

**DIRECTORATE FOR SCIENCE, TECHNOLOGY AND INDUSTRY  
COMMITTEE FOR SCIENTIFIC AND TECHNOLOGICAL POLICY**

**Working Party on Innovation and Technology Policy**

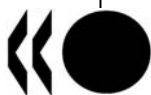
**TIP WORKSHOP ON THE OECD INNOVATION HANDBOOK**

**23 June 2020, OECD Conference Centre, Paris**

*Delegates will find attached the draft agenda for the TIP workshop on the OECD Innovation Handbook to be held on 23 June 2010 starting at 9:30 a.m. Delegates should note that the workshop will be organised around parallel break-out sessions involving small groups of Delegates. Delegates are invited to pre-register by 14 June using the registration form annexed to the agenda.*

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**JT03285359**





## JUNE 2010 TIP WORKSHOP

**Workshop Topic:** One of the outcomes of the Innovation Strategy process has been a call for the development of an innovation policy ‘handbook’ with a view to providing more specific and tailored guidance to policy makers seeking to improve their country’s / region’s innovation performance. This parallels a similar call for a toolkit to accompany the Green Growth Strategy and is inspired by other successful toolkits that have already been assembled by the OECD on other topics, *e.g.* the Policy Framework for Investment toolkit (see [www.oecd.org/daf/investment/pfi](http://www.oecd.org/daf/investment/pfi)). What all of these handbooks / toolkits have in common is a focus on getting policy makers *i)* to assess their country’s / region’s current performance, *ii)* to diagnose problem areas, and *iii)* to apply principles and practical tools for ‘transitioning’ to more desirable states of performance.

In March 2010, a proposal for an innovation policy handbook was presented to the CSTP (see SG/INNOV(2010)4). The paper summarises the proposed handbook’s rationale and scope, its conceptual base, and its suggested content. Complementarities with existing similar initiatives are highlighted and an outline timetable for undertaking the project proposed. In addition, some limited piloting work of one of the handbook’s components has been undertaken, which has focused on preparing a dozen or so draft policy instrument briefs (PIBs).

Before work can begin in earnest on building the handbook, current thinking needs to be reviewed and agreement obtained on the way forward. It should be noted that the current proposal has yet to fully resolve some important issues around (for example): the conceptual basis and mechanics of a practical diagnostic component of the handbook; the opportunities and limitations of using metrics; the practical ‘translation’ of diagnostic ‘intelligence’ into an implementable policy reform agenda; the ways in which the handbook might be used in practice; and user requirements and their implications in configuring handbook design options. A wider and deeper discussion of current thinking is therefore needed as part of the process of revision and refinement of the way forward for developing the handbook.

**Workshop Purpose:** The proposed one-day workshop is intended to form an important (though not exclusive) pillar of a wider and deeper discussion of how to take forward the development of an innovation policy handbook. In particular, it will focus on identifying the range of actors and factors (structural, institutional and ideational) that shape the performance of innovation systems and their implications for policy design. Besides generating hopefully useful ideas, the workshop should also elicit commitment to the idea of a handbook and its practical implementation. Accordingly, the expected outputs and outcomes should include an explicit guiding vision for the handbook, an articulation of its scope, suggested measures for practical implementation, a timeline / roadmap for roll-out, and expressions of interest in providing contributions.

**Workshop Approach:** The workshop will be a mix of relaying information on existing proposals for the handbook, interactive discussion and brainstorming in groups (and in plenary) on its shape and content, and discussion of practical steps for implementation. A proposed workshop structure is attached and envisages a mix of group and plenary sessions. After some introductions, the morning break-out groups will consider a number of concrete STI policy challenges facing OECD countries with a view to *a)* exploring the information needs of policy makers and *b)* identifying the gaps the handbook might potentially fill.

Afternoon break-out groups will consider some of the practical aspects of building and using the handbook, focusing upon the likely needs of policy users, the opportunities for the use of metrics, and the contributions that can be made by the innovation studies community. The final session of the workshop should draw together the day's discussions and establish guidelines for further developing the handbook.

The work of the break-out groups will be actively facilitated around semi-structured sets of questions that encourage free and creative thinking while ensuring that workshop goals are met. These questions will be circulated beforehand so that participants can familiarise themselves with the issues to be covered. Given the workshop will be held back-to-back with the June 2010 TIP meeting, it is envisaged that the majority of participants will be drawn from TIP delegates. But others have also been invited, including selected experts from the innovation policy studies academic community. Participants will be assigned to break-out groups and notified prior to the workshop. Preferences for break-out groups will be elicited at workshop registration and honoured as far as possible.

TABLE 1: WORKSHOP STRUCTURE

Time	Agenda Item			
09:30-11:00	<p style="text-align: center;"><i>Plenary: Introduction</i></p> <p style="text-align: center;">Presentation of the handbook concept</p> <p style="text-align: center;">Q&amp;A</p> <p style="text-align: center;">Presentation of the workshop approach and expected outcomes</p>			
11:00-12:30	<p><i>Issue 1: Raising the Contribution of Public Science to Societal Goals</i></p> <p>The social compact between science and society continues to evolve in the face of major global challenges and developments in science and technology. What factors need to be taken into account in re-designing public research systems in this context?</p>	<p><i>Issue 2: Business R&amp;D Expenditure</i></p> <p>Many countries face the challenge of raising business R&amp;D expenditures. What sorts of factors (<i>e.g.</i> specialisation, stock of knowledge, etc.) might be considered in developing targets and instruments for increasing business spending in R&amp;D?</p>	<p><i>Issue 3: Transitioning to Eco-Innovation</i></p> <p>Clean technology and eco-innovation has emerged as a powerful idea for shaping innovation agendas. What sorts of factors need to be taken into account in developing demand and supply side policies in support of such transition?</p>	<p><i>Issue 4: Finance for Innovative Start-Ups</i></p> <p>Innovative start-up firms typically face problems in accessing finance. What sorts of factors need to be taken into account in developing policies for bridging finance gaps?</p>
12:30-14:00	Lunch			
14:00-15:15	<p style="text-align: center;"><i>Plenary: Group Reports</i></p> <p style="text-align: center;">10 minute reports from group rapporteurs</p> <p style="text-align: center;">Q&amp;A</p>			
15:15-16:45	<p><i>Policy Group</i></p> <p>The handbook should be of practical use to policy makers and their advisors. What sorts of information should be included and in what format? For example, do current proposals for briefing notes and case materials meet expectations? How could policy users actively contribute to the building and maintenance of the handbook?</p>	<p><i>Metrics Group</i></p> <p>The handbook will rely in part on the use of metrics that allow the user to compare and contrast their performance over time and with others. What opportunities exist for using such data in this way? What practical steps would be needed for incorporating such data? What are the limitations of metrics in this context?</p>	<p><i>Innovation Studies Group</i></p> <p>The handbook should draw upon a sound conceptual understanding of the nature of innovation and the nature of innovation policy making processes. What are the main conceptual foundations on which the handbook should be built? How can these be practically applied? What contributions could the academic community make to build the handbook?</p>	
16:45-18:00	<p style="text-align: center;"><i>Plenary: Group Reports and Wrap-up</i></p> <p style="text-align: center;">10 minute reports from group rapporteurs</p> <p style="text-align: center;">Discussion: towards a handbook “implementation roadmap”</p>			



**REGISTRATION FORM – TIP WORKSHOP AND THE 35<sup>TH</sup> TIP MEETING**

**23-25 JUNE 2010**

Venue: OECD Headquarters, 2, Rue Andre-Pascal, 75775 Paris 16

*Please complete and return as soon as possible, by **14 June 2010**. **One** form per participant*

TIP Workshop – Break-Out Sessions 23 June 2010	
<b>Country :</b>	
<b>Name :</b>	
<b>Instructions:</b>	<i>Please tick the sessions you plan to attend. Please note that some meeting rooms have low capacity and registration will be based on a first come first served basis. Confirmation of registration will be sent to delegates.</i>
<b>Morning Parallel Break-Out Sessions</b>	
<b>11:00-12.30</b>	Policy Issue 1: Raising the Contribution of Public Science to Societal Goals <input type="checkbox"/>
	Policy Issue 2: Business R&D Expenditure <input type="checkbox"/>
	Policy Issue 3: Transitioning to Eco-Innovation <input type="checkbox"/>
	Policy Issue 4: Finance for Innovative Start-Ups <input type="checkbox"/>
<b>Afternoon Parallel Break-Out Sessions</b>	
<b>15:15-16.45</b>	Policy Group <input type="checkbox"/>
	Metrics Group <input type="checkbox"/>
	Innovation Studies Group <input type="checkbox"/>
<b>35<sup>th</sup> TIP meeting – 24-25 June 2010</b>	
	24-25 June 2010 – Room CC1 <input type="checkbox"/>
<b>Please return to : <a href="mailto:stpregistration@oecd.org">stpregistration@oecd.org</a> by 14 June 2010</b>	