

**DIRECTORATE FOR SCIENCE, TECHNOLOGY AND INNOVATION
COMMITTEE FOR SCIENTIFIC AND TECHNOLOGICAL POLICY**

Global Science Forum

COMMITTEE PROGRESS REPORT: JANUARY 2015-JUNE 2016

NOTE BY THE SECRETARIAT

OECD Headquarters, 13-14 October 2016

Please find attached the third six-monthly report on the implementation of the Programme of Work and Budget for 2015-16 for the Global Science Forum, which is a Part II funded activity that contributes to Output Area 1.3.2 under the overall responsibility of the Committee for Scientific and Technological Policy. The report covers the period between January 2015 and June 2016.

These Committee Progress Reports are routinely prepared as part of the internal monitoring and audit processes and they are made available to the relevant bodies for information.

Action required: Delegates are requested to take note of this report.

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Complete document available on OLIS in its original format

This document and any map included herein are without prejudice to the status of or sovereignty over any territory, to the delimitation of international frontiers and boundaries and to the name of any territory, city or area.

Introduction

1. In 2008, the OECD Council approved a package of measures aimed at increasing transparency in monitoring the implementation of the approved Part I Programme of Work and Budget [[C\(2008\)93/REV2](#)].

2. This package of measures contains a requirement for regular, standard progress reports to committees, to be issued twice a year. The aim of these reports is to:

- Summarise Output Result/Intermediate Output implementation;
- Identify associated expenditures at the Output Result level; and
- Note any modifications to the approved PWB since the previous report.

3. This document reports on the implementation of the Programme of Work and Budget 2015-16 for the **Part II OECD Global Science Forum (GSF)** in Output Area 1.3.2. It covers the period from 1 January 2015 to 30 June 2016. The format corresponds to the standard reporting template used for Part I Committees, which provides details (budget and status of the deliverables) on the progress achieved for all Output Results in the PWB.

4. Implementation of the 2015-16 work programme is progressing well. Spending on the GSF budget has been generally as planned.

5. Some variations between planned and actual costs are due to the fact that for some outputs the level of voluntary contributions received to date falls short of the original estimations contained in the PWB. Delegates may wish to note that the provision of in-kind support has enabled the projects on Big Data, Research and Ethics and on Research Infrastructures to proceed, to some extent offsetting the current lack of voluntary contributions.

6. **Action required:** Delegates are requested to take note of the report. In the absence of objections by **21 October 2016**, it will be assumed that the report has been noted.

Programme of Work and Budget 2015-16
OUTPUT GROUP: 1.3 Science and Technology Policies
Progress Report – Part II Programme: OECD Global Science Forum
(January 2015 – June 2016)

STRATEGIC OBJECTIVE:	1 Promote Sustainable Economic Growth, Financial Stability and Structural Adjustment				
OUTPUT GROUP:	1.3 Science and Technology Policies				
OUTPUT AREA:	1.3.2 Science and Innovation Policies				
RESOURCES:		2015 (K EUR)		2016 (K EUR)	
		Total Estimated Cost (TEC)[1]	Expenditure[2]	Total Estimated Cost (TEC)[1]	Expenditure[2]
	Part II	414	423	564[3]	211
	VCs	174	234	239	134
	TOTAL	589	657	803	345
	<i>Overhead Charges paid to Part I</i>	71	71	72	36
Committee Accountability:				Mandate or Sunset	
Committee for Scientific and Technological Policy (CSTP) <i>Participants/Observers:</i> Argentina, Brazil, People's Republic of China, Colombia, Costa Rica, Lithuania, Russian Federation, South Africa, Council of Europe (COE), UN Conference on Trade and Development (UNCTAD)				31/12/2019	

Expected Outcomes:
Awareness/Understanding:
<ul style="list-style-type: none"> • An increased understanding by policy makers of the potential of science, technology and innovation to contribute not only to economic growth and general social well-being, but also to the co-resolution of major societal challenges via the development of win-win strategies. • Insights into the barriers that need to be overcome if such strategies are to be developed and the policy mechanisms and governance structures that will be needed to implement them. • Greater awareness of the need for international co-operation and orchestration of activities if global problems are to be tackled efficiently and effectively. • Deepening of an international policy dialogue on a global front. • Shared understanding of legal, managerial, administrative, human resource and regulatory issues. • Definition of modalities for funding and other mechanisms for contributing to international projects. • Improving the interfaces between science and policies and science and societies. • Dealing with complex, global-scale issues.
Usage:
<ul style="list-style-type: none"> • Governments use the Output Results to elaborate policies leading to new sources of growth and sustainable, inclusive forms of development. • Governments use the evidence and examples of good practice generated by the Output Results to develop effective STI policies. • Governments use the Output Results to evolve cross-border strategies and structures to tackle global challenges. • Analysts use the data and models generated by the Output Results to improve the collective understanding of the operation of innovation systems and the impact of policies upon them. • Advice and recommendations will be used by key actors in science to harmonise regulatory measures, to synchronise planning processes, to co-ordinate and/or initiate research programmes and to expand the inclusiveness of the global scientific enterprise.
Effects:
<ul style="list-style-type: none"> • An enhanced evidence base for policy formulation. • Better elaboration of policies. • Improved implementation of policies. • Innovation-driven, growth-led economic recovery. • Positive evolution in the way that the scientific community is structured and supported and is able to respond to major scientific and societal challenges.

			K EUR		
2015-16 Output Results [3] (and Intermediate Outputs)	Accountable Committee/ Subsidiary Body/ Global Forum	Ongoing / Time Bound (end-date)	Total Estimated Cost (TEC) 2016	Expenditure as at 30 June 2016	Comments on implementation (Budget and delivery of Output Result)
1. Developing better institutional processes for science policy [Capabilities]: two reports	CSTP, GSF	Time Bound Q3 2016	87	89	Partially Completed – On track for completion as planned
1.1. Science advice: one report	CSTP, GSF	Time Bound Q2 2015			Completed to planned scope – The final report, <i>Science Advice for Policy Making: The Role and Responsibility of Expert Bodies and Individual Scientists</i> , was approved by CSTP on 25 March 2015 and subsequently published as OECD Science, Technology and Industry Policy Paper. No. 21 [DOI: http://dx.doi.org/10.1787/5js3311jcpwb-en]
1.2. Big data, research and ethics: one report	CSTP, GSF	Time Bound Q3 2016			Partially Completed – On track for completion as planned – The final report on Research Ethics and New Forms of Data for Social and Economic Research [DSTI/STP/MS(2016)2/REV3] was endorsed by the GSF and CSTP in May 2016 and has been submitted to CSTP for final approval.
2. Enhancing the capacity to co-operate in science [Capabilities]: two reports	CSTP, GSF	Time Bound Q4 2016	161	94	Partially Completed – On track for completion as planned
2.1. Scoping Group on research infrastructures: one report and follow up	CSTP, GSF	Time Bound Q4 2016			Partially Completed – On track for completion as planned – The final report of the Expert Group was approved at the 32nd GSF meeting in April 2015: Establishing a Reference Framework for Assessing The Socio-Economic Impact of Research Infrastructures [DSTI/STP/MS(2016)3]. Two new projects started with an Expert Group meeting and workshop in November 2015. These are scheduled for completion in 2017 and are included in the PWB for 2017-18.
2.2. Astro-particle physics (APIF): one report	CSTP, GSF	Time Bound Q4 2016			Partially Completed – On track for completion as planned – The 11th APIF meeting was held in Korea in May 2016. The final report and an agreement for a new APIF governance structure are to be presented to GSF in October 2016.

DSTI/STP/MS(2016)7

3. Fostering Science to Address Global and Societal Challenges [Challenges]: two reports	CSTP, GSF	Time Bound Q4 2016	92	23	Partially Completed – On track for completion as planned
3.1. GSF Strategic Scoping: one report and follow-up	CSTP, GSF	Time Bound Q4 2016			Partially Completed – On track for completion as planned – Strategic Scoping report approved by GSF in April 2015, and booklet published. Planning for new projects and PWB 2017-18 started at the November 2015 GSF meeting, with endorsement of the PWB in May 2016.
3.2. Temperate Agriculture: one report and implementation	CSTP, GSF	Time Bound Q4 2016			Completed to planned scope – Temperate agriculture research network launched at GSF meeting in April 2015, with the MoU being signed by several countries. The Directorate for Trade and Agriculture (TAD) is now representing the OECD in this activity.
4. The CSTP Ministerial and Beyond [Futures]: working papers (number TBD) and strategic scoping related actions	CSTP, GSF, NESTI, TIP, WPBNCT	Time Bound Q4 2016	463	139	Partially Completed – On track for completion as planned
4.1. Contribution to Ministerial Report, re science advice and follow up	CSTP, GSF, NESTI, TIP, WPBNCT	Time Bound Q4 2016			Partially Completed – On track for completion as planned – In line with the outcome of the CSTP Ministerial meeting (October 2015), a new project on Science Advice in Crisis Situations was approved by GSF in May 2016.
4.2. Follow up to GSF Strategic Scoping	CSTP, GSF	Time Bound Q4 2016			Partially Completed – On track for completion as planned – Four new projects were launched in early 2016, and two others are under development as follow up to the Strategic Scoping exercise. These have also been incorporated into the GSF PWB for 2017-18.
4.3. Additional follow up to Ministerial and GSF Strategic Scoping	CSTP, GSF	Time Bound Q4 2016			Partially Completed – On track for completion as planned – This output is dependent on voluntary contributions and 'in kind' support. Secondments from Italy and China have helped to take forward the work on strategic scoping (intermediate outputs 3.1 and 4.2).
Total			803	345	

[1] Total Estimated Cost (TEC) is equal to the sum of the Direct Part II Budget Resources, Voluntary Contributions in Hand and New Voluntary Contributions.

[2] Some variances may arise where new voluntary contributions are a significant source of financing for the Output Result. Progress and spending information will depend on the timing of receipt of those voluntary contributions.

[3] 75 KEUR of unspent funds were carried forward from 2015 to 2016.