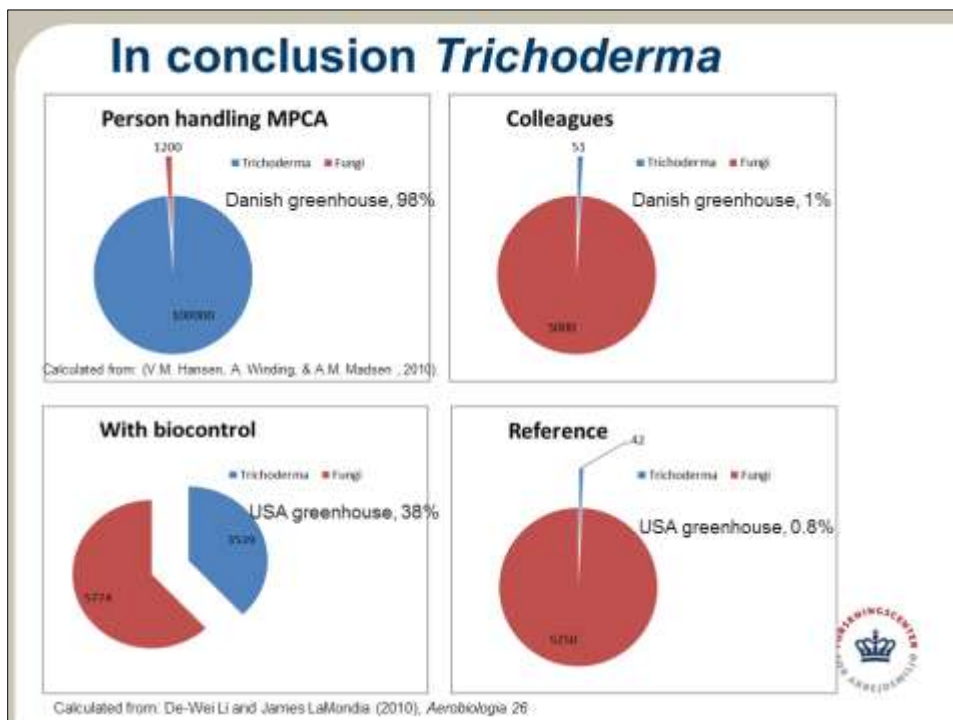
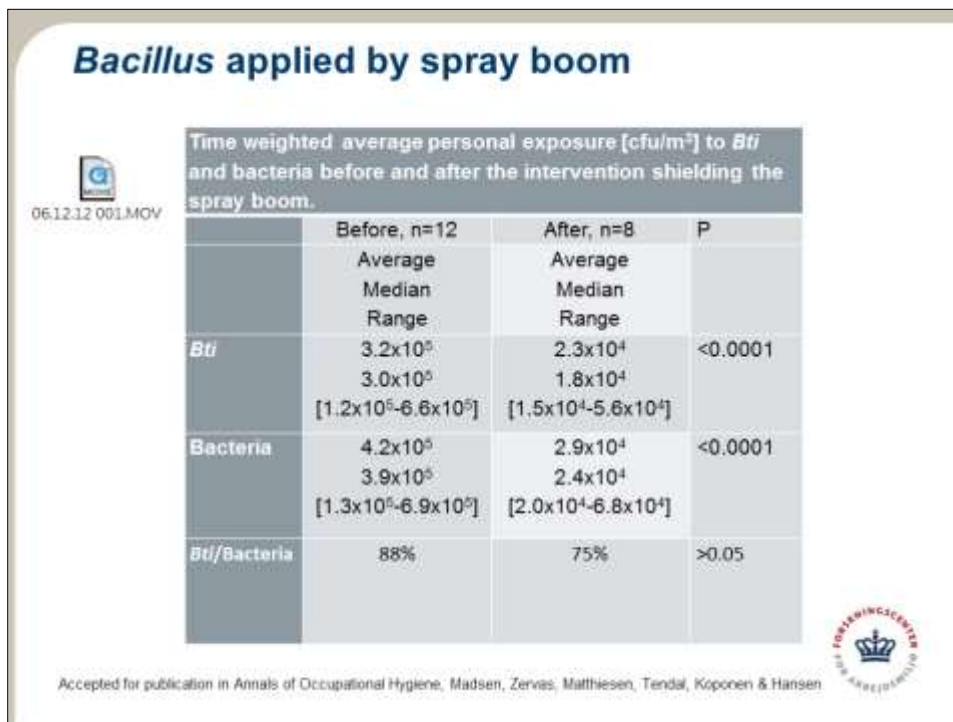


Time weighted average exposure [cfu/m<sup>3</sup>] to *Bacillus thuringiensis israelensis* (*Bti*) and bacteria in the morning and in the afternoon in the propagation hall and in the greenhouse.

	Personal measurement			Stationary measurement		
	Propagation hall		Greenhouse	Propagation hall		P
	Morning, n=5	Afternoon, n=5	n=17	Morning, n=8	Afternoon, n=8	
	Median	Median	Median	Median	Median	
	Range	Range	Range	Range	Range	
<i>Bti</i> <sup>1)</sup>	1.7x10 <sup>5</sup>	3.9x10 <sup>5</sup>	3200	8.0x10 <sup>4</sup>	9.1x10 <sup>4</sup>	0.12
	[2.0x10 <sup>4</sup> -2.4x10 <sup>6</sup> ]	[4.3x10 <sup>4</sup> -6.9x10 <sup>6</sup> ]	[78-3.0x10 <sup>7</sup> ]	[2.0x10 <sup>4</sup> -1.0x10 <sup>6</sup> ]	[1.5x10 <sup>4</sup> -4.0x10 <sup>6</sup> ]	
Bacteria <sup>2)</sup>	3.4x10 <sup>5</sup>	4.3x10 <sup>5</sup>	5500	9.4x10 <sup>4</sup>	1.8x10 <sup>5</sup>	0.084
	[3.2x10 <sup>4</sup> -4.3x10 <sup>6</sup> ]	[4.4x10 <sup>4</sup> -9.5x10 <sup>6</sup> ]	[130-1.6x10 <sup>8</sup> ]	[2.1x10 <sup>4</sup> -2.2x10 <sup>6</sup> ]	[2.7x10 <sup>4</sup> -6.1x10 <sup>6</sup> ]	

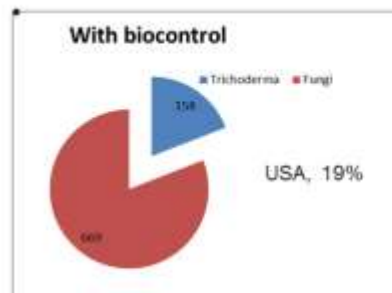
<sup>1)</sup> *Bti* on *Bacillus cereus* selective agar was identified by PCR by its *CRY-11* genes. <sup>2)</sup> Bacteria able to grow on nutrient agar.

Accepted for publication in *Annals of Occupational Hygiene*. Madsen, Zerwas, Mathiesen, Tendal, Koponen & Hansen



## Conclusion *Trichoderma* exposure - outdoor

- No, not when applied by bees (DK)
- Not outside greenhouses, when it was handled indoor (DK)



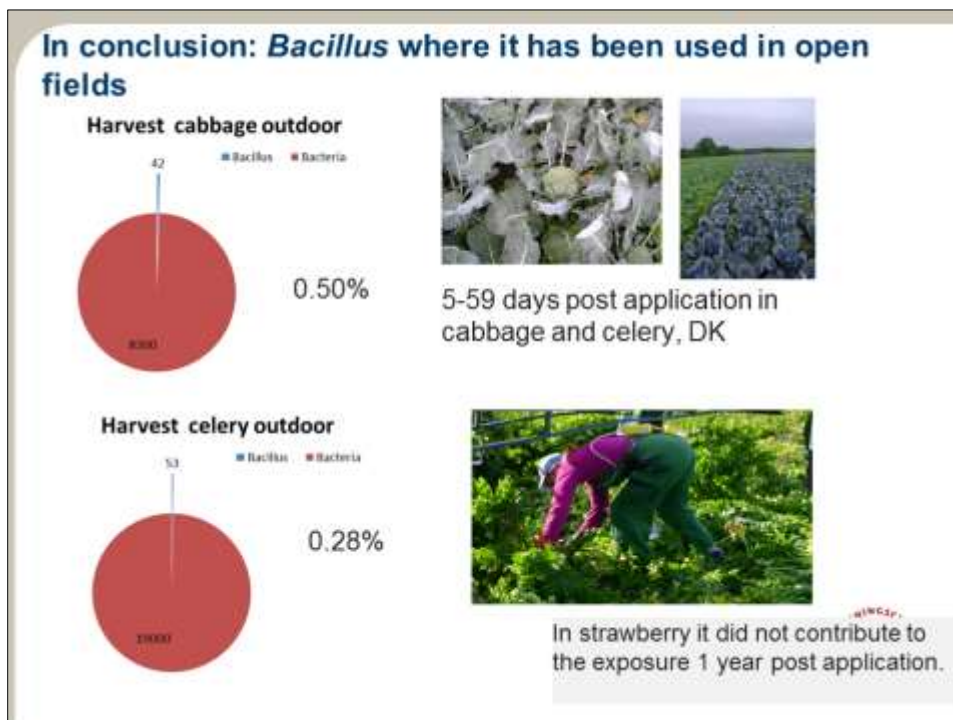
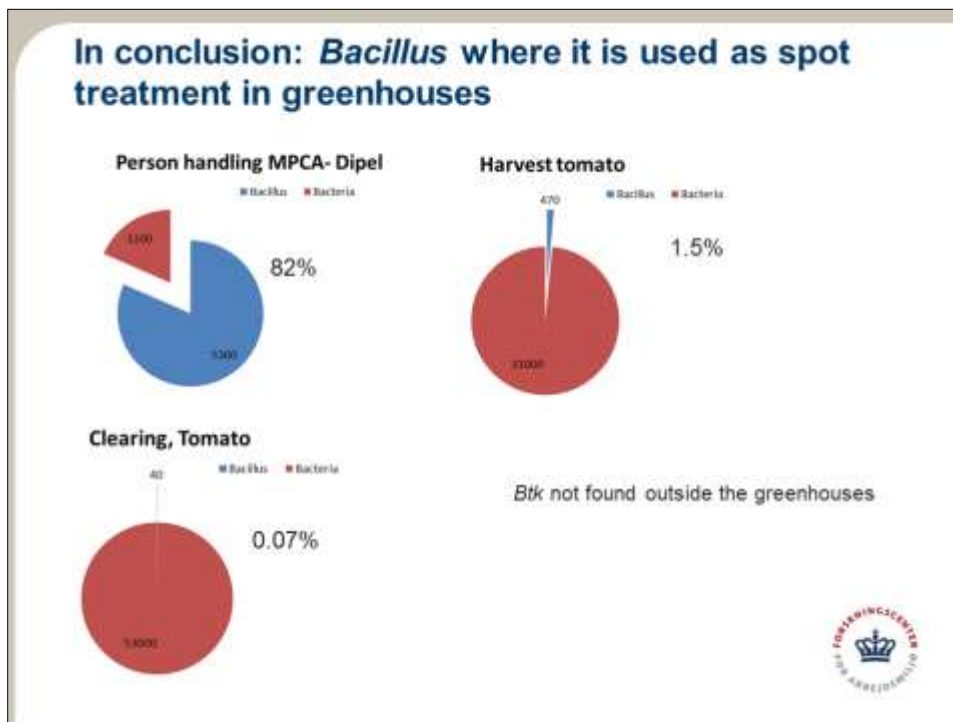
Calculated from: De-Wei Li and James LaMondia (2010), *Aerobiologia* 26

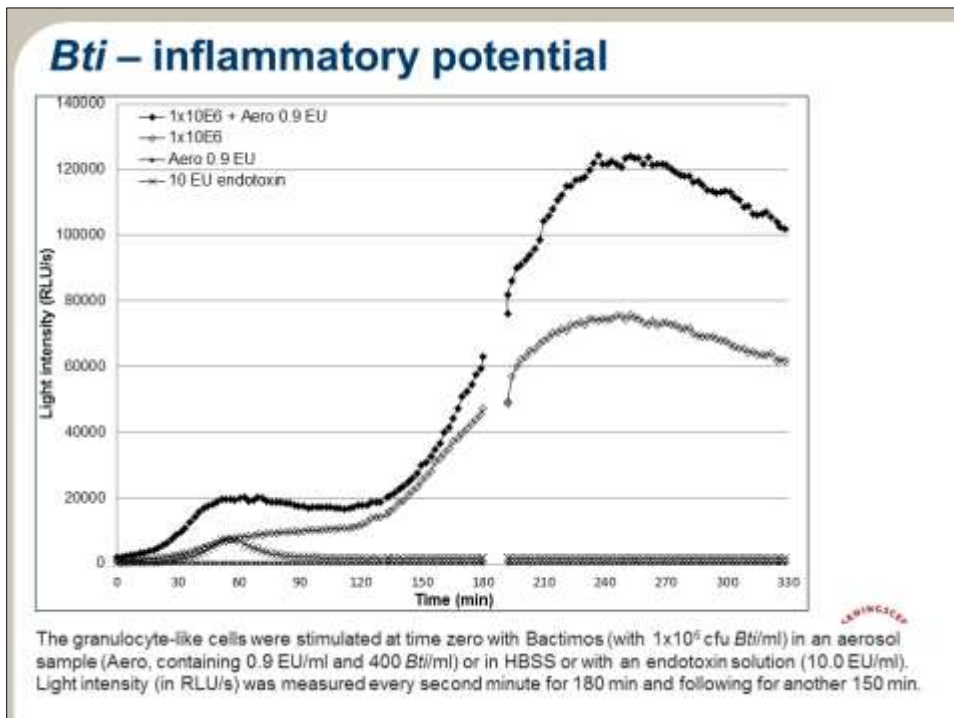
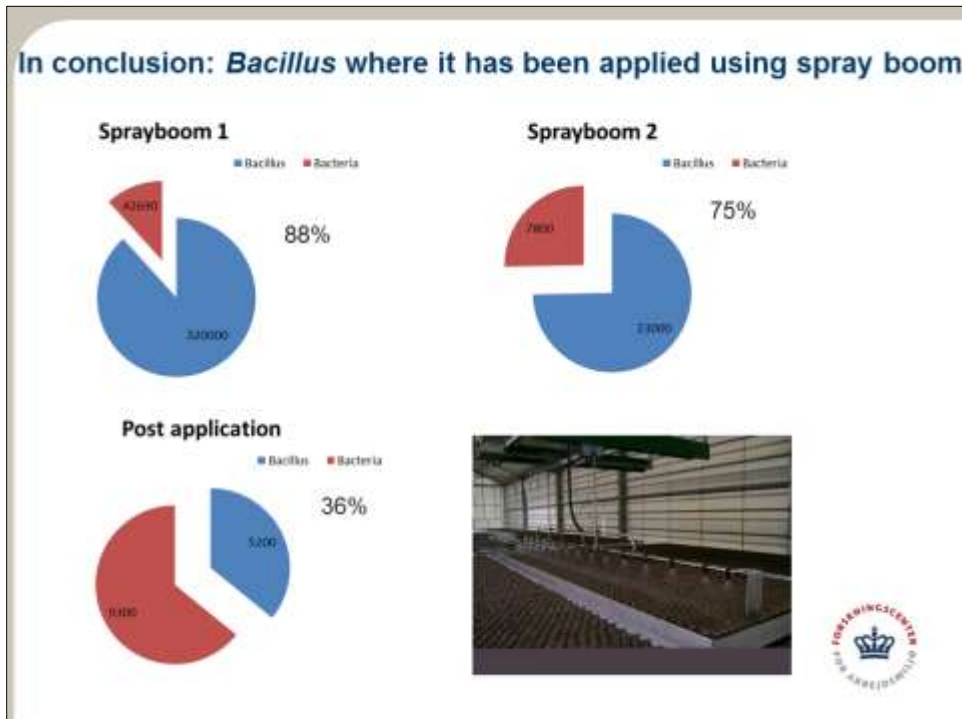


## In conclusion *Streptomyces griseoviridis* (Mycostop)

- Was handled in a greenhouse – but no exposure was seen.







## Thank you

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- Thanks to MST: Danish Environmental Protection Agency and The Working Environment Research Fund, Denmark
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### Colleagues on the Project:

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